

# 5. Interpretations of Quantum Mechanics

## 5.1 "Kopenhagen interpretation"

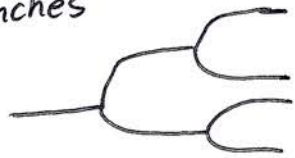
1. Quantum evolution  $i\hbar \frac{\partial \Psi}{\partial t} = H\Psi$

2. Measurement: Interaction with classical, macroscopic apparatus  
→ probabilities according to  $|\Psi(x)|^2$  (etc.)  
→ collapse of  $\Psi$

Values of observables become real only via msmt  
(→ "No trajectories")

## "Many worlds" : (→ see later)

Only coherent evolution  
⇒ highly entangled  $|\Psi_{\text{sys+App.}}\rangle$   
"branches"



## 5.2 Bohm's hidden variable theory

(121)

2

"Can we use trajectories  $\vec{r}(t)$ ?"

Usual answer: No,  $\hat{x}$  &  $\hat{p}$  cannot be precisely defined simultaneously!  
 $\Rightarrow$  "no  $\vec{r}(t)$ "

Bohm 1952 "pilot wave theory"

(also de Broglie 1927)

Particle at time  $t$  described by both  $\Psi(\vec{r}, t)$  and  $\vec{r}(t)$

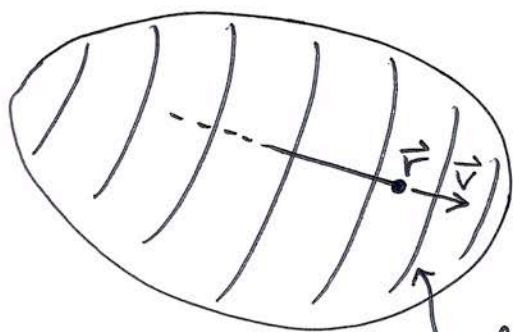
• At  $t=0$ :  $\vec{r}(0)$  distributed according to  $|\Psi(\vec{r}, 0)|^2$

• At  $t>0$ :  $\Psi$  evolves acc. to Schrödinger eq.

$\vec{r}(t)$  evolves via

$$\dot{\vec{r}}(t) = \frac{\hbar \nabla \phi(\vec{r}(t), t)}{m} \equiv \vec{v}(\vec{r}(t), t)$$

where  $\Psi(\vec{r}) = |\Psi(\vec{r})| e^{i\phi(\vec{r})}$



$\Psi = \cos x$

e.g. plane wave:  $\Psi = \hbar \vec{r} \Rightarrow \vec{r} = \frac{\hbar \vec{r}}{m} \checkmark$

Let  $S(\vec{r}, t)$  = prob. density of Bohm's ensemble

Claim: If  $S(\vec{r}, 0) = |\Psi(\vec{r}, 0)|^2$

then

$$S(\vec{r}, t) = |\Psi(\vec{r}, t)|^2 \quad \forall t > 0$$

Proof:

Check:  $\partial_t S = -\text{div } \vec{j}_{\text{Bohm}} \stackrel{?}{=} \partial_t |\Psi|^2 = -\text{div } \vec{j}_{\text{QM}}$

$$\vec{j}_{\text{Bohm}} = S \cdot \vec{v}_{\text{Bohm}} = S(\vec{r}) \cdot \frac{\hbar \nabla \Psi(\vec{r})}{m}$$

~~if correct~~

$$\equiv |\Psi(\vec{r}, t)|^2 \frac{\hbar \nabla \Psi(\vec{r}, t)}{m}$$

if ~~correct~~  $S = |\Psi|^2$  up to  $t$

$$\equiv \text{Re} \left[ \Psi^* \frac{-i\hbar \nabla}{m} \Psi \right]$$

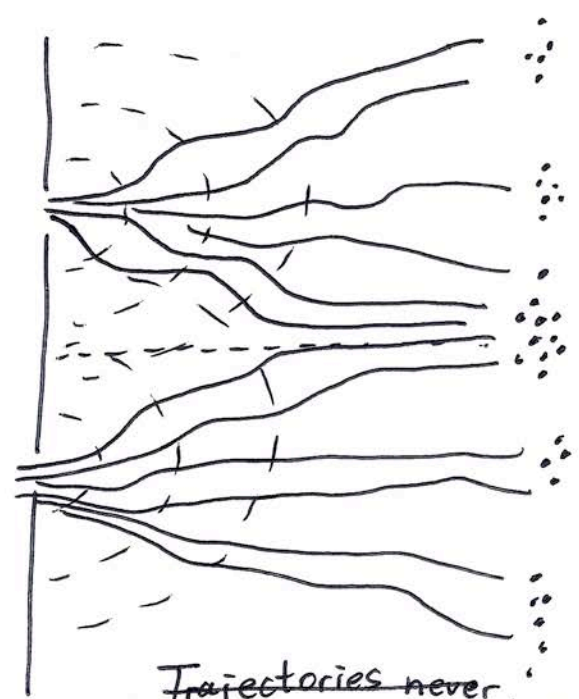
$$= \vec{j}_{\text{QM}}$$

$\Rightarrow \checkmark$

$\Rightarrow$  If all msmts are ultimately reduced to position msmts, then this exactly reproduces all statistical predictions of standard QM!

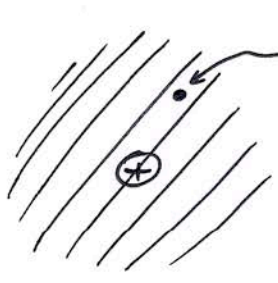
Example :

Double-slit



Trajectories never ~~cross nodal line~~ surfaces  $\psi=0$

Hydrogen atom ground state



$e^-$  just sits there!

$\vec{\nabla}\psi=0$  in any stationary state!  
(for  $\vec{B}=0$ )

Time evolution of velocity field

$$\vec{v} = \frac{\hbar \nabla \varphi}{m} \quad \left( \psi = |\psi| e^{i\varphi} \right)$$

$$\Rightarrow \partial_t \vec{v} = ? \frac{\hbar}{m} \frac{1}{|\psi|^2} \operatorname{Im} \left[ (\partial_t \psi)^* \nabla \psi + \psi^* \nabla \partial_t \psi \right]$$

$$i\hbar \partial_t \psi = -\frac{\hbar^2}{2m} \Delta \psi + V \psi$$

$$\varphi = \operatorname{Im} \ln \psi$$

$$\Rightarrow \partial_t \varphi = \operatorname{Im} \frac{\partial_t \psi}{\psi}$$

$$= \operatorname{Im} \left\{ \frac{1}{i\hbar} \left[ -\frac{\hbar^2}{2m} \frac{\Delta \psi}{\psi} + V \right] \right\}$$

$$= \frac{\hbar}{2m} \operatorname{Re} \left( \frac{\Delta \psi}{\psi} \right) - \frac{1}{\hbar} V$$

$$\Delta \psi = \operatorname{div} \left[ e^{i\varphi} \nabla |\psi| + |\psi| i(\nabla \varphi) e^{i\varphi} \right]$$

$$= e^{i\varphi} \Delta |\psi| + 2i(\nabla \varphi) (\nabla |\psi|) e^{i\varphi} + |\psi| i(\Delta \varphi) e^{i\varphi} - |\psi| (\nabla \varphi)^2 e^{i\varphi}$$

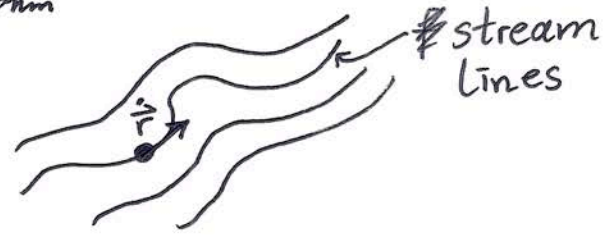
$$= \frac{\hbar}{2m} \frac{\Delta |\psi|}{|\psi|} - \frac{\hbar}{2m} (\nabla \varphi)^2 - \frac{1}{\hbar} V$$

$$\left[ \frac{\hbar}{m} \nabla \varphi \right] \nabla \varphi = \left( \frac{\hbar}{m} \right)^2 \left[ (\nabla \varphi) \nabla \varphi + \frac{1}{2} \nabla (\nabla \varphi)^2 \right]$$

$$\begin{aligned} \Rightarrow \partial_t \vec{v} &= \frac{\hbar}{m} \vec{\nabla} \partial_t \rho \\ &= - \underbrace{\left( \frac{\hbar}{m} \right)^2 \frac{1}{2} \vec{\nabla} (\vec{\nabla} \rho)^2}_{\text{advection}} + \underbrace{- \frac{1}{m} \vec{\nabla} \left[ V - \frac{\hbar^2}{2m} \frac{\Delta |\psi|^2}{|\psi|} \right]}_{V_{qu.}} \\ &= - (\vec{v} \vec{\nabla}) \vec{v} + \frac{1}{m} \vec{F}_{Bohm} \end{aligned}$$

"advective term", like in hydrodynamics!

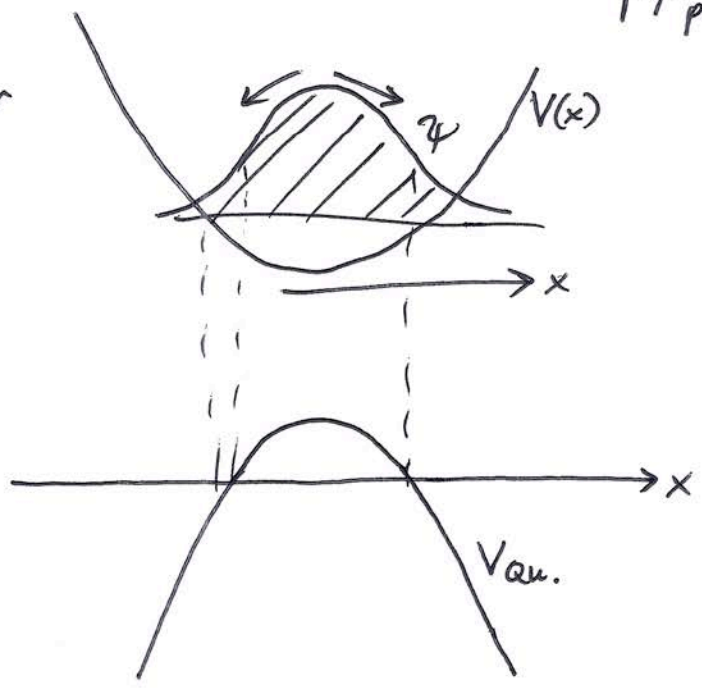
$$\begin{aligned} \Rightarrow \partial_t \vec{v} + (\vec{v} \vec{\nabla}) \vec{v} &= \frac{1}{m} \vec{F}_{Bohm} \\ \vec{a} = \ddot{\vec{r}} &= \frac{d}{dt} [\vec{v}(\vec{r}(t), t)] \end{aligned}$$



$$\begin{aligned} \text{where } \vec{F}_{Bohm} &= - \vec{\nabla} V_{Bohm} \\ &= - \vec{\nabla} (V + V_{qu.}) \end{aligned}$$

$\downarrow$  physical part.       $\downarrow$  "quantum potential"

Example: Harmonic oscillator



"Quantum pressure",  
 reflects yields  
~~zero point finite~~  
~~motion ground state~~  
 length  
 (Heisenberg!)  
 stabilizes ground state

$$\Rightarrow V + V_{qu.} = \text{const} \Rightarrow \vec{F}_{Bohm} = 0$$

$$\partial_t \vec{v} + (\vec{v} \cdot \nabla) \vec{v} = \frac{1}{m} \vec{F}_{Bohm} \quad \& \quad \partial_t S + \text{div}(S \vec{v}) = 0$$

→ Madelung's "hydrodynamic formulation" of QM, equivalent to SEQ

$$\Psi \in \mathbb{C} \leftrightarrow S, \vec{v}$$

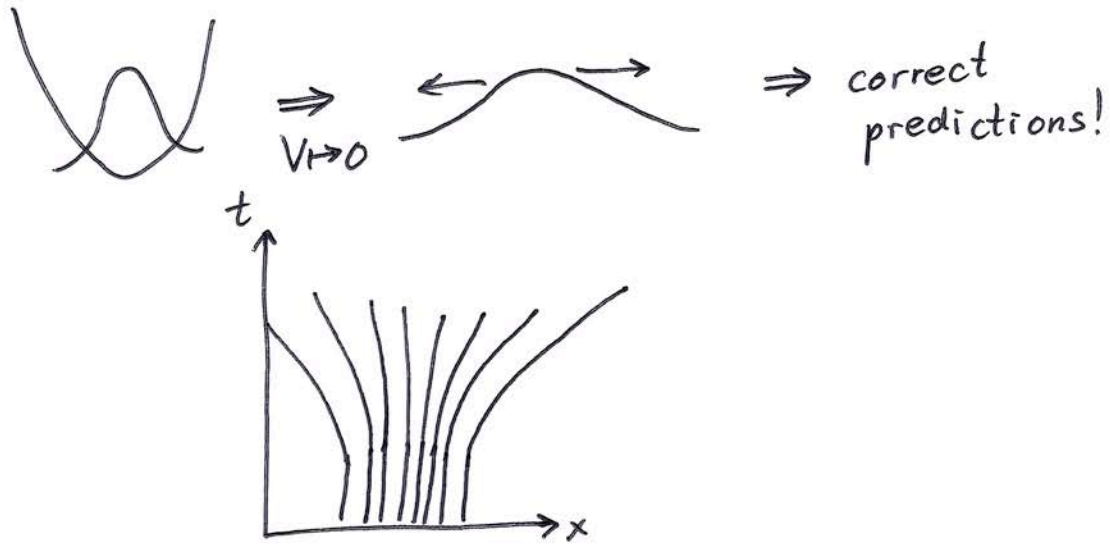
Note:  $\dot{\vec{r}} = \vec{v}$  is not connected to momentum distribution!

(e.g.  $\vec{v} \equiv 0$  in stationary states, but  $\langle \hat{p}^2 \rangle \neq 0$ )

But at least:

$$\begin{aligned} \langle \dot{\vec{r}} \rangle_{Bohm} &= \int S \vec{v} d^3\vec{r} = \int |\Psi|^2 \frac{\hbar \nabla \phi}{m} d^3\vec{r} \\ &= \int \vec{p}_{QM} d^3\vec{r} \stackrel{(!)}{=} \langle \Psi | \frac{-i\hbar \nabla}{m} | \Psi \rangle \\ &= \langle \frac{\hat{p}}{m} \rangle_{QM} \end{aligned}$$

Measure mom. (veloc. distr.) by time-of-flight



Many particles:

$$\Psi = \Psi(\vec{r}_1, \vec{r}_2, \dots) = |\Psi| e^{i\varphi}$$

$$\varphi = \varphi(\vec{r}_1, \vec{r}_2, \dots)$$

$$\dot{\vec{r}}_j(t) = \frac{\hbar}{m_j} \nabla_j \varphi$$

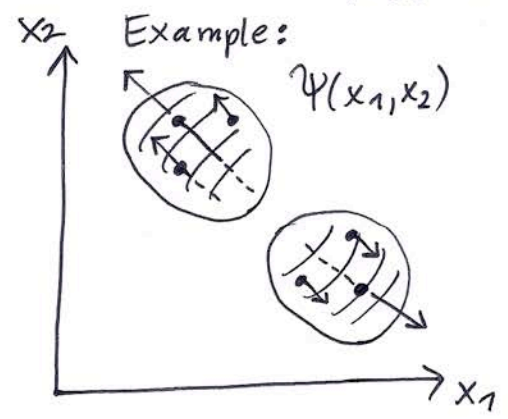
⇒ treat many-body problems Like sys + msmt apparatus configuration  $(\vec{r}_1, \vec{r}_2, \dots)$  follows one branch in Everett's picture

product state ⇒  $\varphi = \varphi_1(\vec{r}_1) + \varphi_2(\vec{r}_2) + \dots$

$\Psi = \Psi_1(\vec{r}_1) \cdot \Psi_2(\vec{r}_2) \dots$  ⇒  $\dot{\vec{r}}_j = \frac{\hbar}{m_j} \nabla_j \varphi_j(\vec{r}_j)$  depends only on  $\vec{r}_j$  ✓

entangled state ⇒  $\dot{\vec{r}}_j$  depends on other  $\vec{r}_{l \neq j}$  !

⇒ nonlocal theory?  
Not so quick!



Two stories

1. " $\dot{x}_2 > 0$  or  $\dot{x}_2 < 0$  depending on  $x_1$  ⇒ nonlocal?"
2. Particle states are correlated: either ~~both  $\dot{x}_1 > 0, \dot{x}_2 > 0$~~   
 $\dot{x}_1 > 0, \dot{x}_2 < 0$   
 or  $\dot{x}_1 < 0, \dot{x}_2 > 0$

⇒ no mystery

Have to show:  $\dot{x}_2$  can change depending on ~~what we~~ which forces we apply to  $x_1$ , in the absence of interactions (i.e. when 1 & 2 are far apart)

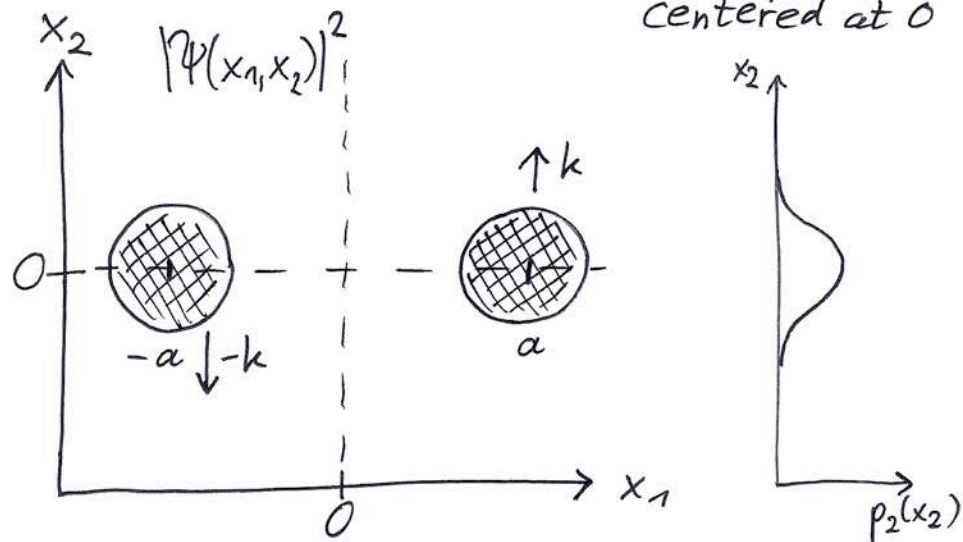


Two particles in state

$$\Psi(x_1, x_2) = \phi_1(x_1 - a) \phi_2(x_2) e^{ikx_2} + \phi_1(x_1 + a) \phi_2(x_2) e^{-ikx_2}$$

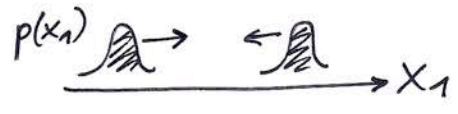
Wave packet, centered at 0

entangled!



Now: Apply potential to  $x_1$

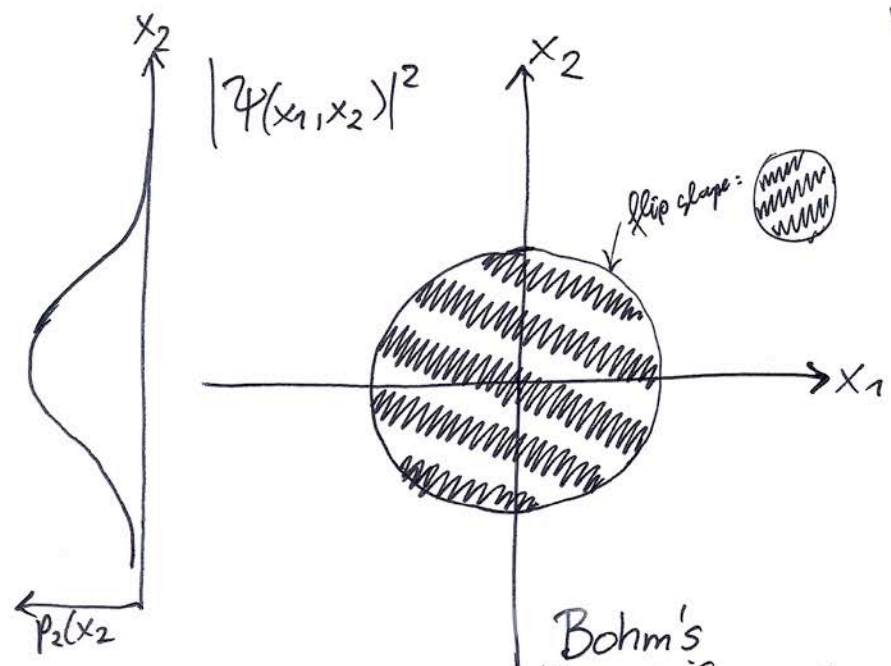
⇒ accelerate wave packets towards each other



⇒ interference

$$\Psi(x_1, x_2) \sim \phi_1(x_1) \phi_2(x_2) [e^{+i(qx_1 + kx_2)} + e^{-i(qx_1 + kx_2)}]$$

$$2 \cos(-qx_1 + kx_2)$$



$\nabla_1 \Psi = \nabla_2 \Psi = 0$   
 at this point in time!  $\Rightarrow \dot{x}_2 = 0$   
 in contrast to situation without the potential applied to  $x_1$

Bohm's theory is nonlocal!  $\Leftarrow$  ⇒ trajectory  $x_2(t)$  changes in response to action on  $x_1$ !

Note:  $p_2(x_2) = \int |\Psi(x_1, x_2)|^2 dx_1$

does not ~~change~~ depend on force applied to 1!

Proof: For  $\hat{H} = \hat{H}_1 + \hat{H}_2$  (no interactions)

obs. of sys. 2  $\frac{d}{dt} \hat{A}_2 = \frac{1}{i\hbar} [\hat{A}_2, \hat{H}] = \frac{1}{i\hbar} [\hat{A}_2, \hat{H}_2]$

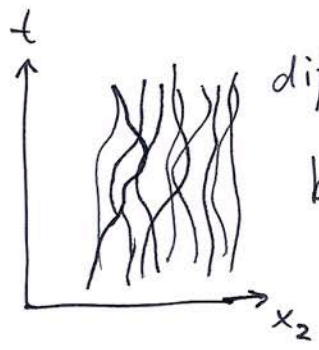
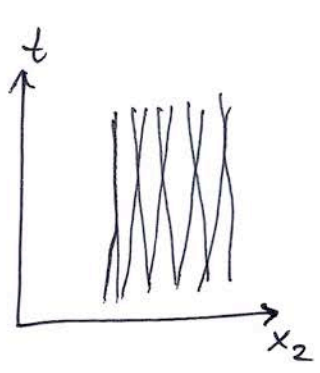
only depends on observ. of sys. 2

$\Rightarrow \hat{A}_2(t) = fct$  (sys 2 operators at  $t=0$ )  
independent of  $\hat{H}_1(t)$ !

$\Rightarrow$  with  $\hat{A}_2 \equiv S(\hat{x}_2 - x_2)$  have  
 $\langle \Psi(t) | \hat{A}_2 | \Psi(t) \rangle = p_2(x_2)$   
is independent of  $\hat{H}_1(t)$ !

$\Rightarrow$  By measuring  $x_2$ , we cannot tell whether force was applied to 1

$\Rightarrow$  no signalling faster than light!  
(though each trajectory changes, but cannot observe traj's!)



different trajectories, but the same  $p_2(x_2)$ !

Bell: This must be the case,  
~~if~~ since Bohm's theory  
 reproduces QM predictions

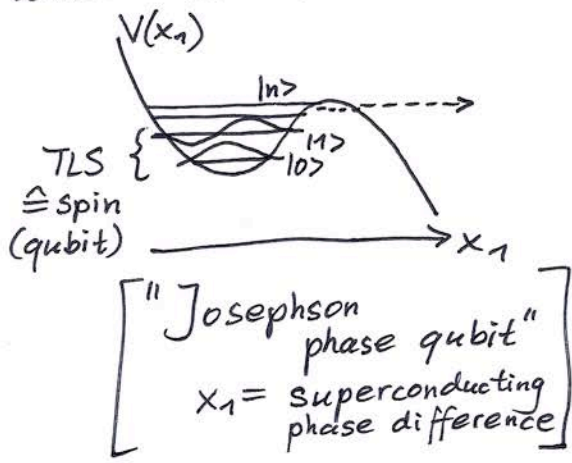
cannot be local  
 hidden var. theory, i.e.

correlations in outcomes  
cannot be explained by  
 correlations in  $\lambda = (x_1(0), x_2(0), \psi(x_1, x_2, 0))$   
 but "msmt setting at 1 influences 2"

Q: What about spin?

→  $\exists$  extensions of Bohm's theory with spin  
 \* → see 3b

→ Can set up EPR/Bohm expt.  
 with artificial two-level sys. based on continuous  $x_{1,2}$ :

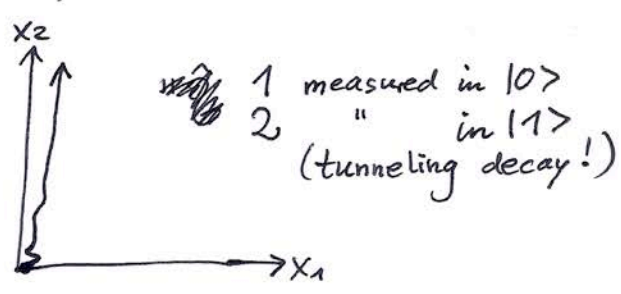


msmt of " $\hat{\sigma}_z$ ":  
 drive  $|1\rangle \rightarrow |n\rangle$   
 transition  $\Rightarrow$   
 tunneling decay  
only if we were in  $|1\rangle$

- Spin rotations:
- change energy levels  $\Rightarrow$  rot. around  $\hat{\sigma}_z$
  - $\rightsquigarrow$  drive Rabi oscillations  $\Rightarrow$  rot. around  $\hat{\sigma}_x, \hat{\sigma}_y$

Consider  $|4\rangle = \frac{1}{\sqrt{2}} [ |1\rangle_1 |0\rangle_2 - |0\rangle_1 |1\rangle_2 ]$

$\hat{=}$  singlet state  
 rotate spins & measure  $\hat{\sigma}_{z1}$  &  $\hat{\sigma}_{z2}$



Bohm with spin:

(e.g. spin  $\frac{1}{2}$ )  $\Psi_{\mathcal{Z}}(\vec{r}, t)$

$$\mathcal{Z} = \pm 1$$

$$\Rightarrow \vec{v}(\vec{r}, t) \equiv \frac{\vec{j}(\vec{r}, t)}{S(\vec{r}, t)}$$

where

$$\vec{j}(\vec{r}, t) \equiv \sum_{\mathcal{Z}=\pm 1} \text{Re} \left[ \Psi_{\mathcal{Z}}^* \frac{-i\hbar \vec{\nabla}}{m} \Psi_{\mathcal{Z}} \right]$$

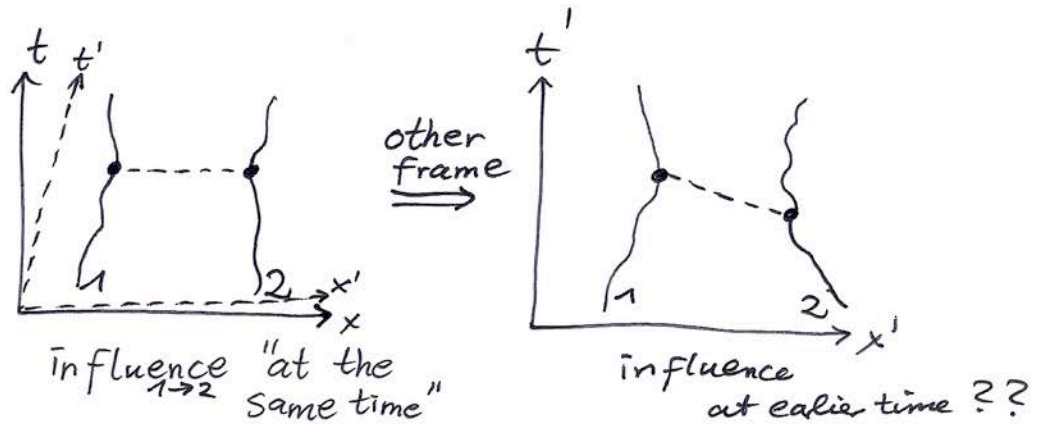
$$S(\vec{r}, t) \equiv \sum_{\mathcal{Z}=\pm 1} |\Psi_{\mathcal{Z}}(\vec{r}, t)|^2$$

[more particles:  $\sum$  over all  $\mathcal{Z}$  in  $\Psi_{\mathcal{Z}_1 \mathcal{Z}_2 \dots \mathcal{Z}_N}(\vec{r}_1, \vec{r}_2, \dots)$ ]

$\Rightarrow$  Spin msmt / Stern Gerlach / Bell

Note: Bohm's theory not relativistic  
Extensions are being explored  
(easy to use Dirac eq., for example)

But:



Note: Bohm's theory not unique,

every

$$\vec{v}' = \frac{\vec{j}'}{S}$$

with  $\text{div}(\vec{j}' - \vec{j}_{em}) = 0$

will do!

(i.e. reproduce correct  $S = |\psi|^2$ )

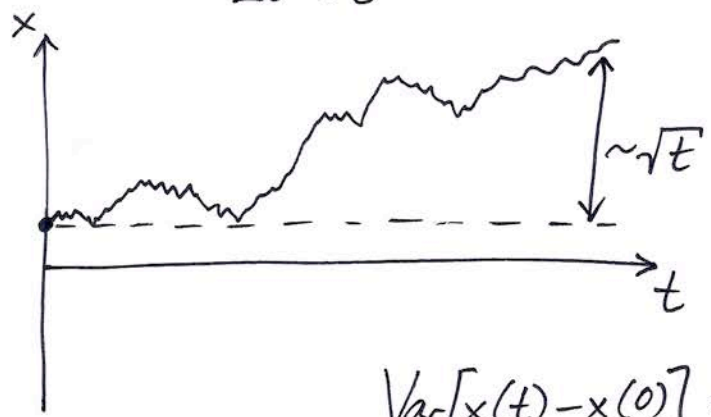
# 5.3 Nelson's Stochastic Quantization

Brownian motion:

$$x(t + \Delta t) = x(t) + \overbrace{\Delta x(t)}^{\text{random variable, independent for each step}}$$

$$\langle \Delta x \rangle = 0, \text{ and } \text{Var } \Delta x = 2D \Delta t$$

$\Delta t \rightarrow 0$        $\rightarrow$  diffusion constant



$$\text{Var}[x(t) - x(0)] = 2Dt$$

$$= \left\langle \left( \sum_{j=1}^{t/\Delta t} \Delta x_j \right)^2 \right\rangle$$

$$= \sum_{j=1}^{t/\Delta t} \langle \Delta x_j^2 \rangle = \frac{t}{\Delta t} \cdot 2D\Delta t = 2Dt$$

"Instantaneous velocity"?

$$\frac{x(t + \Delta t) - x(t)}{\Delta t} = \frac{\Delta x}{\Delta t} = \tilde{v}$$

$$\Rightarrow \text{Var } \tilde{v} = \frac{\text{Var } \Delta x}{\Delta t^2} = \frac{2D}{\Delta t} \rightarrow \infty \quad \text{for } \Delta t \rightarrow 0$$



white noise:  $\langle \tilde{v}(t) \tilde{v}(0) \rangle = 2D \delta(t)$

# Drift-diffusion process:

$$x(t+\Delta t) = x(t) + \Delta x(t) + \Delta t \cdot \underbrace{v(t)}_{\text{drift velocity}}$$

$$\frac{dx}{dt} = \underbrace{\tilde{v}(t)}_{\text{noise}} + \underbrace{v(t)}_{\text{drift}}$$

e.g.  $v(t) = -\gamma x(t) = v(x(t))$  "drift field"



"Ornstein-Uhlenbeck process"

(e.g. Brownian motion in harmonic potential; particle overdamped, e.g. in liquid)

$$\begin{aligned} [ \quad x(t) &= \underbrace{x(0)}_{e^{-\gamma t}} + \int_0^t e^{-\gamma(t-t')} \tilde{v}(t') dt' \\ \Rightarrow \langle x(t)^2 \rangle &= \left\langle \left( \int_0^t e^{-\gamma(t-t')} \tilde{v}(t') dt' \right)^2 \right\rangle \\ &\stackrel{\gamma t \rightarrow \infty \text{ (leave away } x(0)\text{-term etc.)}}{=} \int_0^t \int_0^t e^{-\gamma(t-t_1)} e^{-\gamma(t-t_2)} \underbrace{\langle \tilde{v}(t_1) \tilde{v}(t_2) \rangle}_{2D \delta(t_1-t_2)} dt_1 dt_2 \\ &= \int_0^t e^{-2\gamma(t-t')} 2D dt' \stackrel{\gamma t \rightarrow \infty}{=} \frac{D}{\gamma} \quad ] \end{aligned}$$

Probability density  $S(x,t)$ :

$$\begin{aligned}\partial_t S &= -\partial_x J_{\text{drift}} + D \partial_x^2 S \\ &= \underbrace{-\partial_x (S(x)v(x))}_{\text{drift}} + \underbrace{D \partial_x^2 S}_{\text{diffusion}}\end{aligned}$$

$\Rightarrow$  stationary case:  $\partial_t S = 0$

$\Leftrightarrow$

$$D \partial_x^2 S - S v(x) = \text{const} = 0$$

$\rightarrow$  only normalizable solution!

$$\frac{\partial_x S}{S} = \frac{v(x)}{D}$$

$$\partial_x \ln S = \frac{v(x)}{D}$$

$\Rightarrow$  if  $v(x) = -\mu U'(x)$  then

$\swarrow$  mobility  
 $\nwarrow$  potential

$$\partial_x \ln S = -\frac{\mu}{D} \partial_x U(x)$$

$$\Rightarrow S(x) = N \cdot \exp\left[-\frac{\mu}{D} U(x)\right]$$

e.g. in classical statistical physics:

~~$D = \mu kT$~~   $D = \mu kT$

"Einstein relation"

$\Rightarrow$  Boltzmann distribution!



Conditional expectation values: (statistical, not related to QM!) (136)

$$E(X | Y=y_0) \equiv \frac{\langle X \delta(Y-y_0) \rangle}{\langle \delta(Y-y_0) \rangle} \leftarrow \text{normalization}$$

Example:

$$E(\Delta x(t) | x(t) = x_t) = \frac{\langle \Delta x(t) \delta(x(t) - x_t) \rangle}{\langle \delta(x(t) - x_t) \rangle}$$

↑ uncorrelated, by assumption

$$= \frac{\langle \Delta x(t) \rangle \langle \delta(x(t) - x_t) \rangle}{\langle \delta(x(t) - x_t) \rangle}$$

↑ " " "

$$= 0$$

But what is:

$$E(\Delta x(t) | x(t+\Delta t) = x_+) = \frac{\langle \Delta x(t) \delta(x(t+\Delta t) - x_+) \rangle}{\langle \delta(x(t+\Delta t) - x_+) \rangle}$$

$$x(t+\Delta t) = x(t) + \underbrace{\Delta x(t)}_{\mathcal{O}(\sqrt{\Delta t})} + \underbrace{v(x(t)) \Delta t}_{\mathcal{O}(\Delta t)}$$

$$\Rightarrow \delta(x(t+\Delta t) - x_+) \approx \delta(x(t) + \Delta x(t) - x_+) \\ \approx \delta(x(t) - x_+) + \Delta x(t) \delta'(x(t) - x_+) + \dots$$

$$\Rightarrow \langle \Delta x(t) \delta(\dots) \rangle = \underbrace{\langle \Delta x(t) \rangle}_{0} \langle \delta(x(t) - x_+) \rangle \\ + \frac{\langle \Delta x(t)^2 \rangle}{2D\Delta t} \langle \delta'(x(t) - x_+) \rangle \\ = \int \delta'(x(t) - x_+) \rho(x(t)) dx(t) \\ = \text{partial integr.} - \rho'(x_+)$$

$$\Rightarrow E(\Delta x(t) | x(t+\Delta t) = x_+) = -2D\Delta t \frac{\rho'(x_+)}{\rho(x_+)}$$

$$\Rightarrow E\left(\frac{x(t+\Delta t) - x(t)}{\Delta t} \mid x(t) = x_t\right) = \dots = v(x_t)$$

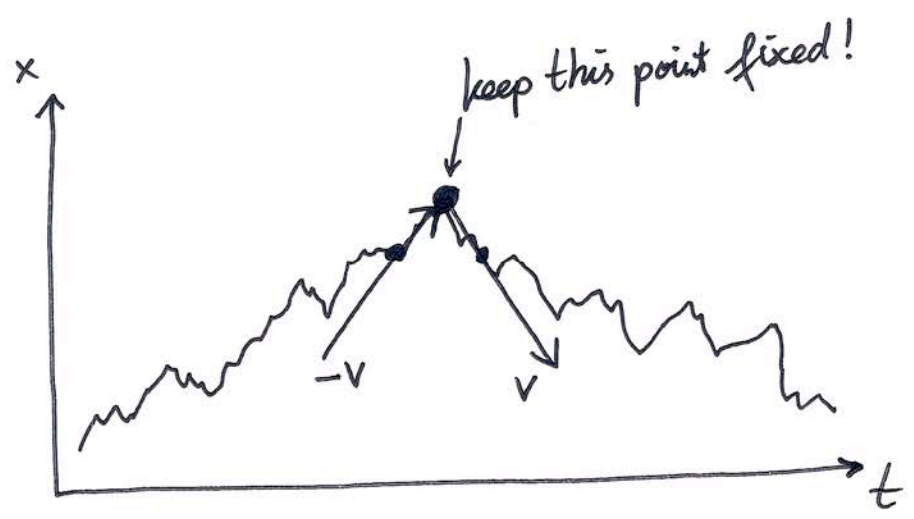
but

$$E\left(\frac{x(t+\Delta t) - x(t)}{\Delta t} \mid x(t+\Delta t) = x_+\right)$$

$$= E\left(\frac{\Delta x}{\Delta t} + \underbrace{v(x(t))}_{\substack{\approx v(x(t+\Delta t)) \\ (!)}} \mid x(t+\Delta t) = x_+\right)$$

see above!

$$= -2D \underbrace{\frac{S'(x_+)}{S(x_+)}}_{\frac{1}{D}v(x_+)} + v(x_+) = \underline{\underline{-v(x_+)}}$$



⇒ Two kinds of "derivatives":

$$\frac{d}{dt}_{\rightarrow} f(x(t), t) \equiv E\left(\frac{f(x(t+\Delta t), t+\Delta t) - f(x(t), t)}{\Delta t} \mid x(t) \text{ fixed}\right)$$

~~$$\frac{d}{dt}_{\leftarrow} f(x(t), t) \equiv E\left(\frac{f(x(t), t) - f(x(t-\Delta t), t-\Delta t)}{\Delta t} \mid x(t) \text{ fixed}\right)$$~~

$$\frac{d}{dt}_{\leftarrow} f(x(t), t) \equiv E\left(\frac{f(x(t), t) - f(x(t-\Delta t), t-\Delta t)}{\Delta t} \mid x(t) \text{ fixed}\right)$$

result (for stationary process)

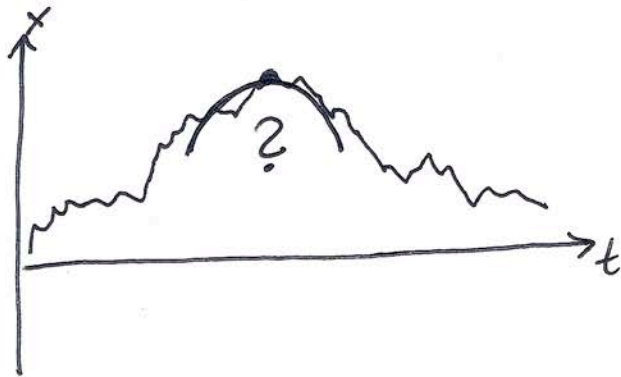
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$$\frac{d}{dt_{\rightarrow}} f(x(t), t) = [\partial_t + v(x)\partial_x + D\partial_x^2] f(x(t), t)$$

$$\frac{d}{dt_{\leftarrow}} f(x(t), t) = [\partial_t - v(x)\partial_x - D\partial_x^2] f(x(t), t)$$

(results are again random processes, since they depend on  $x(t)$  !)

"Acceleration" of a drift-diffusion process:



Define  
(Symmetric choice)

$$a(t) = \frac{1}{2} \left[ \frac{d}{dt_{\rightarrow}} \frac{d}{dt_{\leftarrow}} + \frac{d}{dt_{\leftarrow}} \frac{d}{dt_{\rightarrow}} \right] x(t)$$

(=  $a(x(t))$ )

~~e.g. Ornstein-Uhlenbeck~~

$\Rightarrow \dots \Rightarrow$

$$a(x) = - (v(x)\partial_x)v(x) - D\partial_x^2 v(x)$$

(for stationary process)

e.g. for Ornstein-Uhlenbeck:  $v(x) = -\gamma x$   
 $\Rightarrow$   
 $a = -\gamma^2 x$

[Note: asymmetric def.  $a = \frac{d^2}{dt_{\rightarrow}^2} x(t)$  would give opposite sign !]

Now: Find process with prescribed acceleration

$$a = a(x) \stackrel{!}{=} -\frac{1}{m} U'(x)$$

(à la Newton  
 $ma = F = -U'(x)$ )

$$\Leftrightarrow \frac{1}{m} U'(x) = (v \partial_x) v + D \partial_x^2 v$$

~~Use the ansatz  $v(x) = D \partial_x \ln S$~~

~~$$(v \partial_x) v = \frac{1}{2} \partial_x v^2$$~~

$$\Rightarrow -\frac{m}{2} v^2 - mD \partial_x v + U = \text{const}$$

Use  $v(x) = D \partial_x \ln S$

& define  $S \stackrel{!}{=} \psi^2(x)$

$$\Rightarrow v(x) = 2D \partial_x \ln \psi = 2D \frac{\partial_x \psi}{\psi}$$

$$\Leftrightarrow -2mD^2 \underbrace{\left( \frac{\partial_x \psi}{\psi} \right)^2}_{\checkmark} - 2mD^2 \underbrace{\partial_x \frac{\partial_x \psi}{\psi}}_{\frac{\partial_x^2 \psi}{\psi} - \frac{(\partial_x \psi)^2}{\psi^2}} + U = \text{const}$$

$$\Leftrightarrow -2mD^2 \partial_x^2 \psi + U \psi = \text{const} \cdot \psi$$

Set  $D = \frac{\hbar}{2m}$

$\Rightarrow \uparrow$  Schrödinger equation (with  $\text{const} = E$ )!  
stationary

⇒ SEQ appears automatically!

Summary:

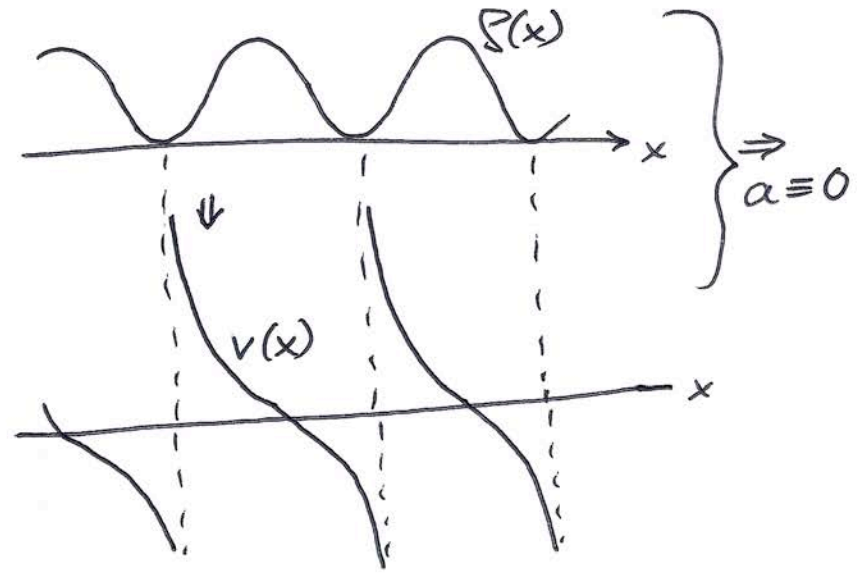
Find processes with  
 $a(x) = -\frac{1}{m}U'(x)$   
 and  $D = \frac{\hbar}{2m}!$



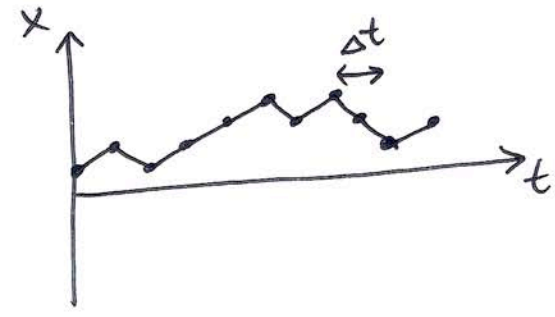
Solve SEQ for  $U(x)$   
~~Any~~ All processes with  
 $S_n(x) \approx \psi_n^2(x) \forall n$   
 energy eigenfunction  
 are solutions!

(Nelson, 1966)

e.g.  $U(x) \equiv 0$ : All standing waves



Note:  $D = \frac{\hbar}{2m}$   
 results from steps  $\pm cat$  with  
 $\Delta t = \frac{\hbar}{mc^2} \sim$  "Compton time"  $\approx 10^{-21}$  s  
 for  $e^-$



Time-dependent case: more freedom for eq. of motion (141)

$$\dot{x} = \tilde{v} + D \frac{\partial_x S}{S} + \frac{\hbar}{m} \partial_x \varphi$$

extra term, assumed to be a gradient

$$\& a \stackrel{!}{=} -\frac{1}{m} U'(x)$$

$$\Rightarrow \psi = \sqrt{S} e^{i\varphi}$$

fulfills time-dependent SEQ!

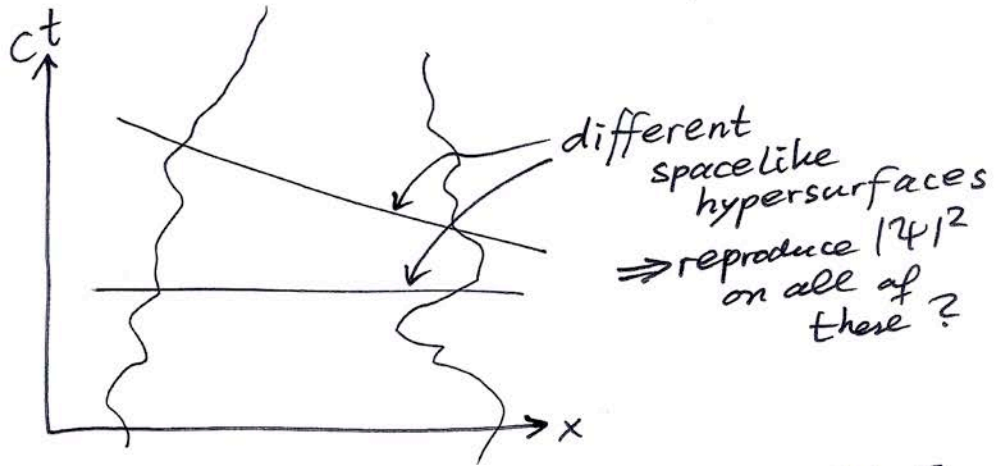
[→ movie]

⇒  $\dot{x}$ -equation looks like "Bohm + drift-diffusion"

# 5.4 Hidden-variable theories: Can they be Lorentz-invariant? <sup>o</sup>

(142)

Question: Can we construct any  
(nonlocal) HV-theory that reproduces  
QM probabilities in all reference frames?  
[i.e. for msmts at different  
times & places]



works for single particle [e.g. Dirac-Bohm],  
but beyond that?

# Hardy's experiment 1992

(à la Berndl, Dürr, Goldstein, Zanghi) 1996

Consider

$$|\Psi_{\text{Hardy}}\rangle = \frac{1}{\sqrt{3}} (|\uparrow\rangle_{\text{particle A}} |\downarrow\rangle_{\text{particle B}} - \sqrt{2} |\leftarrow\rangle |\uparrow\rangle)$$

$$|\leftarrow\rangle = \frac{1}{\sqrt{2}} (|\uparrow\rangle - |\downarrow\rangle) \Rightarrow \frac{1}{\sqrt{3}} (|\downarrow\rangle |\uparrow\rangle - \sqrt{2} |\uparrow\rangle |\leftarrow\rangle)$$

$$= \frac{1}{\sqrt{3}} (|\uparrow\rangle |\downarrow\rangle - |\uparrow\rangle |\uparrow\rangle + |\downarrow\rangle |\uparrow\rangle)$$

$$= \frac{1}{\sqrt{12}} (|\vec{\uparrow}\rangle |\rightarrow\rangle - |\rightarrow\rangle |\leftarrow\rangle - |\leftarrow\rangle |\rightarrow\rangle - 3|\leftarrow\rangle |\leftarrow\rangle)$$

⇒ Conclusions:

$$A_x = +1 \Rightarrow B_z = -1$$

(since  $\langle \Psi_{\text{Hardy}} | \vec{\uparrow}_A, \uparrow_B \rangle = 0$ )

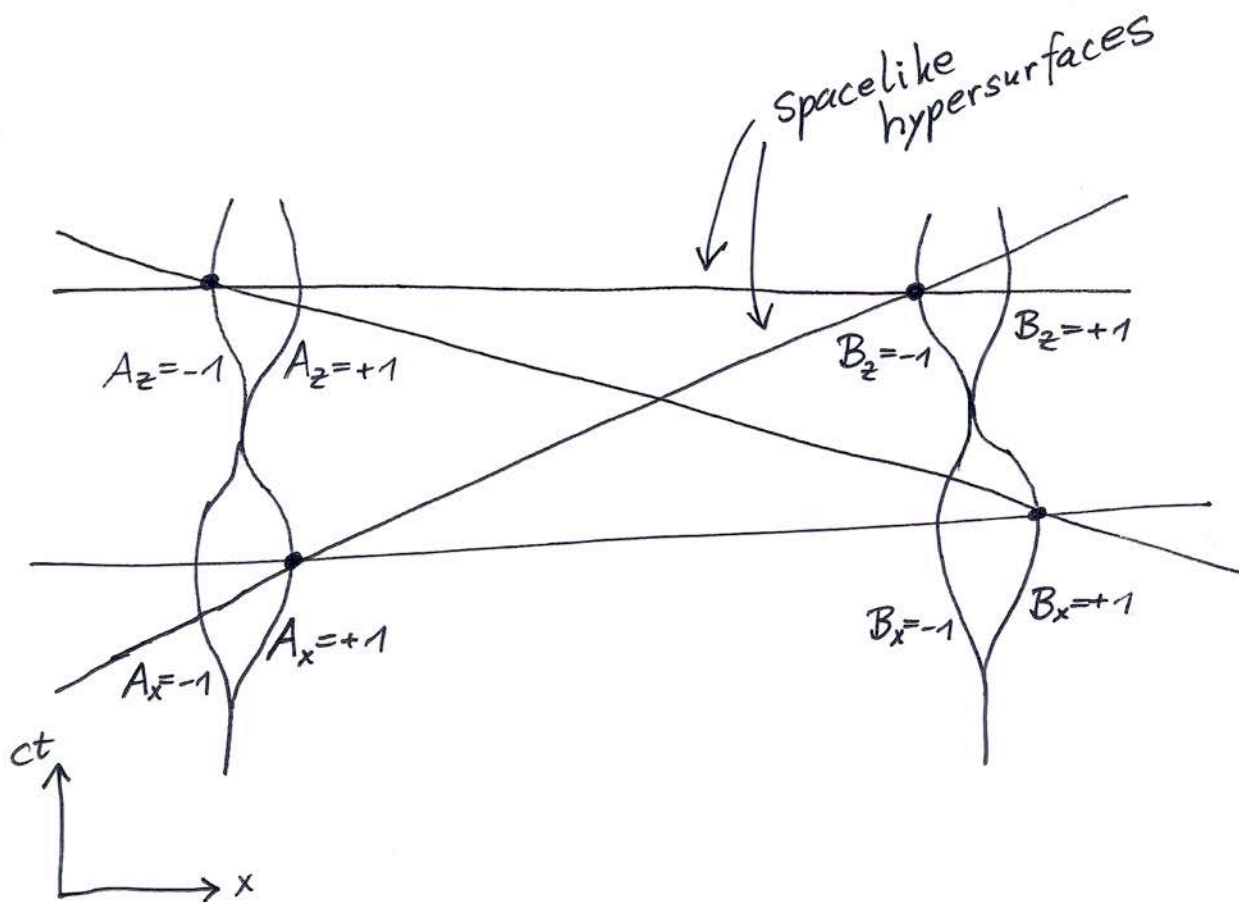
$$B_x = +1 \Rightarrow A_z = -1$$

( $A_z = -1$  and  $B_z = -1$ ) never happens

$$P(A_x = +1 \text{ and } B_x = +1) = \frac{1}{12}$$



For A,B: Stern-Gerlach "quantum eraser" setup (x-dir.)  
& then another St. Gerl. (z-dir.)



Demand: Trajectories reproduce  $|\psi|^2$  (=QM pred.)  
when cut by any spacelike hypersurface

⇒ ⚡ because:

$$A_x = +1 \wedge B_x = +1 \quad (\text{with prob. } \frac{1}{12})$$

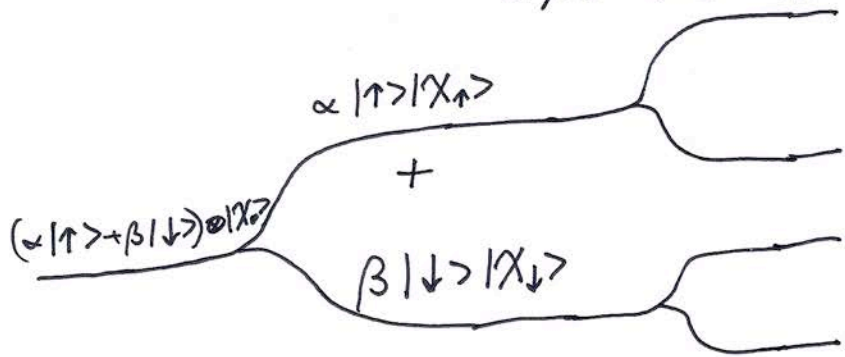
$$\Rightarrow \cancel{A_z = -1} \wedge \cancel{B_z = -1} \quad \swarrow$$

# 5.5 "Many worlds"

(Everett, DeWitt...)

Take QM serious for everything!

( $sys_1 + sys_2 + app. 1 + app. 2 + \dots$ )



"branching"  
 → in each branch:  
 may assume  $\psi$  to  
 be collapsed (since  
 branches won't  
 interfere again)

Initial problem: How to choose basis?  
 $[\alpha|\phi\rangle + \beta|\psi\rangle = \alpha'|\phi'\rangle + \beta'|\psi'\rangle \dots]$

Today: Pick macroscopically  
 distinct, irreversibly separate  
 states → theory of decoherence  
 (& classical questions  
 of irreversibility &  
 arrow of time)

"Is this superposition really there?"

"Yes, if the SEQ  
 is correct"

Problem: ~~the~~ "Weight" of branches?

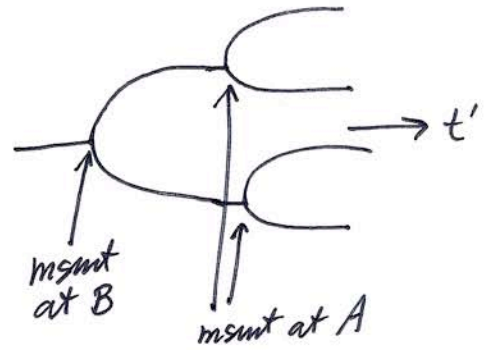
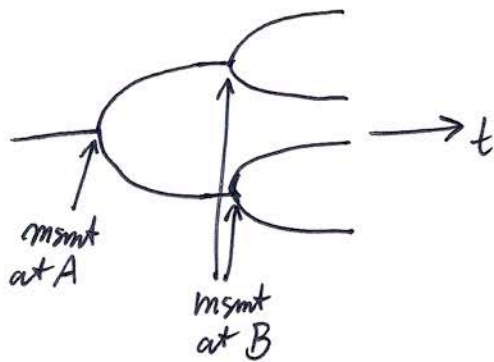
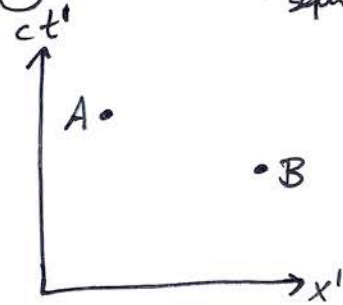
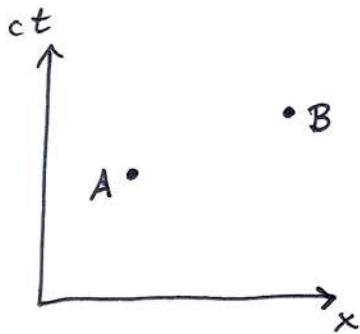
→ Postulate (derive?) Born rule, i.e. " $|\psi|^2$ "

→ self-consistent, i.e. ~~repeated~~ msmts  
 on a string of identically prepared  
 systems yield correct frequencies with  
 near certainty

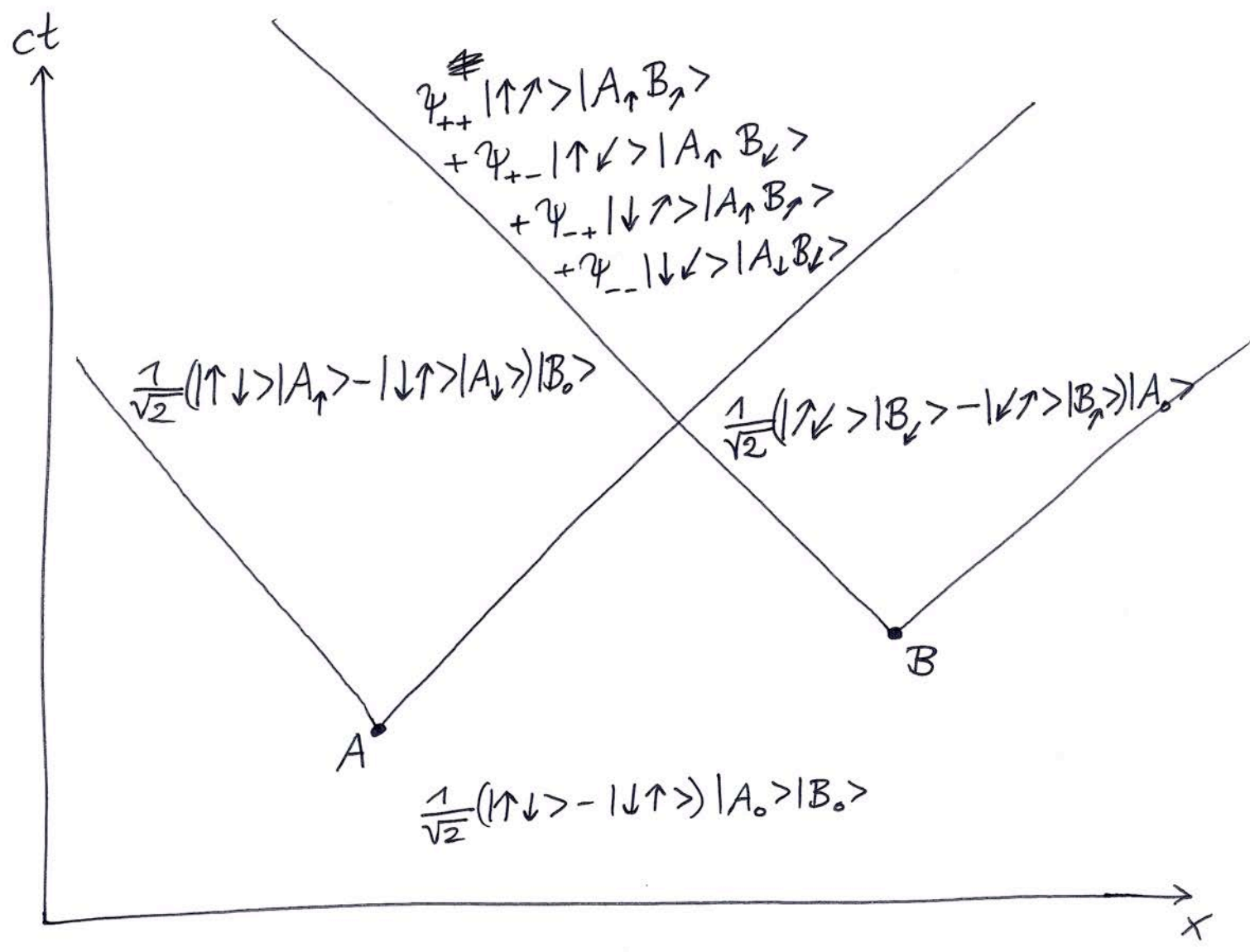
# Relativistic description?

[Note:  $i\hbar\partial_t|\Psi\rangle = H|\Psi\rangle$  ~~may~~ does evolve according to relativistic quantum field theory, but that does not guarantee our description is relativ. invariant]

Problem: Time-ordering of branching events! (which are spacelike separated)



Bell-experiment, in  
a relativistic many-worlds picture  
(à la S. Saunders?)



let  $|\uparrow\rangle, |\downarrow\rangle$  be some other  
basis in the  $\text{spin-}\frac{1}{2}$  Hilbert space  
(msmt basis for B)

# 5.6 Consistent histories

(Griffiths '84, Omnès, Gell-Mann, Hartle)

Goal: Assign probabilities to statements like "The spin pointed along z at time 0 and along x at time t"

Challenge: Incompatible choices of basis give different stories [remember Kochen-Specker!]

Generalization of branching many-worlds in picture of msmt



(now: also interference)



can be treated)

Need to: Avoid problems with description at different times, identified by Leggett-Garg or for Bohm & Nelson hidden variable theories!

Example of a "history":

$$Y = \cancel{F_0} \otimes F_1 \otimes \dots \otimes F_n \in \mathcal{H}^n$$

"event" at time  $t_1$   
= projector,  
e.g.  $|\phi\rangle\langle\phi|$   
or  $|\phi_1\rangle\langle\phi_1| + |\phi_2\rangle\langle\phi_2|$

event at time  $t_n$

Hilbert space of qu. system  
(for each time "slice": use one copy)

assume:  $t_1 < t_2 < \dots < t_n$

Calculate probability by defining "chain operator"

$$K(Y) \equiv F_n U(t_n, t_{n-1}) \dots U(t_3, t_2) F_2 U(t_2, t_1) F_1$$

↑ time-evolution operator

then:

$$P(Y) \equiv \text{tr}(K^+(Y)K(Y))$$

⇒ e.g. for two times:  
Born rule

$$Y = |\phi_1\rangle\langle\phi_1| \otimes |\phi_2\rangle\langle\phi_2|$$

$$P(Y) = \dots = \langle\phi_2| \hat{U}(t_2, t_1) |\phi_1\rangle^R$$

↓ initial state

~~"Family of histories"~~

~~$$\Pi = \sum_{\alpha} \gamma^{\alpha}$$~~

would like to have  $P(\text{"}Y^2 \text{ or } Y^5\text{"}) = P(Y^2) + P(Y^5)$

~~$$P(\sum_{\alpha} \lambda_{\alpha} \gamma^{\alpha}) = \sum_{\alpha} \lambda_{\alpha} P(\gamma^{\alpha})$$~~

↓  
= 0 or 1

Sample space of histories:

$\gamma^\alpha$  with

$$\gamma^\alpha \gamma^\beta = \delta_{\alpha,\beta}$$

and  $\mathbb{1} = \sum_{\alpha} \gamma^\alpha$

(150)

"Family of histories": all

$$Y = \sum_{\alpha} \lambda_{\alpha} \gamma^{\alpha}$$

$\downarrow$   
 0 or 1

(like: " $\gamma^2$  or  $\gamma^5$  or  $\gamma^7$ ")

We would like to have

$$P\left(\sum_{\alpha} \lambda_{\alpha} \gamma^{\alpha}\right) = \sum_{\alpha} \lambda_{\alpha} P(\gamma^{\alpha})$$

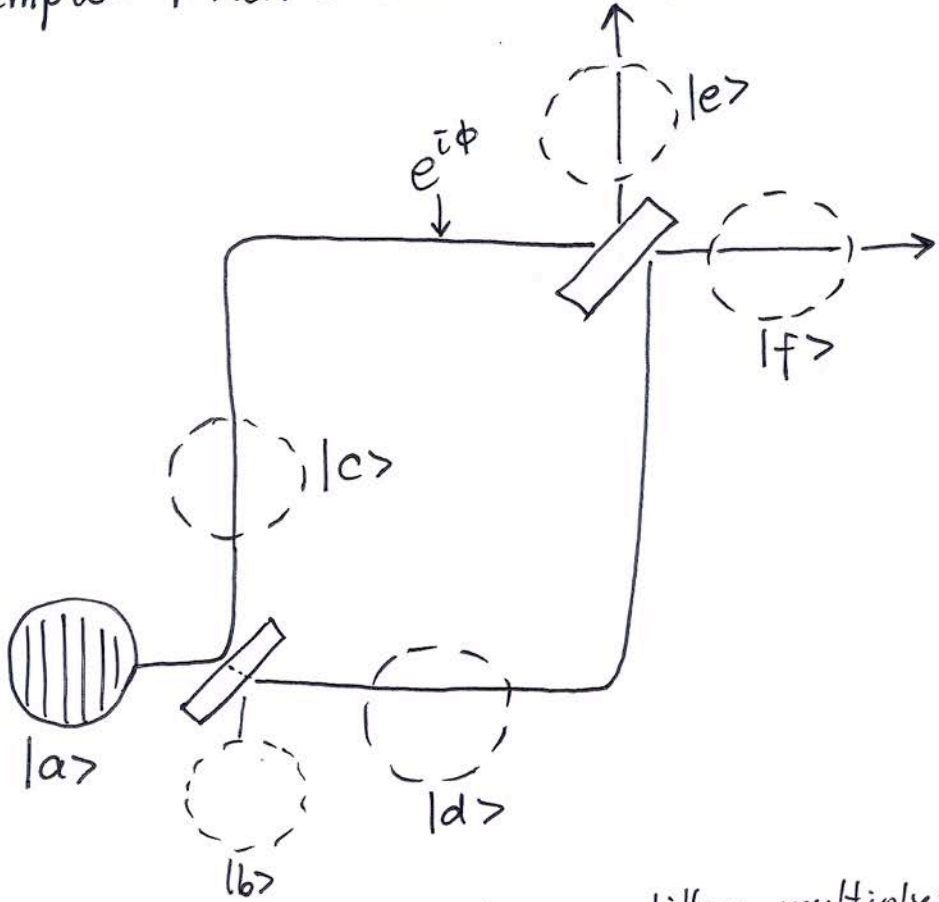
If this works, then this family is called consistent!

Sufficient condition:

$$\text{tr}(K^+(\gamma^{\alpha})K(\gamma^{\beta})) = 0 \text{ for } \alpha \neq \beta$$

Note: Consistency depends on unitary evolution!

Example: Mach-Zehnder interferometer



unitary evolution: at beam splitter multiply:  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} \psi_a \\ \psi_b \end{pmatrix} = \begin{pmatrix} \psi_c \\ \psi_d \end{pmatrix}$

$$|a\rangle \xrightarrow{\hat{U}} \frac{|c\rangle + |d\rangle}{\sqrt{2}} \xrightarrow{\hat{U}} \frac{e^{i\phi} - 1}{2} |e\rangle + \frac{e^{i\phi} + 1}{2} |f\rangle$$

at  $t_1$                       at  $t_2$                       at  $t_3$

example of consistent family of histories:

$$|a\rangle\langle a|_1 \otimes |e\rangle\langle e|_3$$

$$|a\rangle\langle a|_1 \otimes |f\rangle\langle f|_3 \quad (\text{subscript } \rightarrow \text{time})$$

(& ~~others with  $P=0$~~ )

$$(1 - |a\rangle\langle a|) \otimes 1 \text{ with } P=0$$

Inconsistent family:

$$y^{ce} = |a\rangle\langle a|_1 \otimes |c\rangle\langle c|_2 \otimes |e\rangle\langle e|_3$$

$$y^{de} = |a\rangle\langle a|_1 \otimes |d\rangle\langle d|_2 \otimes |e\rangle\langle e|_3$$

⋮

be inconsistent, since  $\text{tr}(K^\dagger(y^{ce}) K(y^{de})) \neq 0$

Note: Bohm/Nelson trajectories would be of this type



Consistent family: <sup>superposition in</sup> <sub>interferometer</sub>

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$$\begin{aligned} &|a\rangle\langle a|_1 \otimes \overbrace{|\psi_+\rangle\langle\psi_+|_2}^{\text{superposition in}} \otimes |e\rangle\langle e|_3 \\ &|a\rangle\langle a|_1 \otimes |\psi_+\rangle\langle\psi_+|_2 \otimes |f\rangle\langle f|_3 \\ &\quad \vdots \end{aligned}$$

where  $|\psi_+\rangle = \frac{|c\rangle + |d\rangle}{\sqrt{2}}$

Other example:

$$\begin{aligned} &|a\rangle\langle a|_1 \otimes |c\rangle\langle c|_2 \otimes \overbrace{|\phi_c\rangle\langle\phi_c|_3}^{\text{superposition outside}} \\ &|a\rangle\langle a|_1 \otimes |d\rangle\langle d|_2 \otimes \overbrace{|\phi_d\rangle\langle\phi_d|_3}^{\text{of interferom.}} \\ &\quad \vdots \end{aligned}$$

with

$$\begin{aligned} |\phi_c\rangle &= \frac{1}{\sqrt{2}} e^{i\phi} (|e\rangle + |f\rangle) \\ |\phi_d\rangle &= \frac{1}{\sqrt{2}} (-|e\rangle + |f\rangle) \end{aligned}$$

# 6.1 "Spontaneous Localization"

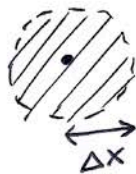
Ghirardi - Rimini - Weber 1986

Chapter  
6. Extensions  
of Quantum  
Mechanics

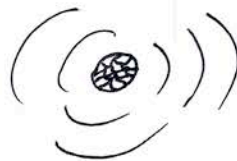
(153)

Hypothesis: Fundamental decoherence, always present,  
 weak enough  $\rightarrow$  microscopic coherence  
 & macroscopic classical  
 dynamics (almost) unchanged  
 strong enough  $\rightarrow$  ~~not~~ destroy coherence  
 of "macroscopic  
 superpositions"

Localize to precision  $\Delta x$ , at rate  $\Gamma$



Example (see Schr.-cat discussion)  
 of a real decoherence process of this kind:  
 thermal emission  
 from molecules



$\Delta x \sim \lambda_{\text{thermal radiation}}$

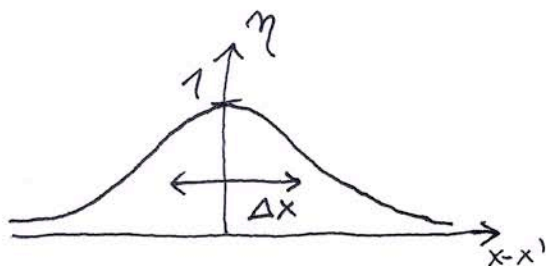
Remember: for one emission

$$S^{\text{new}}(x, x') = \langle e^{ikx} S(x, x') e^{-ikx'} \rangle_k$$

$$= \langle e^{ik(x-x')} \rangle_k S(x, x')$$

$$= \eta(x-x') S(x, x')$$

$\rightarrow 1$  for  $x=x'$   
 $\rightarrow 0$  for  $|x-x'| \rightarrow \infty$



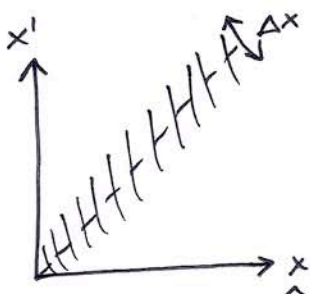
GRW assume simple model:

$$\eta(x-x') = \exp\left[-\frac{(x-x')^2}{4\Delta x^2}\right]$$

⇒ Two parameters in total:  $\Gamma, \Delta x$

master equation:

$$\frac{dS(x,x')}{dt} = \left(\frac{1}{i\hbar} [\hat{H}, \hat{S}]\right)_{(x,x')} - \Gamma(1 - \eta(x-x')) S(x,x')$$



Limit  $\Gamma \rightarrow \infty, \frac{\Gamma}{\Delta x^2} = \text{const} \Rightarrow \frac{d\hat{S}}{dt} = \dots - \frac{\Gamma}{4\Delta x^2} [\hat{x}, [\hat{x}, \hat{S}]]$

Note: View as msmt (without regard to result)

~~$$\hat{S}^{new} = \frac{1}{\sqrt{\pi}\Delta x} \int_{-\infty}^{+\infty} d\tilde{x} e^{-\frac{1}{2\Delta x^2}(\tilde{x}-x)^2} \hat{S} e^{-\frac{1}{2\Delta x^2}(\tilde{x}-x')^2}$$~~

$$S^{new}(x,x') = \frac{1}{\sqrt{\pi}\Delta x} \int_{-\infty}^{+\infty} dq e^{-\frac{1}{2\Delta x^2}(x-q)^2} \underbrace{S(x,x')}_{\text{measured position (up to precision } \Delta x)} e^{-\frac{1}{2\Delta x^2}(x'-q)^2}$$

= Kraus-representation!

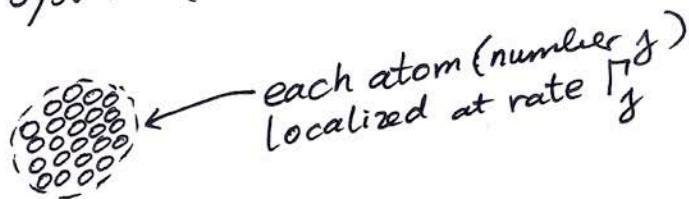
[Check:

$$\begin{aligned} & \int \exp[-[(x-q)^2 + (x'-q)^2]] \\ &= \int \exp[-[2q^2 - 2(x+x')q + (x^2+x'^2)]] \\ &= \int \exp[-2(q - \frac{x+x'}{2})^2 + \frac{1}{2}(x+x')^2 - (x^2+x'^2)] \\ & \qquad \qquad \qquad -\frac{1}{2}(x-x')^2 \\ &= (\dots) \cdot \exp[-\frac{1}{2}(x-x')^2] \end{aligned}$$

Many-particle system (macroscopic body):

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center-of-mass:  $\hat{Q} = \frac{\sum_j m_j \hat{x}_j}{\sum_j m_j}$

relative coordinates:  $\hat{r}_l$  ( $l = 1, 2, \dots, N-1$ )

$$\hat{x}_j = \hat{Q} + \sum_{l=1}^{N-1} c_{jl} \hat{r}_l$$

[Note: choice is a bit arbitrary]

⇒ Evolution of CM-state?

$$S_{CM}(Q, Q') = \int dr_1 \dots dr_N S(x_1, x_2, \dots; x'_1, x'_2, \dots)$$

where  $x_j = Q + \sum_l c_{jl} r_l$   
 $x'_j = Q' + \sum_l c_{jl} r_l$

Localize  $x_j \rightarrow$

$$S_{CM}^{new}(Q, Q') = \int dr_1 \dots dr_N e^{-\frac{1}{4\Delta x^2} \underbrace{(x_j - x'_j)^2}_{Q-Q'}} S(\dots)$$

$$= e^{-\frac{1}{4\Delta x^2} (Q-Q')^2} S_{CM}(Q, Q')$$

⇒ CM localizes on same ~~set~~ scale  $\Delta x$ ,  
 at rate  $\Gamma_{CM} = \sum_j \Gamma_j$

$$\Rightarrow \Gamma_{cm} = N \cdot \Gamma$$

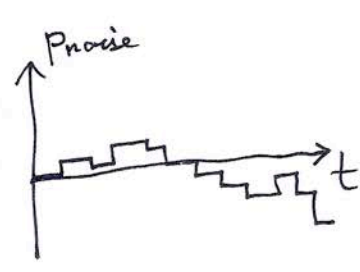
$\Gamma$  → microscopic rate  
 $N$  → number of atoms

⇒ arrange " $\Gamma_{cm}$  large,  $\Gamma$  small"

How to choose  $\Gamma$  and  $\Delta x$  ?

"Side-effects" (unwanted)

Momentum diffusion for one atom:



$\Delta p = \frac{\hbar}{\sqrt{2} \Delta x}$   
 [due to definition of  $\Delta x$ ]

$\Rightarrow$  In time  $t$ :  $p_{noise} = \sum_i \Delta p_i$   
 $Var p_{noise} = (\Gamma t) \cdot \Delta p^2$   
# of events  
 [For CM:  $\Gamma \rightarrow \Gamma_{cm}$ ]

⇒ Energy increase :

$$SE(t) = \frac{Var p_{noise}}{2m} = (\Gamma t) \frac{\Delta p^2}{2m}$$

(Extra) Position spread :

$$X_{noise}(t) = \int_0^t \frac{p_{noise}(t')}{m} dt'$$

$$\langle X_{noise}(t)^2 \rangle = \frac{\hbar^2}{6 m^2 \Delta x^2} \Gamma t^3$$

$$= \frac{1}{3} \frac{\Delta p^2}{m^2} \Gamma t^3$$

Example of reasonable parameters:  
(given by GRW)

$\Gamma_{\text{(micro)}} \sim 10^{-16} \text{ Hz}$	( $\rightarrow$ once in $\sim 10^8 \text{ yrs}$ )
$\Delta x \sim 100 \text{ nm}$	

$\Rightarrow$  microscopic dynamics not changed (almost)

e.g.  $\Delta E(t) \sim 10^{-16} \text{ Hz} \cdot t \cdot \left( \frac{10^{-34} \text{ Js}}{10^{-25} \text{ kg}} \right)^2 \cdot \frac{1}{10^{-25} \text{ kg}}$

$\sim \frac{10^{3+14-68}}{10^{-45}} \frac{\text{J}}{\text{s}} \cdot t$

$\cong 10^{-10} \text{ eV} \cdot \left( \frac{t}{10^{16} \text{ sec}} \right)$  tiny!

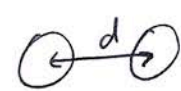
$= \frac{t}{10^8 \text{ yrs}}$

gas of such atoms:  
 $\sim 10^{-15} \frac{\text{K}}{\text{yr}}$  temperature increase: tiny!

Macroscopic object, e.g.  $N \sim 10^{23}$  (about 1g)  
 $\Rightarrow \Gamma_{\text{CM}} \sim 10^7 \text{ Hz}$

let  $\Delta Q_{\text{initial}} \sim 100 \text{ nm}$

$\Rightarrow \langle Q_{\text{noise}}^2 \rangle < \Delta Q_{\text{initial}}^2$   
for times up to  $\frac{100}{100} \text{ yrs!}$

But: superpositions   $d > 100 \text{ nm}$   
destroyed in time  $\leq \mu\text{sec!}$

Goal today: Establish bounds!

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e.g. sphere of  $r \sim 100 \text{ nm}$



$$\Rightarrow N \sim 10^9 \text{ atoms}$$

$$\Rightarrow \Gamma_{\text{cm}} \sim 10^{-7} \text{ Hz}$$

too small to  
measure —

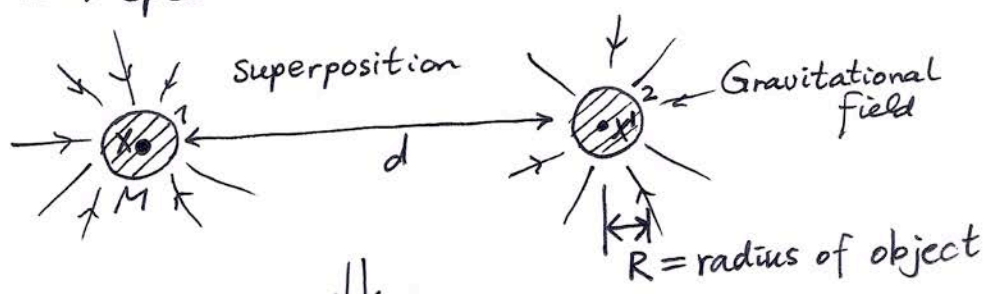
but maybe

$\Gamma_{\text{(micro)}}$  is larger?

# 6.2 Gravitationally-induced decoherence

Penrose  
Diosi

So far: No quantum theory of gravity  
→ speculate!



decoherence?

"NO" in QED,  
but perhaps for QGrav?

Penrose suggests: for a superposition with mass densities  $S_1, S_2$  at the two places:



$$\Gamma_{\varphi}(x, x') = (\text{const.}) \frac{1}{\hbar} \cdot G \int dr dr' \frac{\delta S(r) \delta S(r')}{|r - r'|}$$

$[G \approx 6.7 \cdot 10^{-11} \text{ N} \frac{\text{m}^2}{\text{kg}^2}]$

where

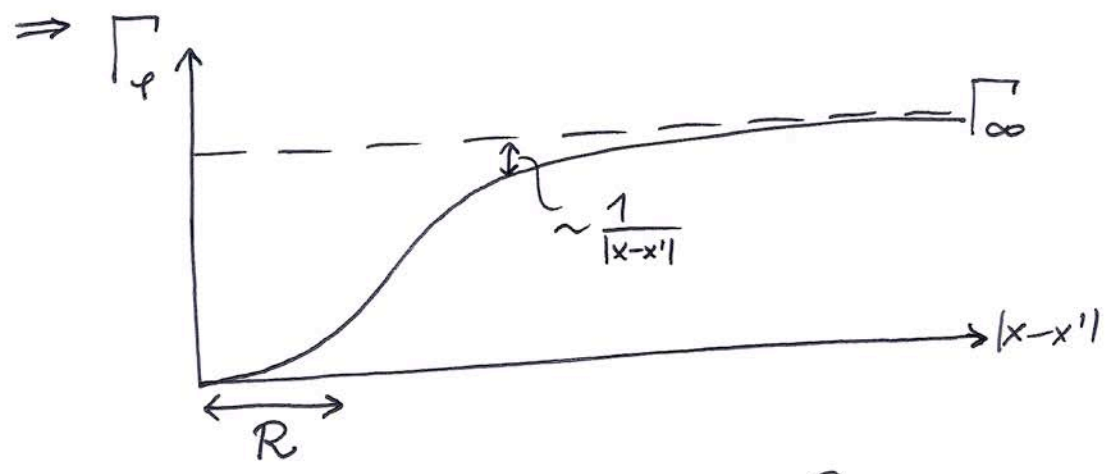
$$\delta S(r) = S_1(r) - S_2(r)$$

and  $S_j(r) = S(r - x_j)$

$|x - x'| \gg R: \delta S = 0$





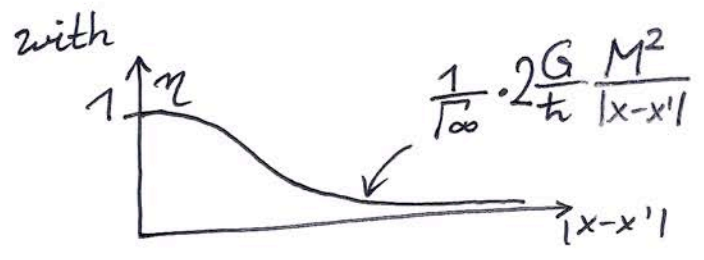


$$\Gamma_\infty = \lim_{|x-x'| \rightarrow \infty} \Gamma_\phi(x, x') = 2 \frac{G}{\hbar} \int dr dr' \frac{S(r)S(r')}{|r-r'|}$$

~  $\frac{1}{\hbar}$  gravitational self-energy  
 ~  $\frac{1}{\hbar} \frac{GM^2}{R}$

⇒ can write

$$\dot{S}(x, x') = \dots - \underbrace{\Gamma_\phi(x, x')} S(x, x') \equiv \Gamma_\infty (1 - \eta(x-x')) \quad \text{[analogous to before]}$$



Typical numbers:

~~1g, 1cm~~,  $R \sim 1 \text{ cm}$   
 $\Rightarrow \Gamma_\infty \sim \frac{G}{\hbar} \frac{M^2}{R} \sim \frac{10^{-10}}{10^{-34}} \frac{10^{-6}}{10^{-2} \text{ Hz}} \sim 10^{20} \text{ Hz} \quad (!)$

$R \sim 100 \text{ nm sphere}; M \sim 10^{-15} \text{ g} \Rightarrow \Gamma_\infty \sim \frac{10^{-10}}{10^{-34}} \frac{10^{-30.6}}{10^{-7}} \sim 10^{-5} \text{ Hz} \quad (!)$

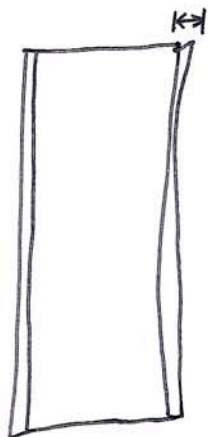
⇒ Very strong dependence on size; at fixed density:  $\Gamma_\infty \sim R^5$

[GRW has  $\Gamma \sim R^3$ ]

Estimate for small displacement

(161) 8b

(e.g. mirror in optomechanics)



Assume

$$|x-x'| \sim 10^{-13} \text{ m}$$

$$R \sim 10^{-5} \text{ m}$$

$$M \sim 5 \cdot 10^{-12} \text{ kg}$$

$$\Rightarrow \Gamma_{\varphi} \sim 10^{-3} \text{ Hz} !$$

[Adler 2007]

Diosi: This gravitational decoherence would follow from

white noise in gravit. potential:

$$\langle \phi(r, t) \phi(r', 0) \rangle = 2 \frac{\hbar G}{|r-r'|} S(t-t')$$

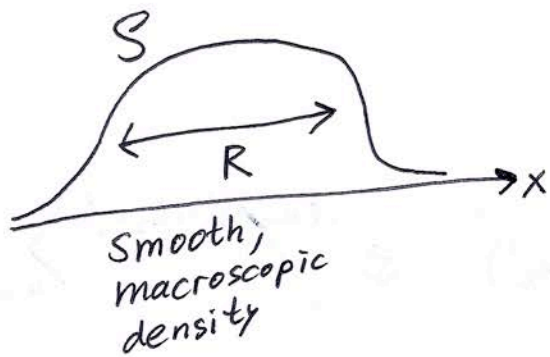
$$\text{where } SE_{\text{grav}}^{(t)} = \int dr \phi(r, t) S(r, t)$$

[Note: Diosi has no "2" on rhs, but needed to get Penrose's choice of  $\Gamma_{\varphi}$ ]

Warning! In usual QFT, it is very wrong to treat quantum fluctuations like classical fluctuations!

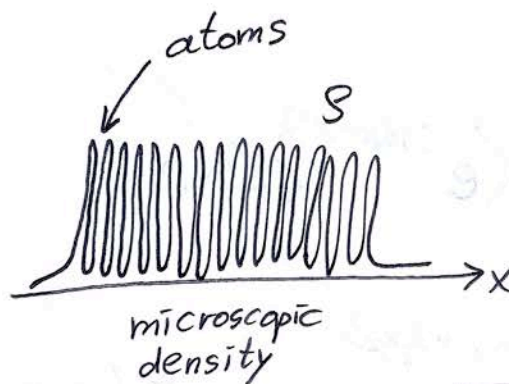
(example:  $e^-$  traveling through QED vacuum at constant velocity  $\rightarrow$  no dephasing!)

Problem: micro-structure of  $S$ ?



~~$\Gamma_\infty \sim \frac{GM^2}{R}$~~

$$\Gamma_\varphi \sim \frac{GM^2}{R} \frac{|x-x'|^2}{R^2}$$



$\Rightarrow$

$$\Gamma_\infty \sim GN \cdot \frac{m^2}{a}$$

$N$ : number of atoms  
 $m$ : mass of atom  
 $a$ : diameter of atom (or nucleus?)

$$\Gamma_\varphi \sim \frac{M}{m} \frac{Gm^2}{r} \frac{|x-x'|^2}{r^2}$$

$\frac{M}{m}$ : mass of atom (nucleus?)  
 $\frac{Gm^2}{r}$ : size of atom (nucleus?)

$\sim 10^{12}$  times larger for typical density (& nuclei)

Penrose's idea:



Stationary state:

$$i\hbar \partial_t |\psi\rangle = E |\psi\rangle$$

↓  
time-like translation

Superposition:

$|\psi_1\rangle + |\psi_2\rangle$  → might include object + surrounding grav. field

↓ different spacetimes

⇒ " $\partial_t$ " not defined simultaneously

⇒ E uncertain

degree of incompatibility of spacetimes?

$$\int (\vec{f}_1 - \vec{f}_2)^2 d^3r = \int (\vec{\nabla}\Phi_1 - \vec{\nabla}\Phi_2)^2 d^3r$$

↓  
gravitational acceleration in space-time 1

$$= - \int (\Phi_1 - \Phi_2) \Delta(\Phi_1 - \Phi_2) d^3r$$

$\Delta\Phi = 4\pi G \rho$

$$= - 4\pi G \int (\Phi_1 - \Phi_2) (\rho_1 - \rho_2) d^3r$$

$\Phi(r) = -G \int \frac{\rho(r')}{|r-r'|} d^3r'$

$$= + 4\pi G^2 \iint \frac{\rho(r)\rho(r')}{|r-r'|} d^3r d^3r'$$

### 6.3 "Schrödinger-Newton equation"

Treat gravitational effects in QM?

Simple approach:

$$V_{int}(x_1, x_2) = -G \frac{m_1 m_2}{|x_1 - x_2|}$$

⇒ Solve many-body SEQ with this potential

⇒ No problems

e.g.: molecule interference → COM motion unaffected ✓

⊗ ← "slightly" ~~strong~~  
more strongly bound molecule

\* [Note:  $V_{grav} \sim 10^{-50} J$   
 $\sim 10^{-30} eV$  !]  
(need  $\sim 10^8$  yrs to measure this energy shift)

~~But~~  
Alternative: Field formulation

$$\Delta \Phi = 4\pi G \rho(r)$$

↑ gravitational potential  
↑ mass density  
(⇒  $V_{(x)} = m\Phi(x)$ )

QM?

$$\Delta \hat{\Phi} = 4\pi G \hat{S}$$

↑ operators ⇒ QGrav ?? ...

$$\hat{S}(r) = \sum_j m_j \delta(r - \hat{x}_j)$$

Semiclassical approach:

$$\Delta\Phi(r) = 4\pi G \langle \Psi | \hat{S}(r) | \Psi \rangle$$

density operator

many-body wavefct

$$\hat{S}(r) = \sum_j m_j \delta(r - \hat{x}_j)$$

mostly good for large masses,  
but: effect on COM motion!  $\Rightarrow ?$

$\hat{=}$  Hartree theory with self-interaction!

~~Limit~~ Limit of 1 particle:

$$\Delta\Phi(r) = 4\pi G m |\Psi(r)|^2$$

$$\Rightarrow i\hbar \partial_t \Psi = -\frac{\hbar^2}{2m} \Delta \Psi - Gm^2 \left[ \int \frac{|\Psi(r')|^2 dr'}{|r-r'|} \right] \Psi(r)$$

Schrödinger-Newton -eq. (for 1 particle)

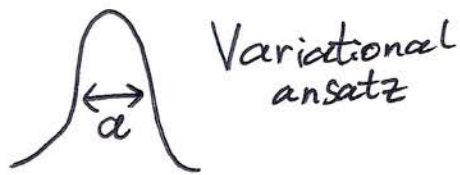
[Structure similar to Gross-Pitaevskii] (Møller, Rosenfeld, Diosi, Penrose, Runi, Bonazzola)

$\Rightarrow$  Tendency to localize wave function!

Self-consistent "soliton"-like solution:

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3



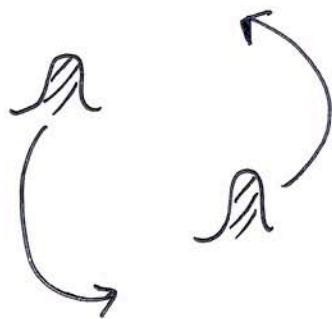
Estimate, using Heisenberg  $\Delta p \sim \frac{\hbar}{2\Delta x}$   
 $\Delta x = a$

$$\Rightarrow E \approx \frac{\hbar^2}{Ma^2} - GM\frac{M}{a} \stackrel{!}{=} \min$$

$$\Rightarrow a \sim \frac{\hbar^2}{GM^3}$$

e.g.  $M = 10^{-25} \text{ kg} \Rightarrow a \sim 10^{17} \text{ m}$   
 $M = 10^{-20} \text{ kg} \Rightarrow a \sim 10^2 \text{ m} \quad (10^5 \text{ atoms})$   
 $M = 1 \text{ g} \Rightarrow a \sim 10^{-34} \text{ m}$

But also: solutions like



orbiting  
gravitating  
wave packets

## 6.4 Other models:

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7 10

Karolyhazy, 1960's

(uncertainty  
in quantum objects  
→ fluctuations  
in space-time  
structure)

$$\Delta_K \equiv \frac{\Gamma}{4\Delta x^2} = \frac{\hbar}{8\pi a c^4}$$

(notation  
of GRW)

$$a_c = \frac{l_c^3}{l_p^2}$$

with  $l_c = \frac{\hbar}{Mc}$  = Compton wavelength

$$l_p = \sqrt{\frac{G\hbar}{c^3}} = \text{Planck length} \\ \approx 1.6 \cdot 10^{-35} \text{ m}$$

⇒ for sphere  $\sim \mu\text{m}$ ,  $|x-x'| \sim 1\mu\text{m}$ : coherence time  $\sim \text{ms}$

[see O. Romero-Isart 2011]

Ellis, Mohanty, Mavromatos, Nanopoulos  
"wormhole fluctuations" ⇒

$$\Delta_{\text{QG}} = \frac{\Gamma}{4\Delta x^2} = \frac{c^4}{\hbar^3} \frac{m_0^6}{m_p^3}$$

$m_0$  = mass of a nucleon

$$m_p = \text{Planck mass} = \sqrt{\frac{\hbar c}{G}} \approx 2 \cdot 10^{-8} \text{ kg}$$



# 6.5 Modified commutator relations

Quantum gravity  $\Rightarrow \dots \Rightarrow$

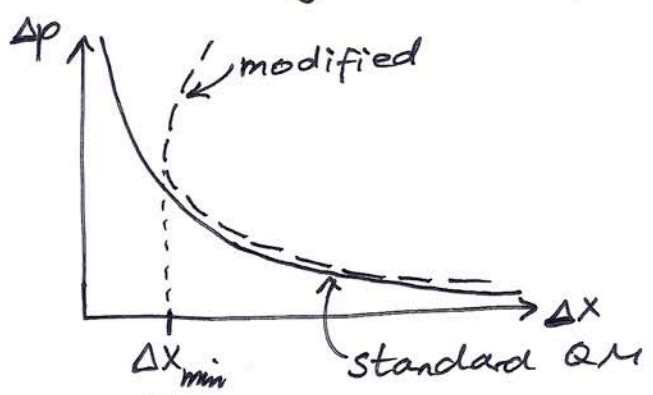
$$\text{for example: } [\hat{x}, \hat{p}] = i\hbar \left( 1 + \beta_0 \left( \frac{\hat{p}}{M_{pc}} \right)^2 \right)$$

$M_p =$  Planck mass  $\approx 22 \mu\text{g}$

$\beta_0 = \text{const} < 10^{33}$  according to current experiments

$\Rightarrow$  modified uncertainty relation:

$$\Delta x \Delta p \geq \frac{\hbar}{2} \left( 1 + \beta_0 \left( \frac{\Delta p}{M_{pc}} \right)^2 \right)$$



"minimum measurable length scale"

$$\Delta x_{min} = L_p \sqrt{\beta_0}$$

$\hookrightarrow$  Planck length

(currently  $\Delta x_{min} < 10^{-19} \text{ m}$ , from expts.)