


7. Geometrical phases

7.1 Aharonov-Bohm effect

Classical motion of a charged particle:



electric field magnetic field

$$m \frac{d^2 \vec{r}}{dt^2} = \vec{F} = q(\vec{E} + \vec{v} \times \vec{B}) \quad [SI]$$

\vec{E}, \vec{B} fulfill Maxwell's eqs, especially

$$\text{div } \vec{B} = 0, \quad \text{rot } \vec{E} = - \frac{\partial \vec{B}}{\partial t}$$

[homogeneous eqs.]

⇒ can be expressed via

$$\vec{B} = \text{rot } \vec{A}, \quad \vec{E} = - \cancel{\partial_t} \vec{A} - \nabla U$$

vector potential electrostatic potential (with $V = qU$)

\vec{A}, U not unique:

$$\left. \begin{aligned} \vec{A}' &= \vec{A} + \nabla \chi \\ U' &= U - \partial_t \chi \end{aligned} \right\} \text{"gauge transformation"}$$

$$\Rightarrow \vec{B} = \text{rot } \vec{A}', \quad \vec{E} = - \partial_t \vec{A}' - \nabla U'$$

same fields

⇒ In classical physics, the motion depends locally ~~on~~ on the fields.

~~gauge: arbitrary ch~~
gauge potentials \vec{A}, U :
only auxiliary!

Physics is gauge-invariant!
(same field \rightarrow same result,
independent of \vec{A}, U)

170

Lagrangian formulation:

$$L = \frac{m}{2} \dot{\vec{r}}^2 - qU(\vec{r}, t) + q\dot{\vec{r}} \cdot \vec{A}(\vec{r}, t) \quad \text{"minimal coupling"}$$

Check: $\frac{d}{dt} \frac{\partial L}{\partial \dot{\vec{r}}} = \frac{\partial L}{\partial \vec{r}}$

$$\frac{d}{dt} [m\dot{\vec{r}} + q\vec{A}]_y = -q\partial_y U + q\dot{r}_x \partial_y A_x$$

$$\frac{d}{dt} \dot{A}_y(\vec{r}(t), t) = \dot{r}_x \partial_x A_y + \partial_t A_y$$

$$\Rightarrow m\ddot{r}_y = -q\partial_y U - q\partial_t A_y + q \underbrace{[\dot{r}_x \partial_y A_x - \dot{r}_x \partial_x A_y]}_{[\dot{\vec{r}} \times (\nabla \times \vec{A})]_y}$$

$$m\ddot{\vec{r}} = q(\vec{E} + \vec{v} \times \vec{B}) \quad \checkmark$$

Hamiltonian formulation:

$$\vec{p} = \frac{\partial L}{\partial \dot{\vec{r}}} = m\dot{\vec{r}} + q\vec{A}$$

$$\Rightarrow \underbrace{m\dot{\vec{r}}}_{\text{"kinematic momentum"}} = \underbrace{\vec{p} - q\vec{A}}_{\text{"dynamical momentum"}}$$

gauge change $\Rightarrow \vec{p}' = m\dot{\vec{r}} + q\vec{A}' = \vec{p} + q\nabla\chi$

$$\Rightarrow H = \dot{\vec{r}}\vec{p} - L = \dots = \underbrace{\frac{1}{2m} (\vec{p} - q\vec{A})^2}_{\text{kinetic energy}} + q\mu \quad (171)$$

thus: $\vec{p} \mapsto \vec{p} - q\vec{A}$ (& add $q\mu$)

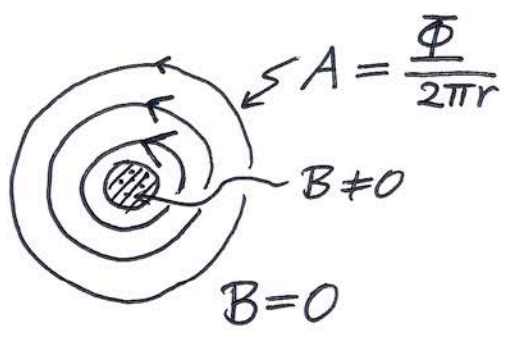
$$\Rightarrow \frac{d\vec{p}}{dt} = + \frac{\partial H}{\partial \vec{p}} = \frac{1}{m} (\vec{p} - q\vec{A}) = \vec{v}$$

$$\frac{d\vec{p}_y}{dt} = - \frac{\partial H}{\partial \vec{r}_y} = -q \partial_y \mu + \frac{q}{m} \vec{r}_e \partial_y A_e$$

(not $(\vec{v} \times \vec{A})_y$!)

$$\Rightarrow m \frac{d^2 \vec{r}}{dt^2} = \dots = \vec{F} \checkmark$$

Example: magnetic flux through solenoid



, since

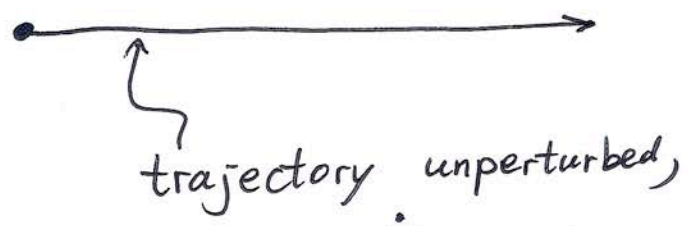
$$\oint \vec{A} d\vec{s} = 2\pi r A$$

$$\vec{A} = \int \text{rot } \vec{A} d^2\vec{f}$$

Stokes = $\int \vec{B} d^2\vec{f}$

$$= \Phi$$

magnetic flux



$$\dot{\vec{r}} = \text{const}$$

but

$$\vec{p}(t) = m\dot{\vec{r}} + q\vec{A}(\vec{r}(t))$$

$$\Rightarrow \frac{d\vec{p}}{dt} \neq 0$$

Quantum mechanics:

$$\vec{p} \mapsto \hat{\vec{p}} = -i\hbar \vec{\nabla}$$

$$\hat{\vec{v}} = \frac{\hat{\vec{p}} - q\vec{A}}{m}$$

$\vec{\nabla} \rightarrow -i\frac{q}{\hbar}\vec{A}$: "gauge-invariant derivative"

$$\hat{H} = \frac{1}{2m} (\hat{\vec{p}} - q\vec{A})^2 + qU$$

Gauge change \Rightarrow

let $i\hbar \partial_t \Psi = \hat{H} \Psi$

\rightarrow with $\Psi'_{(\vec{r},t)} = \underbrace{e^{i\frac{q}{\hbar}\chi(\vec{r},t)}}_{U(\vec{r},t)} \Psi_{(\vec{r},t)} \equiv U \Psi$
 we get: $U(\vec{r},t) \rightarrow$ unitary operator [diagonal in \vec{r} -basis]

$$(\hat{\vec{p}} - q\vec{A}')U = U(\hat{\vec{p}} + q\vec{\nabla}\chi - q(\vec{A} + \vec{\nabla}\chi)) = U(\hat{\vec{p}} - q\vec{A})$$

\Rightarrow $i\hbar \partial_t \Psi' = \hat{H}' \Psi' \quad \checkmark$

[Note: $-q\partial_t \chi \Psi = \dots -q\partial_t \chi \Psi$
 \hookrightarrow from $U' = U - \partial_t \chi$]

$$\Psi' = U \Psi$$

"U(1)" gauge transformation
 \hookrightarrow local

[\rightarrow extension to $\hat{U} = \vec{r}$ -dep. matrix in non-Abelian gauge fields]

Probability conservation:

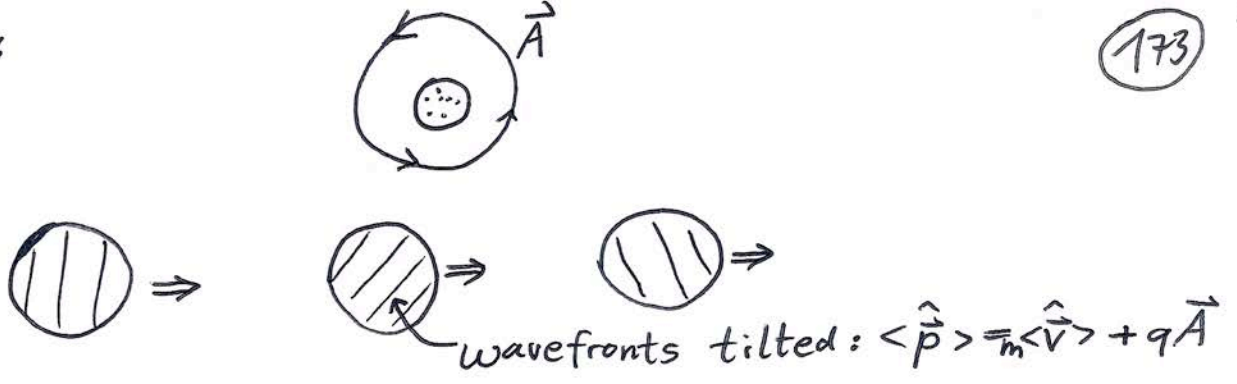
$$\partial_t |\Psi|^2 + \text{div } \vec{j} = 0$$

with $\vec{j} = \text{Re} [\Psi^* \hat{\vec{v}} \Psi]$

gauge-independent

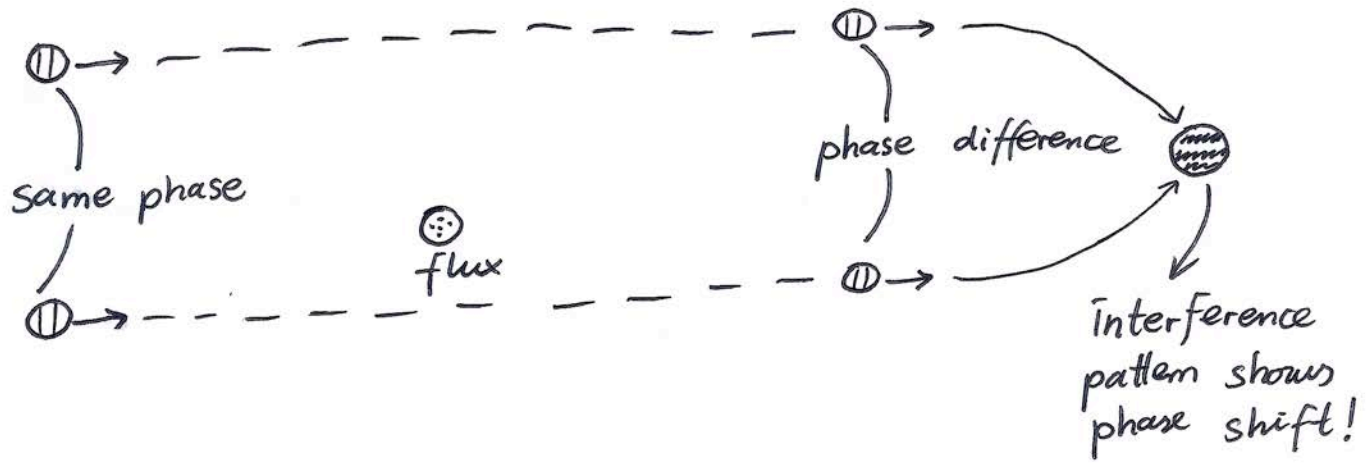
(& used in Bohm's theory, for example)

Example:

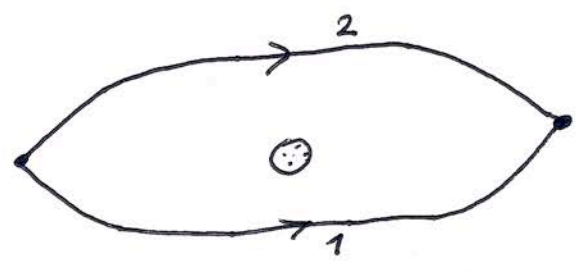


$\frac{d\langle \hat{v} \rangle}{dt} = 0$, but $\frac{d\langle \hat{p} \rangle}{dt} \neq 0$ (like in classical case)

Double-slit experiment:



Path-integral approach:



$\varphi = \frac{S[\vec{r}(\cdot)]}{\hbar}$ action: $S = \int_{t_i}^{t_f} L dt$

Here: interference pattern

$$|\psi_1 + \psi_2|^2 = |\psi_1|^2 + |\psi_2|^2 + \psi_1 \psi_2^* + \psi_2 \psi_1^*$$

here:

$$e^{+i\varphi_{AB}}$$

[semiclassical approx.:
integrate along
classical trajectory]

with

$$\varphi_{AB} = \frac{1}{\hbar} \int_0^t \left[q \vec{A}(\vec{r}(t)) \cdot \underbrace{\dot{\vec{r}}}_{d\vec{r}} dt \right]_{\text{path 1}} - \frac{1}{\hbar} \int \dots \Big|_{\text{path 2}}$$

↓
Aharonov & Bohm

$$= \frac{1}{\hbar} q \oint \vec{A} d\vec{r} = \frac{q}{\hbar} \int \text{rot } \vec{A} d^2\vec{f}$$



$$\boxed{\varphi_{AB} = \frac{q}{\hbar} \Phi}$$

or $\varphi_{AB} = 2\pi \frac{\Phi}{\Phi_0}$

with

$$\Phi_0 = \frac{h}{q} = \text{flux quantum}$$

$$\approx 2.07 \cdot 10^{-15} \text{ Tm}^2$$

for $q = e^-$ charge

(in superconductivity,
often define $\Phi_0^{(sc)} = \frac{h}{2qe}$)

Ehrenfest, Siday '49

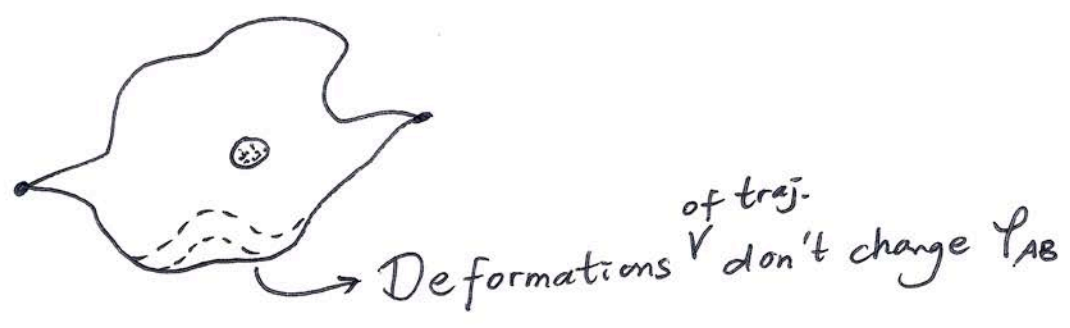
Aharonov, Bohm '59

Experiment: Chambers '60

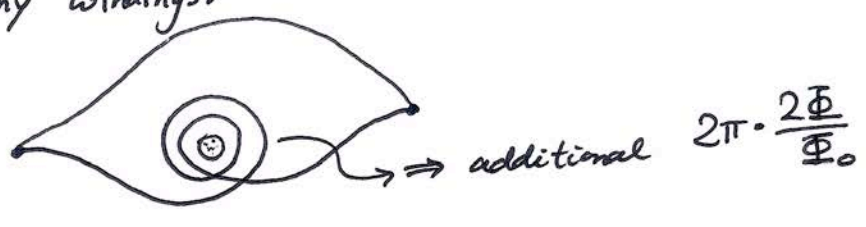
⇒ In QM, observable effects depend nonlocally on the field or locally on the gauge potential!

But: Ψ_{AB} is gauge-invariant ✓

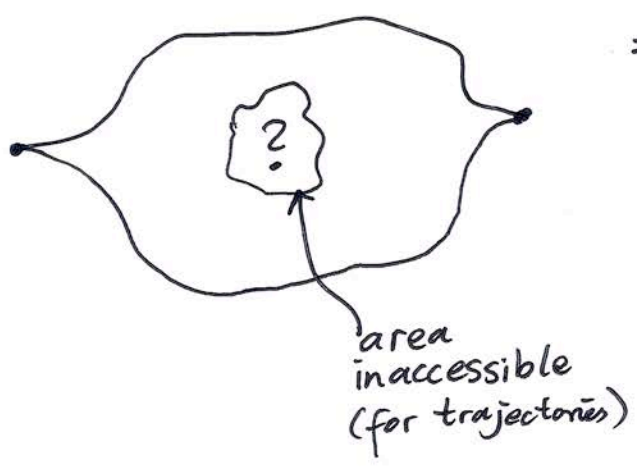
Also: Ψ_{AB} is a topological phase



many windings:



Quantization on multiply connected configuration space



⇒ possibility of topological phases!

Nonlocal dependence on fields

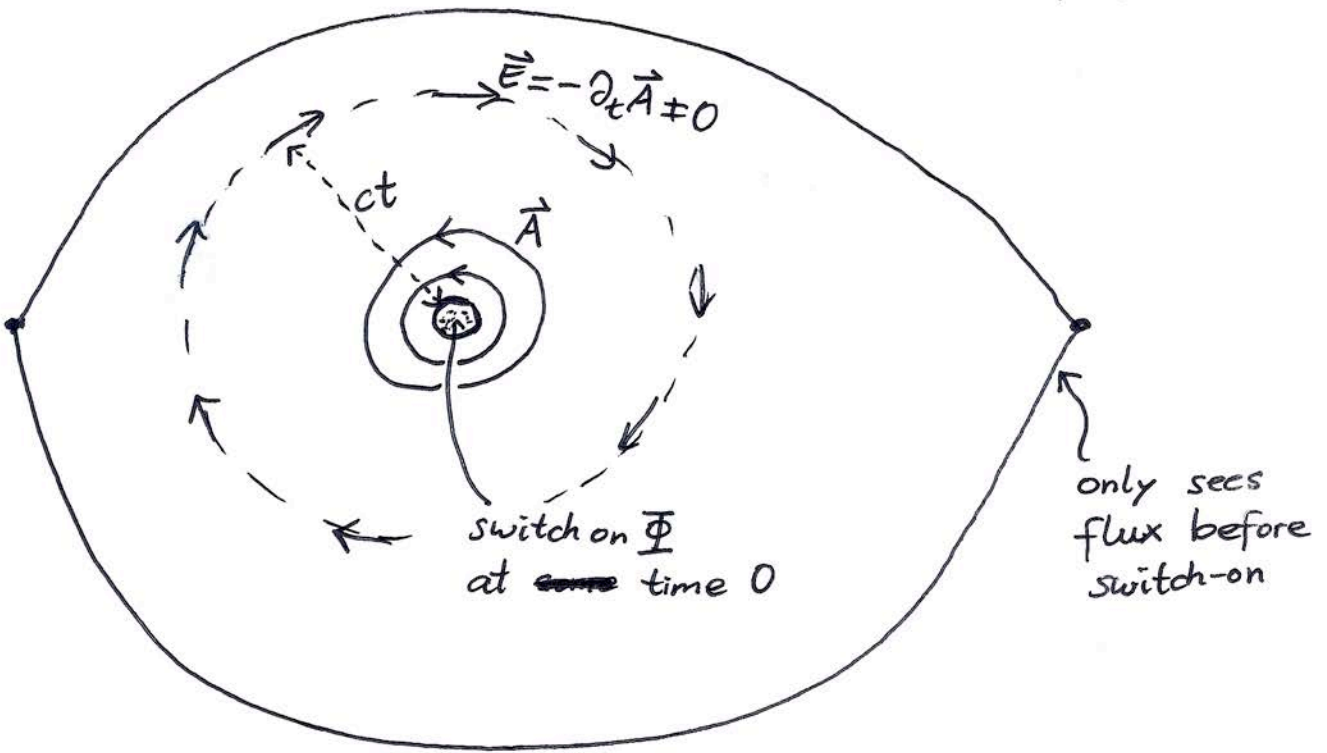
\Rightarrow

signalling faster than light?

No!

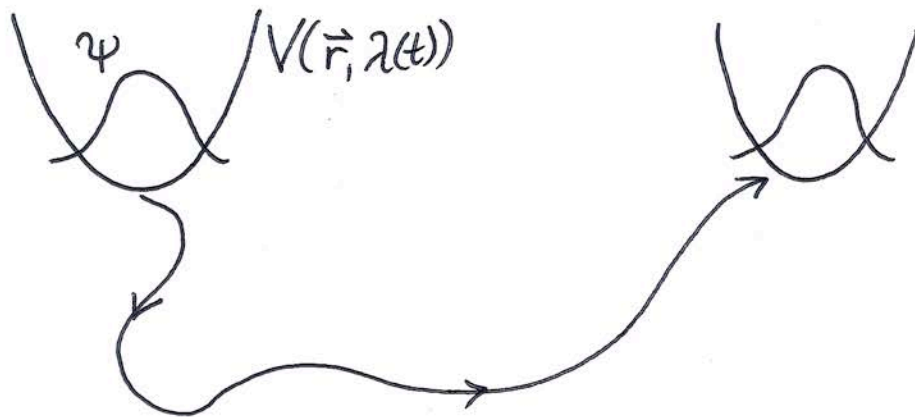
176

8



7.2 "Berry phase"

Berry 1984
Pancharatnam '56



Adiabatic change of Hamiltonian

$$\hat{H} = \hat{H}(\lambda(t))$$

Solve SEQ :

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = \hat{H}(\lambda(t)) |\psi(t)\rangle$$

Ansatz:

$$|\psi(t)\rangle = e^{i\varphi(t)} |\psi_0(\lambda(t))\rangle + \mathcal{O}(\dot{\lambda})$$

diagonalizes $\hat{H}(\lambda)$

$$\hat{H}(\lambda) |\psi_0(\lambda)\rangle = E_0(\lambda) |\psi_0(\lambda)\rangle$$

→ insert:

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = -\hbar \dot{\varphi} |\psi(t)\rangle + e^{i\varphi} \left[\frac{d}{d\lambda} |\psi_0(\lambda)\rangle \right] \dot{\lambda} \quad (+\dots)$$

↓
neglected terms

$$\stackrel{!}{=} \hat{H}(\lambda) |\psi(t)\rangle = E_0(\lambda(t)) |\psi(t)\rangle \quad (+\dots)$$

$\langle \Psi_0(\lambda) |$ on both sides \Rightarrow

$$- \hbar \dot{\varphi} + i \hbar \dot{\lambda} \langle \Psi_0(\lambda) | \frac{d}{d\lambda} | \Psi_0(\lambda) \rangle = E_0(\lambda)$$

$$\Rightarrow \dot{\varphi} = -\frac{1}{\hbar} E_0 + i \dot{\lambda} \langle \Psi_0 | \frac{d}{d\lambda} | \Psi_0 \rangle$$

$$\varphi(t) = \underbrace{-\frac{1}{\hbar} \int_0^t E_0(\lambda(t')) dt'}_{\text{"dynamical phase" (depends on time)}} + i \underbrace{\int_{\lambda(0)}^{\lambda(t)} \langle \Psi_0 | \frac{d}{d\lambda} | \Psi_0 \rangle d\lambda}_{\text{geometric phase ("Berry phase")}}$$

only depends on trajectory!

Note: $\langle \Psi_0 | \frac{d}{d\lambda} | \Psi_0 \rangle \in i\mathbb{R}$

Proof: $\langle \Psi_0 | \Psi_0 \rangle \stackrel{!}{=} 1 \quad \forall \lambda$
 $\Rightarrow \langle \Psi_0 | \frac{d}{d\lambda} | \Psi_0 \rangle + \langle \frac{d}{d\lambda} \Psi_0 | \Psi_0 \rangle \stackrel{!}{=} 0$
 $\Rightarrow \text{Re}(\dots) = 0$

Note: $|\Psi_0'(\lambda)\rangle = e^{i\tilde{\varphi}(\lambda)} |\Psi_0(\lambda)\rangle$ (also solves SEQ for $\hat{H}(\lambda)$)

$$\Rightarrow \varphi'(t) = \varphi(t) + \tilde{\varphi}(\lambda(0)) - \tilde{\varphi}(\lambda(t))$$

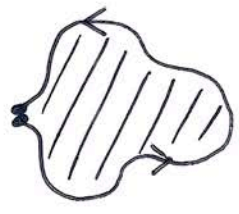
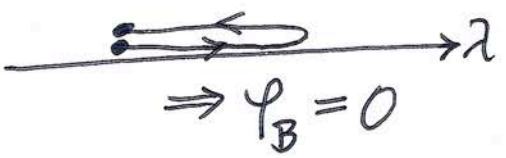
\leadsto "gauge"-dependent

But for closed loops: independent of choice $\tilde{\varphi}(\lambda)$

~~#~~ Closed loops: Influence of dimension

$\lambda \in 1D$

$\lambda \in 2D$ or higher



$\Rightarrow \varphi_B \neq 0$ (maybe)

Example: Spin ($S=1/2$)

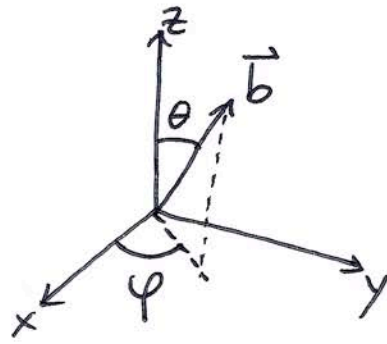
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3

$$\hat{H} = -\vec{b}(t) \cdot \hat{\vec{S}}$$

$$|\psi\rangle = \begin{pmatrix} \psi_{\uparrow} \\ \psi_{\downarrow} \end{pmatrix} \begin{matrix} \text{quantized} \\ \text{along} \\ \text{z-axis} \end{matrix}$$

$\rightarrow \hat{\vec{S}} \cong \lambda$



$|\vec{b}|$ "large" \Rightarrow spin follows adiabatically!

e.g. $\langle \hat{\vec{S}} \rangle \parallel \vec{b}$ (ground state)

$$\vec{b} = b \begin{pmatrix} S_{\theta} C_{\varphi} \\ S_{\theta} S_{\varphi} \\ C_{\theta} \end{pmatrix}$$

$$\begin{aligned} \langle \hat{\vec{S}} \rangle &= \begin{pmatrix} \psi_{\uparrow}^* \psi_{\downarrow} + \text{c.c.} \\ -i(\psi_{\uparrow}^* \psi_{\downarrow} - \text{c.c.}) \\ |\psi_{\uparrow}|^2 - |\psi_{\downarrow}|^2 \end{pmatrix} \\ &= \begin{pmatrix} 2 \operatorname{Re} \psi_{\uparrow}^* \psi_{\downarrow} \\ 2 \operatorname{Im} \psi_{\uparrow}^* \psi_{\downarrow} \\ |\psi_{\uparrow}|^2 - |\psi_{\downarrow}|^2 \end{pmatrix} \end{aligned}$$

\leadsto have:

$$\begin{pmatrix} \psi_{\uparrow} \\ \psi_{\downarrow} \end{pmatrix} = \begin{pmatrix} C_{\theta/2} \\ S_{\theta/2} e^{i\varphi} \end{pmatrix}$$

[$\Rightarrow \dots \Rightarrow \langle \hat{\vec{S}} \rangle \parallel \vec{b}$]

now: $\vec{\lambda} = (\theta, \varphi)$

$$\Rightarrow \left(\frac{d}{d\vec{\lambda}} \dots \right) d\vec{\lambda} = \left(\frac{\partial}{\partial \theta} \dots \right) d\theta + \left(\frac{\partial}{\partial \varphi} \dots \right) d\varphi$$

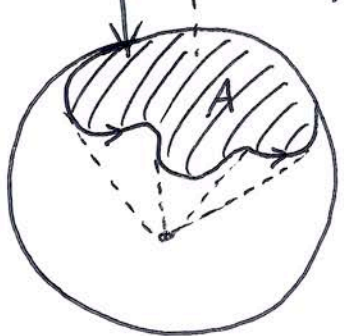
$$\partial_{\theta} |\psi\rangle = \frac{1}{2} \begin{pmatrix} -S_{\theta/2} \\ C_{\theta/2} e^{i\varphi} \end{pmatrix}$$

$$\partial_{\varphi} |\psi\rangle = \begin{pmatrix} 0 \\ i S_{\theta/2} e^{i\varphi} \end{pmatrix}$$

$$\Rightarrow \langle \psi | \frac{d}{d\vec{\lambda}} | \psi \rangle d\vec{\lambda} = \underbrace{\frac{1}{2} (-\cos\theta \sin\theta + \sin\theta \cos\theta)}_0 d\theta + i \sin^2\theta d\varphi$$

$$\Rightarrow \varphi_{\text{Berry}} = - \oint \underbrace{\sin^2\theta}_{\frac{1-\cos\theta}{2}} d\varphi = -\frac{1}{2} A$$

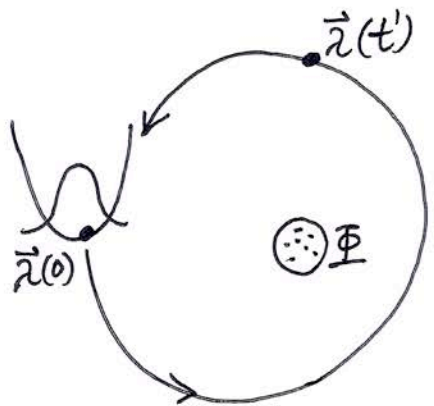
area enclosed on unit sphere surface!



Geometry:

$$\begin{aligned} \text{area} \\ A &= \int_A \sin\theta \, d\theta \, d\varphi \\ &= \int_A d\cos\theta \, d\varphi \\ &= \int 1 - \cos\theta \, d\varphi \end{aligned}$$

AB-phase as Berry phase

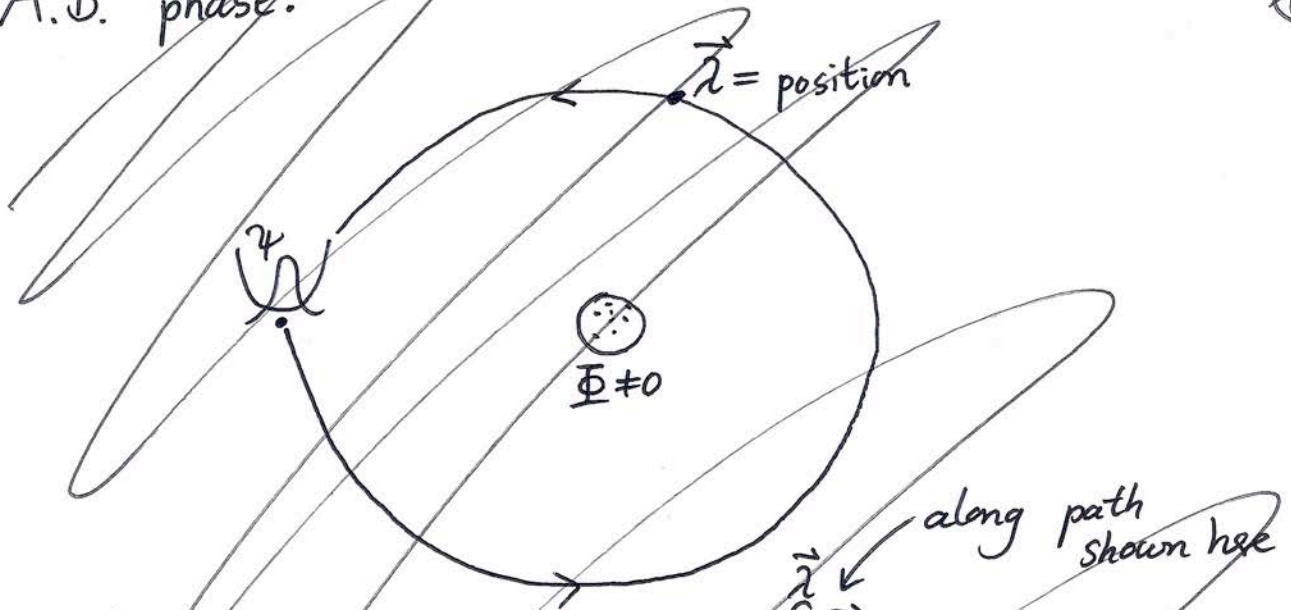


$$\Psi_0(\vec{r}; \vec{\lambda}) = \exp\left[i \frac{q}{\hbar} \int_{\vec{\lambda}}^{\vec{r}} \vec{A} d\vec{s}\right] \Psi_0^{A=0}(\vec{r}; \vec{\lambda})$$

$$\Rightarrow \dots \Rightarrow \cancel{\varphi_B} \langle \Psi_0 | \frac{d}{d\vec{\lambda}} | \Psi_0 \rangle = -i \frac{q}{\hbar} \vec{A}$$

$$\Rightarrow \dots \Rightarrow \varphi_B = + \frac{q}{\hbar} \oint \vec{A}(\vec{\lambda}) d\vec{\lambda} = \frac{q}{\hbar} \Phi = \varphi_{AB} \checkmark$$

A.B. phase:



Let $|\psi_0(\vec{\lambda})\rangle = e^{-i \frac{q}{\hbar} \int_0^{\vec{\lambda}} \vec{A} d\vec{s}} |\psi_0^{A=0}(\vec{\lambda})\rangle$

$\Rightarrow \varphi_{\text{Berry}} = i \oint \langle \psi_0 | \frac{d}{d\vec{\lambda}} | \psi_0 \rangle d\vec{\lambda}$
 $= - \frac{q}{\hbar} \oint \vec{A} d\vec{\lambda} = - \frac{q}{\hbar} \Phi = \varphi_{AB} \checkmark$

Define gauge potential from Berry phase:

Let $\vec{A}_B \equiv i \langle \psi_0 | \frac{d}{d\vec{\lambda}} | \psi_0 \rangle$ dimensionality depends on dim. of $\vec{\lambda}$ -parameter space!

$\Rightarrow \varphi_B = \oint \vec{A}_B d\vec{\lambda}$

\Rightarrow Choice of $|\psi_0'(\lambda)\rangle = e^{i\tilde{\varphi}(\lambda)} |\psi_0(\lambda)\rangle$
is gauge-transformation:

$\vec{A}_B' = \vec{A}_B - \frac{d}{d\vec{\lambda}} \tilde{\varphi}(\vec{\lambda})$

7.3 Aharonov-Anandan phase

(182)

6

Arbitrary cyclic time-evolution

$$i\hbar \partial_t |\psi(t)\rangle = \hat{H}(t) |\psi(t)\rangle$$

~~Assume~~ If we have: $|\psi(T)\rangle = |\psi(0)\rangle \cdot e^{i\varphi(T)}$
 (\Rightarrow return, up to a phase)

Then: $|\psi(t)\rangle = \underbrace{|\psi_0(t)\rangle}_{\text{cyclic}} e^{i\varphi(t)}$

$\Rightarrow \dots \Rightarrow$

$$\varphi(T) = -\frac{1}{\hbar} \int_0^T \langle \psi(t) | \hat{H} | \psi(t) \rangle dt + \varphi_{AA}$$

with $\varphi_{AA} = i \oint \langle \psi_0(t) | \frac{\partial}{\partial t} | \psi_0(t) \rangle dt$

8. Particle statistics

8.1 Fermions & bosons

Identical particles \Rightarrow
 $m_1 = m_2 = \dots$

$$V(x_1, x_2, \dots) = V(x_2, x_1, \dots)$$

$\Rightarrow \hat{H}$ invariant under $x_i \leftrightarrow x_j$
(elementary) permutation \hat{P}_{ij}

$$[\hat{P}_{ij}, \hat{H}] = 0 \quad \forall i, j$$

\Rightarrow Assume $\hat{P}_{ij} \psi = s \psi \quad \forall i, j$
at time 0 \Rightarrow True for all times!
*

Since $\hat{P}_{ij}^2 = 1 \quad (!) \Rightarrow$
 $s^2 = 1 \Rightarrow s = \pm 1$

- $s = +1$: bosons
- $s = -1$: fermions

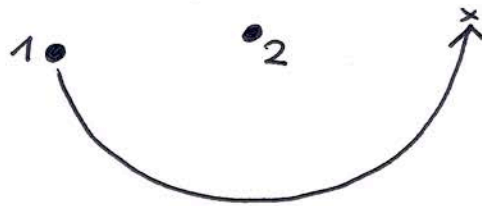
* $\hat{P}_{ij} \hat{H} |\psi\rangle = \hat{H} \hat{P}_{ij} |\psi\rangle = s \hat{H} |\psi\rangle$
 $\Rightarrow \hat{P}_{ij} \partial_t |\psi\rangle = s \partial_t |\psi\rangle$

(anti-)symmetric always
remains
(anti-)symmetric!

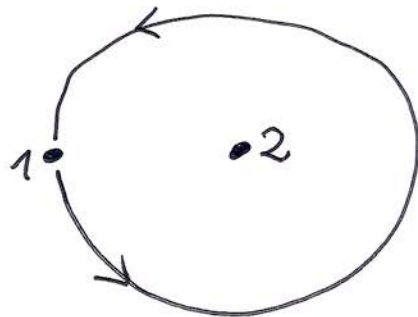
8.2 "Anyons"

In 2D: (may) have multiply connected configuration space

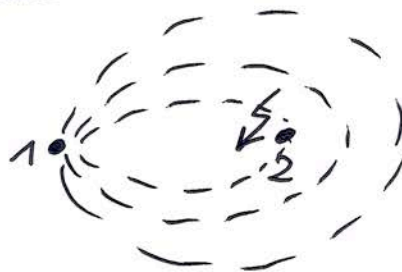
Particle exchange:



Exchange twice:



~~the~~ path cannot be contracted to 0



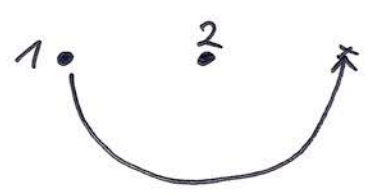
(e.g. prevented by interactions)

Contrast 3D:



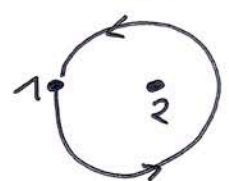
(lift out of plane)

⇒ in 2D :



can give phase $e^{i\theta}$

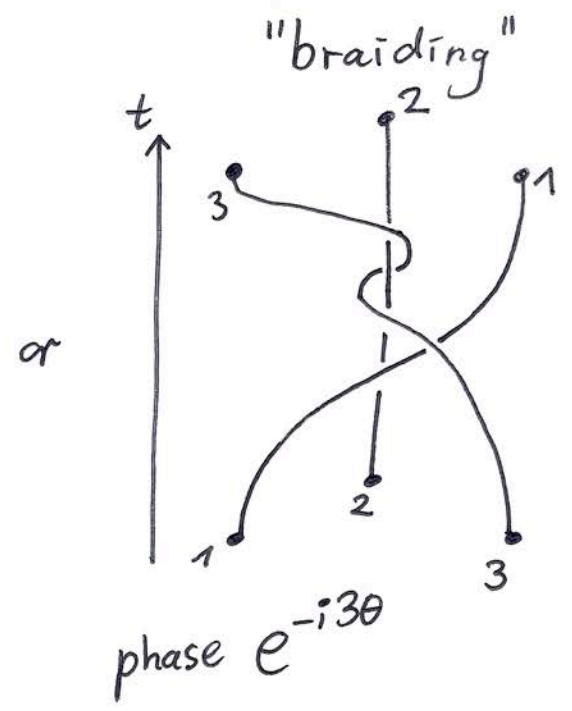
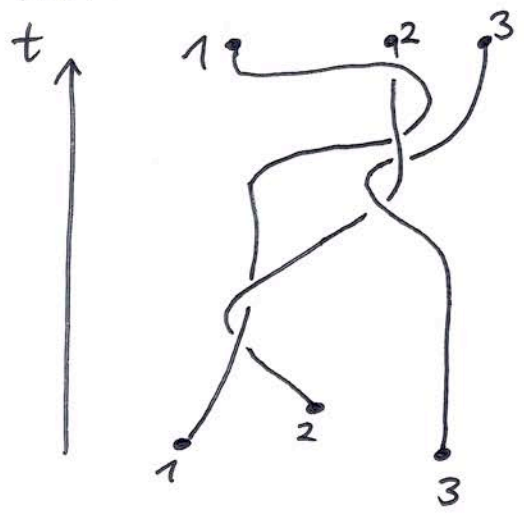
and θ is arbitrary, since



$e^{i2\theta}$ need not be 1

Note:  gives $e^{-i2\theta}$

~~World~~ world lines:



formally : braid group = applying such interchanges in succession

= "fundamental group" of configuration space

identify all configurations that are only relabeled (particles are identical!)

⇒ Wave function Ψ will have different values depending on braid used to get to configuration! → multi-valued
[compare situation with fermions!]

Physical example:

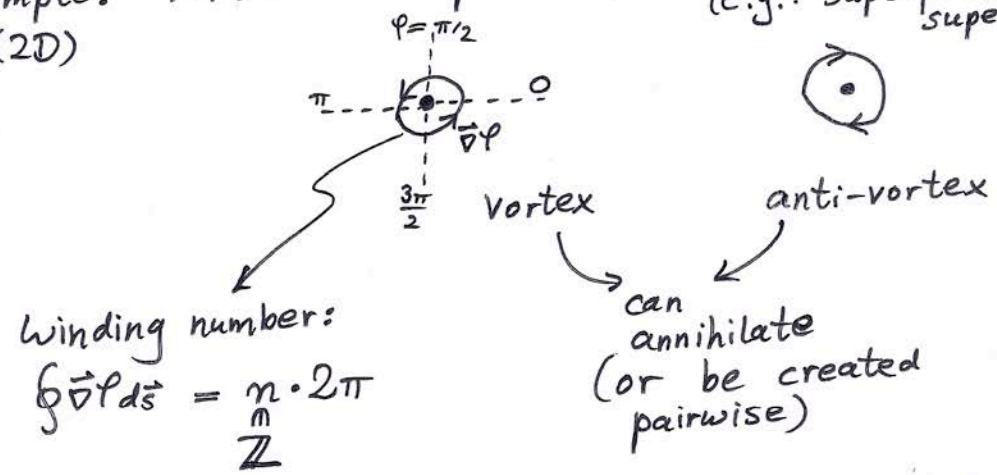
Fractional Quantum Hall Effect (FQHE)

Skyrmions

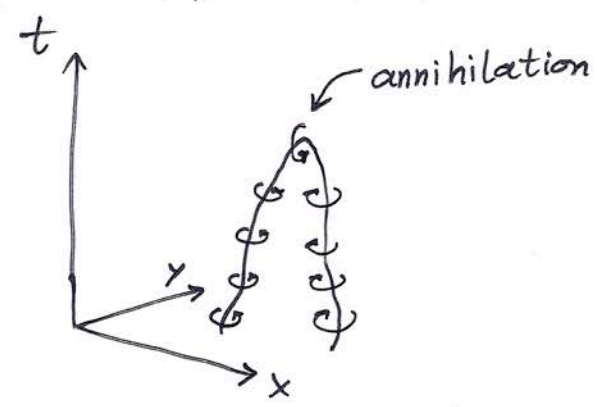
1860: Lord Kelvin
"atoms = knots in aether?"
[=> inspired mathematical knot classification!]

General idea:
Particles as localized topological excitations of fields
-> ensures particle conservation & discreteness

Example: Vortices in phase field $\varphi(\vec{r})$
(2D) (e.g.: superfluid / superconductor)



#vortices + #antiv. = conserved



Simpler example:

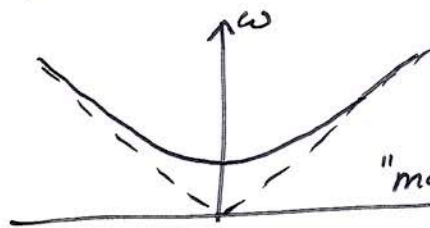
(1D)

Sine-Gordon field

$$\underbrace{\partial_t^2 \phi - \partial_x^2 \phi}_{\substack{\text{[all constants} \\ \text{set to 1]}}} = \underbrace{-\sin \phi}_{\substack{\text{nonlinearity} \\ \rightarrow \text{"interaction"}}}$$

wave equation

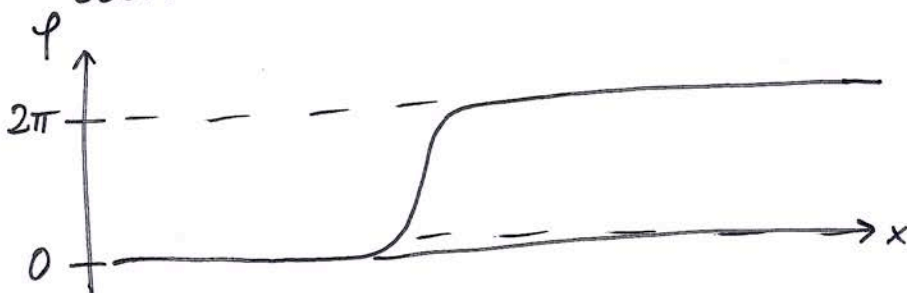
Small amplitudes: $\sin \phi \approx \phi \Rightarrow \phi$



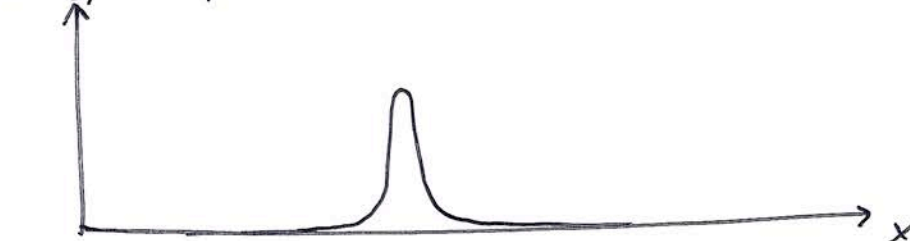
$\omega^2 = 1 + k^2$
 "massive" linear field theory

compare:
 $E^2 = \hbar^2 \omega^2 = m^2 c^4 + \hbar^2 k^2 c^2$

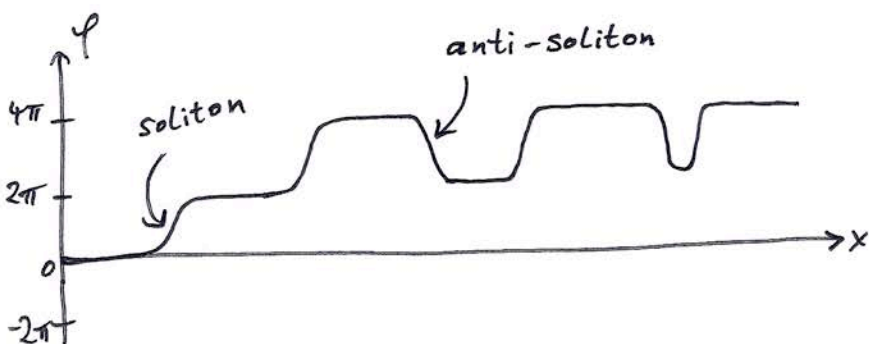
"soliton" solutions



energy density



[Note: can move & Lorentz-contract]



189

Conserved "particle number":

$$\int_{-\infty}^{+\infty} \partial_x \varphi dx = n \cdot 2\pi$$

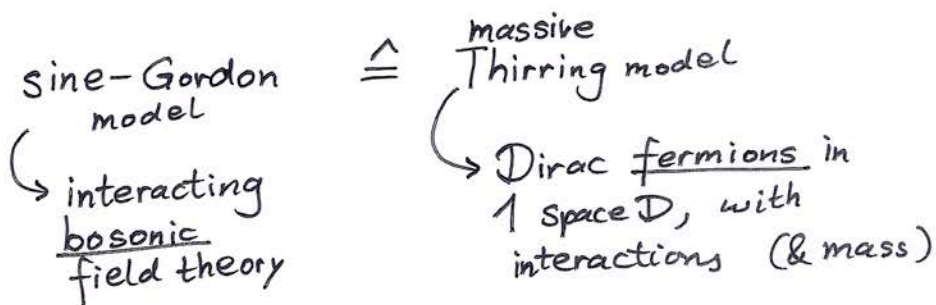
$$= \varphi(\infty) - \varphi(-\infty)$$

$$\mathbb{Z}$$

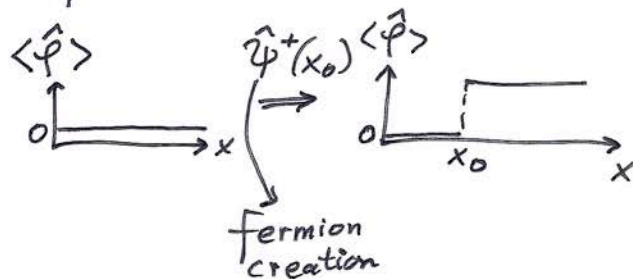
(since $\varphi(\pm\infty) = n_{\pm} \cdot 2\pi$)

QM:

(Coleman et al., 70's)
(Skyrme 60's)

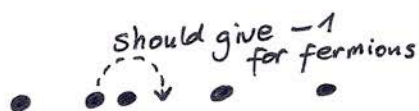


Solitons \leftrightarrow fermions



But note: 1D very special

e.g. hard-core bosons $\hat{=}$ free fermions

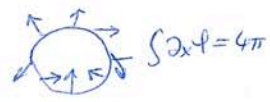
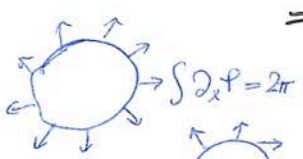
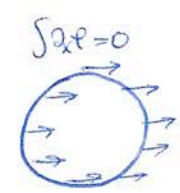
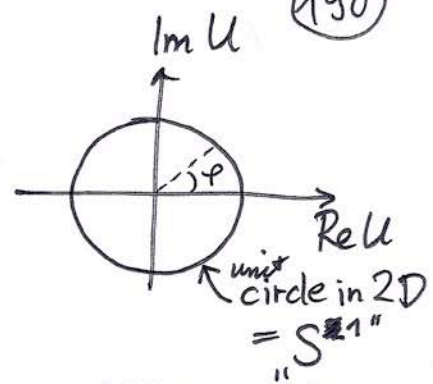


$$\hat{\Psi}_F^+(x) \sim e^{i\pi \hat{N}(x)} \hat{\Psi}_B^+(x)$$

number of bosons at $x' \leq x$

"Jordan-Wigner transformation"

Skyrme: Consider field $U(x) = e^{i\varphi(x)}$ as elementary & demand



$$U(x \rightarrow \pm\infty) \rightarrow 1$$

$$\Rightarrow U: S^1 \rightarrow S^1$$

$$i\partial_x \varphi = U^\dagger(x) \partial_x U(x) = -U(x) \partial_x U^\dagger(x)$$

gives density for conserved particle number

Generalization: to 3D! ("Skyrme model") Let $\hat{U}(\vec{r}) \in SU(2)$

classical matrix field unitary matrices with $\det \hat{U} = 1$

$$\Rightarrow \hat{U}(\vec{r}) = e^{i\vec{\varphi}(\vec{r}) \cdot \hat{\sigma} / 2}$$

rotation axis & angle $|\vec{\varphi}|$ rotation of spin $1/2$ Pauli matrices

~~other~~ or, alternatively:

$$\hat{U}(\vec{r}) = \phi_0 \mathbb{1} + i \sum_{j=1}^3 \phi_j \hat{\sigma}_j$$

$\phi_0, \phi_j \in \mathbb{R}$

Condition [for $\hat{U} \in SU(2)$]:

$$\sum_{\ell=0}^3 \phi_\ell^2 = 1$$

surface of sphere in 4D $\equiv S^3$

$$\hat{U} : \mathbb{R}^3 \rightarrow \underbrace{S^3}_{3 \text{ parameters}} \text{ (e.g. } \vec{\varphi} \text{ or } \phi_1, \phi_2, \phi_3)$$

Now demand:

$$\hat{U} \rightarrow 1 \text{ at } |\vec{r}| \rightarrow \infty$$

→ effectively $\hat{U}: S^3 \rightarrow S^3$
 ↓
 identify $|\vec{r}| \rightarrow \infty$ as one point

Skyrme: writes down Lagrangian for $\hat{U}(\vec{r})$

→ minimize energy for static solutions

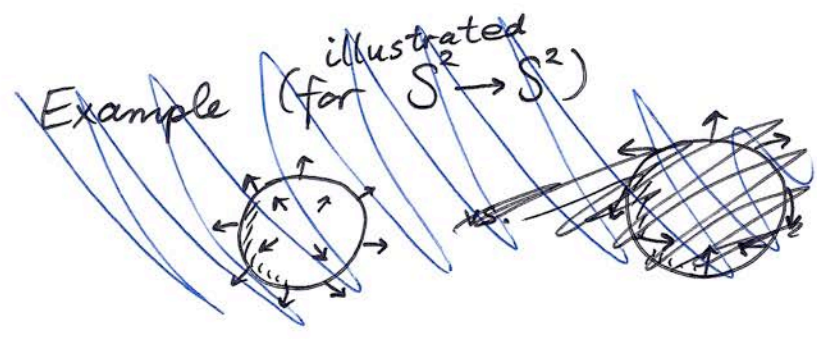
≡ "Skyrmions"

(3D analogues to 1D Sine-Gordon Solitons)

Topological invariant:

$$W = \frac{1}{24\pi^2} \epsilon_{jke} \int d^3\vec{r} \text{tr}[\hat{U}(\partial_j \hat{U}^\dagger) \hat{U}(\partial_k \hat{U}^\dagger) \hat{U}(\partial_l \hat{U}^\dagger)]$$

$$\in \mathbb{Z}$$

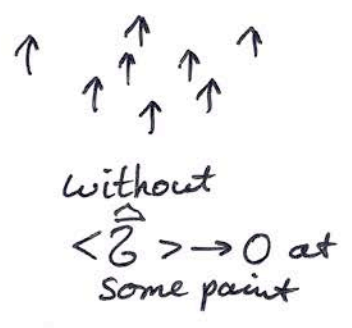
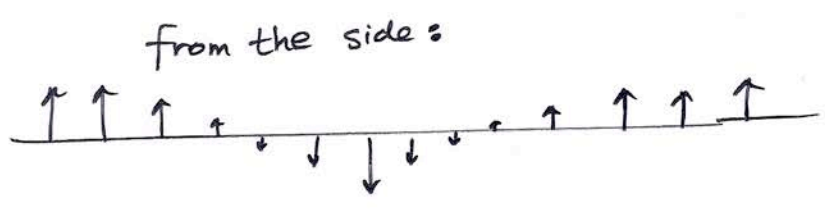
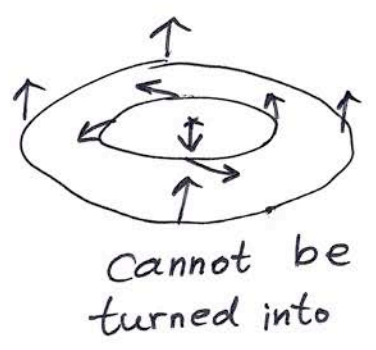
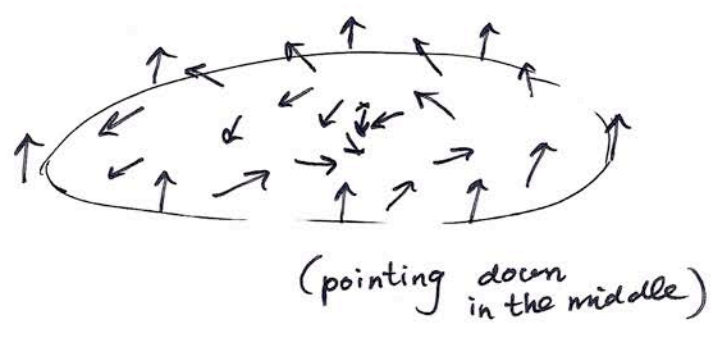


[Note: $S^2 \rightarrow S^2$
 has $W = \frac{1}{8\pi} \int d^2\vec{r} \epsilon^{ij} \vec{n} \cdot [\partial_i \vec{n} \times \partial_j \vec{n}]$
 = number of times that \vec{n} sweeps over sphere!]

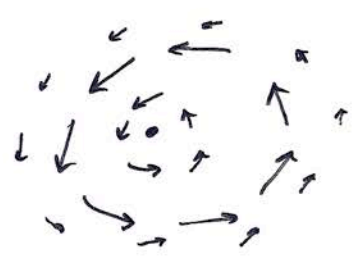
Example of Skyrmions:

Spins (in e.g. BEC or QHE ~~or~~ or nanomagnets)

$$\langle \hat{\vec{S}} \rangle \leftrightarrow \begin{pmatrix} \psi_{\uparrow} \\ \psi_{\downarrow} \end{pmatrix} = \hat{U} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$



from above:



Topology of configuration space:

Configuration space of Skyrme model is not simply connected

"Fundamental group" = \mathbb{Z}_2
↓
all closed loops

↓
can ~~assign~~
have factor
-1 associated
with exchange

↓
fermions,
built from
nonlinear
bosonic
field theory

3. Quantum electrodynamics (QED)

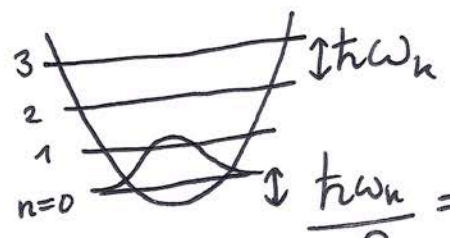
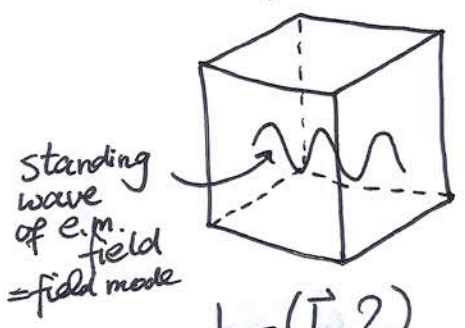
3.1 Quantization

First QFT (1925):

... $\hat{x}_1 \hat{x}_2 \hat{x}_3 \dots$... normal modes $\hat{x}_j = \sum_k \phi_j^{(k)} \cdot \hat{a}_k$
 harmonic oscillations at ω_k

QED: (1928 ff)

Field modes = harmonic oscillators



"zero-point" energy
 $= \frac{1}{2}(\text{electric energy}) + \frac{1}{2}(\text{magnetic energy})$

$k = (\vec{k}, \epsilon)$
 wave vector polarization (two transverse polarizations)

$$\hat{H} = \sum_k \hbar \omega_k \left(\hat{a}_k^\dagger \hat{a}_k + \frac{1}{2} \right)$$

Use gauge with $U=0$ & $\text{div } \vec{A}=0$
 (here: use plane waves & periodic boundary conditions)

$$\Rightarrow \dots \Rightarrow \hat{\vec{A}}(\vec{r}, t) = \sum_k \vec{A}_k \left(\hat{a}_k e^{i(\vec{k}\vec{r} - \omega_k t)} + \text{h.c.} \right)$$

with $\vec{A}_k = \vec{\epsilon}_k \cdot \sqrt{\frac{\hbar}{2\epsilon_0 \omega_k}} \cdot \frac{1}{\sqrt{\text{Vol}_k}}$
 polarization vector Volume of box ($\rightarrow \infty$ in the end)

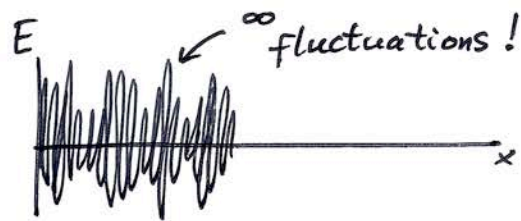
$$\hat{\vec{E}}(\vec{r}, t) = -\partial_t \hat{\vec{A}}$$

$$\hat{\vec{B}}(\vec{r}, t) = \nabla \times \hat{\vec{A}}$$

field fluctuations:

$$\langle 0 | \hat{E}^2(\vec{r}, t) | 0 \rangle = \infty$$

→ contributions from all wavelengths!

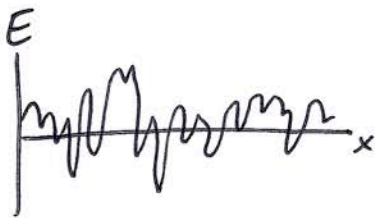


(195)²

Smooth field: $\hat{E}'(\vec{r}, t) = \int f(\vec{r} - \vec{r}') \hat{E}(\vec{r}', t) d\vec{r}'$

→ ~~cut off~~ eliminate $\lambda < \lambda_c$ or $\omega > \omega_c$

$$\leadsto \langle 0 | \hat{E}'^2(\vec{r}, t) | 0 \rangle \sim \omega_c^4$$

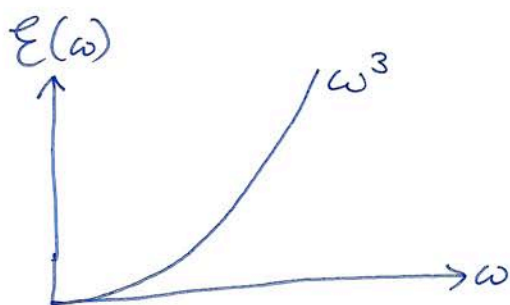


→ $\omega_c \rightarrow \infty$ "ultraviolet divergence"

2. The Vacuum: Casimir effect



$$\Rightarrow \epsilon = \frac{\text{Energy}}{\text{Vol} \cdot d\omega} = \frac{\hbar\omega}{2} \cdot \underbrace{D(\omega)}_{\substack{\text{density of states} \\ \sim \omega^2 \text{ in 3D}}} \sim \omega^3$$



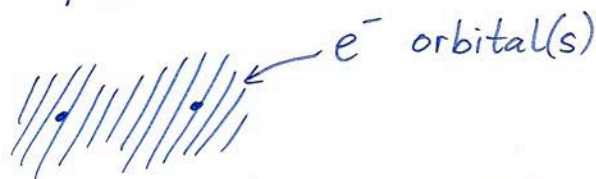
$$\hat{H} = \sum_{\mathbf{k}} \hbar\omega_{\mathbf{k}} \left(\hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{k}} + \frac{1}{2} \right)$$

"just a constant offset" \rightarrow remove?

OK, except when changing boundary cond's (\rightarrow changing $\omega_{\mathbf{k}}$!)

Is the ground state energy "physical"?

Yes! Example: Molecule



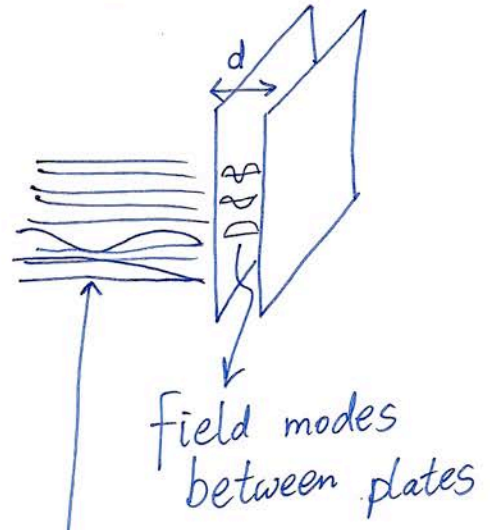
$$E_0(\vec{R}_1, \vec{R}_2, \dots) = \text{ground state energy of many-}e^- \text{ system}$$

\downarrow
nuclear positions

$$\Rightarrow \vec{F}_j = - \frac{\partial E_0}{\partial \vec{R}_j} \quad (+ \text{Coulomb repulsion of nuclei})$$

\downarrow
force on nucleus \rightarrow real effect!

→ Change boundary cond's for e.m. vacuum!



~~But~~ $F = - \frac{\partial E_0}{\partial d}$

but $E_0 = \sum_k \frac{\hbar \omega_k}{2} = \infty \Rightarrow ?$

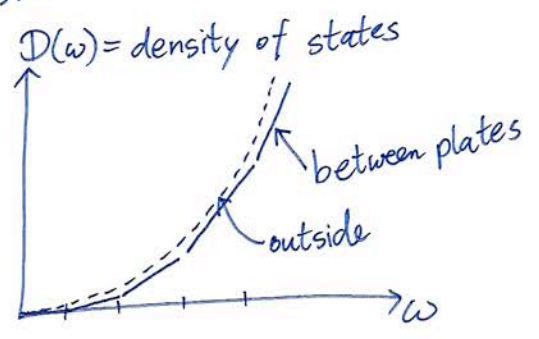
Artificial cutoff

$$E_0 = \sum_k \frac{\hbar \omega_k}{2} e^{-\frac{\omega_k}{\omega_c}}$$

modes of

cutoff freq.
 $\omega_c \rightarrow \infty$
 in the end

$F = \lim_{\omega_c \rightarrow \infty} F(\omega_c)$ exists!



Casimir force:

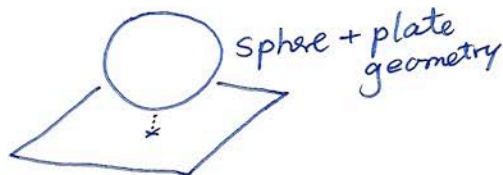
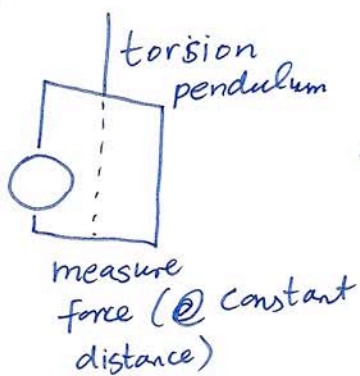
$$F = -A \cdot \frac{\hbar c}{d^4} \cdot \frac{\pi^2}{240}$$

From dimensional considerations: $\hbar c \sim \text{Nm}^4$
 very strong for small distances!
 from detailed calculation

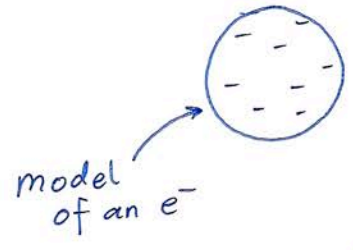
attractive
 extensive ($\sim A$)

Size: $\frac{F}{A} = \frac{1.3 \cdot 10^{-3} \text{ N}}{\text{m}^2} \cdot \frac{1}{(d/1\mu\text{m})^4}$

Expt. confirmed in '97 (Lamoreaux ~~et al~~)

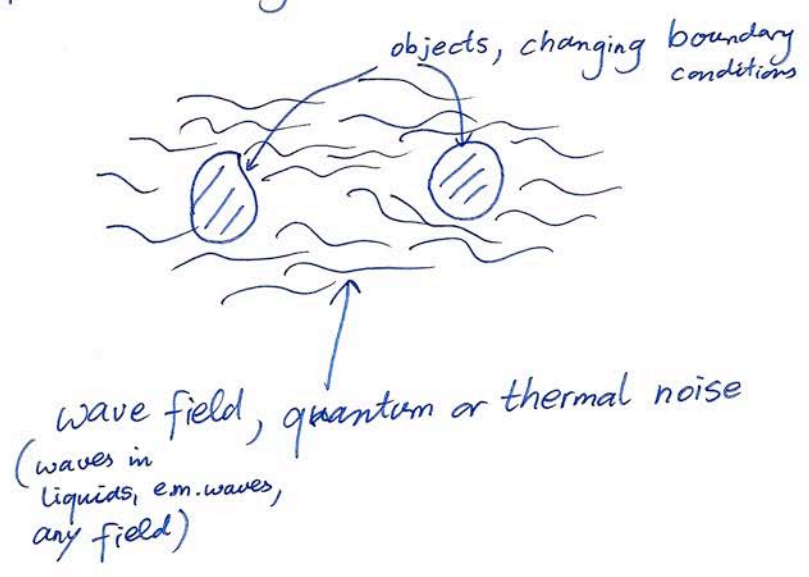


Casimir's idea: stabilize e^- against outward Coulomb repulsion?

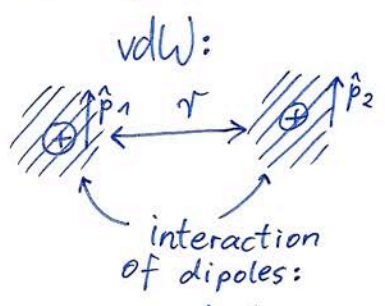


No! Doesn't work:
Casimir force on a spherical shell is repulsive.

Casimir forces in general:



Casimir force vs. van-der Waals force



$$\hat{H}_{int} \sim \frac{\hat{p}_1 \cdot \hat{p}_2}{r^3}$$

$$\Rightarrow SE^{(2)} \sim -\frac{1}{r^6} \equiv U_{vdW}(r)$$

2nd order pert. thy
(since $\langle \hat{p}_j \rangle \equiv 0$ in unperturbed ground state)

Casimir-Polder:

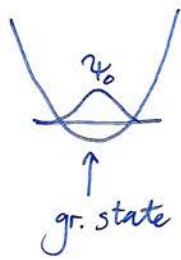
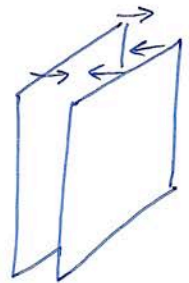
$$U_{CP}(r) \sim -\frac{C}{r^7}$$

retardation!
relevant for $r \gg \lambda$

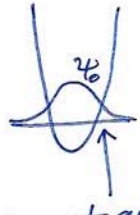
where λ : atomic transition wavelength

"Dynamical Casimir effect"

Sudden change \Rightarrow photon production

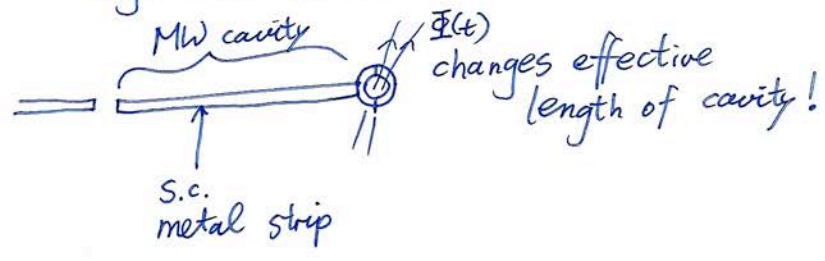


\Rightarrow



Challenge: avoid adiabatic regime
($\omega \sim \frac{c}{L}$)
 $\dot{L} < c \iff \frac{\dot{\omega}_k}{\omega_k} < \omega_k$
(because then $|\psi(t)\rangle$ follows ground state)

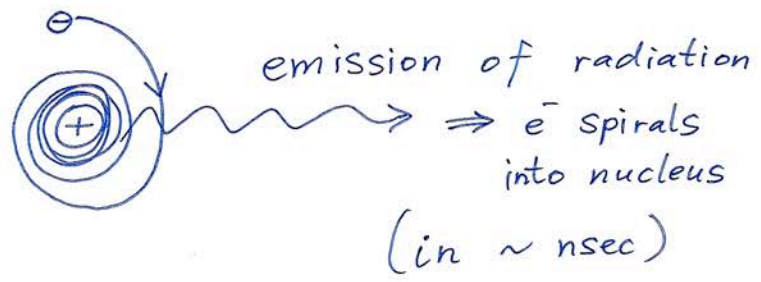
Experiments: with microwaves, e.g. use SQUID (Chalmers group)



9.3 Stochastic Electrodynamics

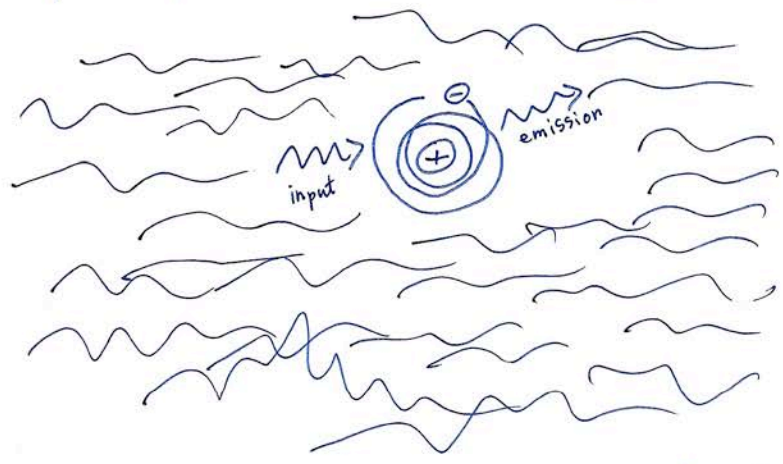
(a physically motivated local hidden variable theory that didn't work...)

Classical instability of atom:



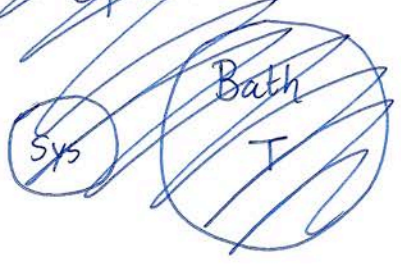
problem: damping = energy loss
but no energy input!

Idea: space filled with fluctuating em. field!



⇒ balance between dissipation & fluctuation!

~~compare:~~ thermal equilibrium

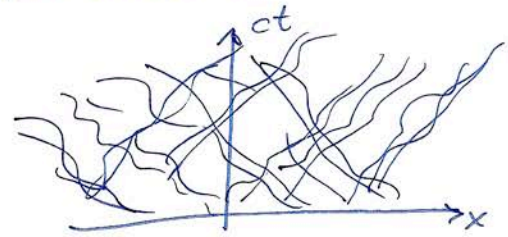


Fundamental fluctuations \rightarrow should be
(statistically)
Lorentz-invariant!

Characterize via correlator

$$\langle EE \rangle_\omega \equiv \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle E_x(t) E_x(0) \rangle$$

↑ ↑
at some point
in space



Result: Lorentz-invariance \Rightarrow

$$(\text{Energy density} \sim \epsilon_{\text{field}}(\omega)) \langle EE \rangle_\omega \stackrel{!}{=} \text{const} \cdot \omega^3$$

interpret as fundamental constant

Use $D(\omega) \sim \omega^2$ (in 3D) \Rightarrow if $\epsilon_{\text{field}}(\omega) \sim \omega^3$
then: $\epsilon_{\text{field}}(\omega) = D(\omega) \cdot \frac{\text{En.}}{\text{mode}} \leadsto$

$$\Rightarrow \boxed{\frac{\text{Energy}}{\text{mode}} = \text{Const} \cdot \omega}$$

purely from classical considerations, requiring Lorentz invariance!

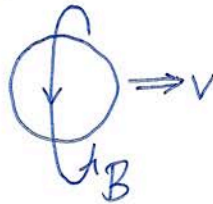
Experiment would show: $\text{Const} = \frac{\hbar}{2}$

\leadsto this is how \hbar enters SED!

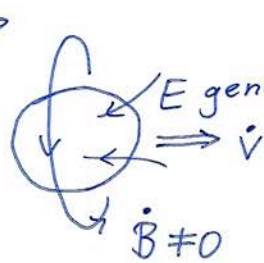
Effects on an e^- ?

Side-remark: radiation reaction

charged sphere \Rightarrow



accelerate \Rightarrow



$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$
 \Downarrow
 opposes acceleration
 \Downarrow
 "electromagnetic mass"

Result:

$$m_0 \ddot{x} = -\underbrace{\sum m}_{\text{e.m. mass correction}} \ddot{x} + \underbrace{\frac{q^2}{6\pi\epsilon_0 c^3} \ddot{\dot{x}}}_{\text{"radiation reaction" force}} + \dots$$

$$\sum m = \frac{4}{3} \frac{E_{el}}{c^2}$$

$$E_{el} \sim \frac{q^2}{\epsilon_0 R^2}$$

\uparrow
radius

note: $\sum m \rightarrow \infty$ for $R \rightarrow 0$ [\Rightarrow classical e^- radius from $mc^2 \sim \frac{q^2}{\epsilon_0 R} \Rightarrow R \sim 10^{-15} \text{ m}$]

Note: $\ddot{x} = C \dddot{x}$ has

solutions $\dot{x}(t) = \dot{x}(0) + \ddot{x}(0) C (e^{t/C} - 1)$

apply external force \Rightarrow particle reacts "in advance"

\Rightarrow exclude these solutions

Note: for e^- , $\frac{q^2}{6\pi\epsilon_0 c^3 m_e} \sim 6 \cdot 10^{-24} \text{ s}$

Charged oscillating particle subject to classical vacuum fluctuations



$$m \ddot{x} = -m\Omega^2 x + \underbrace{\frac{q^2}{6\pi\epsilon_0 c^3} \ddot{x}} + q E(t)$$

(e.g. already studied by Planck for thermal radiation!)

$\ddot{x} \approx -\Omega^2 x$ (indicated by an arrow pointing to the second term in the equation above)
 $m\Gamma \Rightarrow$ damping from rad. reaction! (indicated by a bracket under the radiation reaction term in the equation above)

\Rightarrow solve in ω -space,

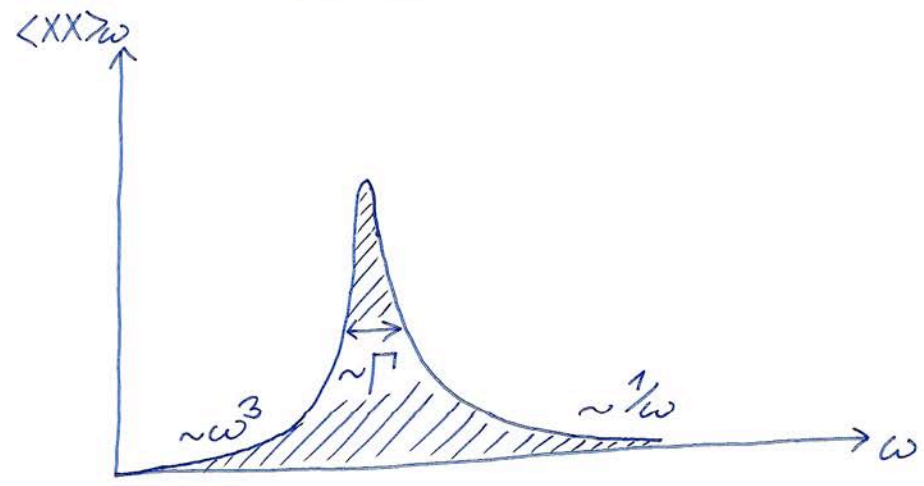
$$x(t) = \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} e^{-i\omega t} x(\omega)$$

\Rightarrow

$$x(\omega) = \frac{\frac{q}{m} E(\omega)}{\underbrace{\Omega^2 - \omega^2 - i\omega\Gamma}_{\chi(\omega)}}$$

\Rightarrow Spectrum of fluctuations:

$$\langle XX \rangle_\omega = |\chi(\omega)|^2 \langle EE \rangle_\omega$$



Result:

$$\langle X^2 \rangle = \int \frac{d\omega}{2\pi} \langle XX \rangle_\omega$$

= ...

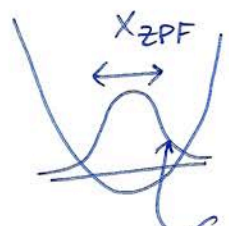
$$= \frac{\hbar}{2m\Omega} + \underbrace{O(q^2)}$$

|||

QM ground-state width, q^2 drops out!

$$\sim \ln\left(\frac{\omega c}{\Omega v}\right)$$

Logarithmically divergent, but "small" $\sim q^2$ (Like QED corrections)

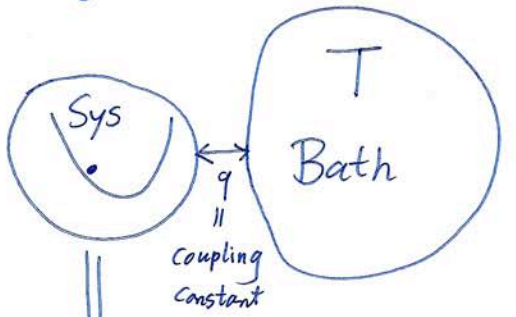


\Rightarrow precisely matches QM predictions!

Gaussian distribution for x (due to Gaussian field E)

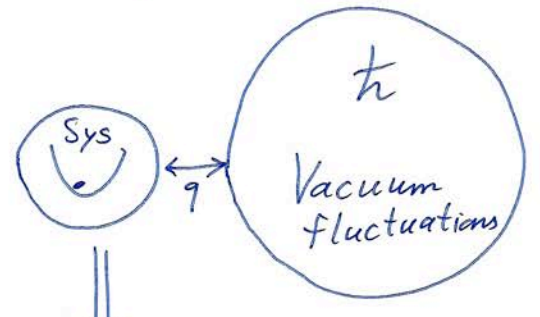
Compare:

~~the~~ classical & thermal eq.



Boltzmann distr. (for $q \neq 0$, but $q \rightarrow 0$)

"zero-point equilibrium"



ground state (for $q \neq 0$, $q \rightarrow 0$)

Free particle:

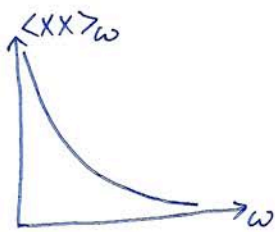
$$m\ddot{x} = m\tau\dddot{x} + qE \quad (+ q(\dot{x} \times B))$$

(can be neglected for small ω : $\tau(e^-) \sim 10^{-24} \text{ s}$)

neglected for $v \ll c$

$$\Rightarrow x(\omega) = \frac{q}{-m\omega^2} E(\omega)$$

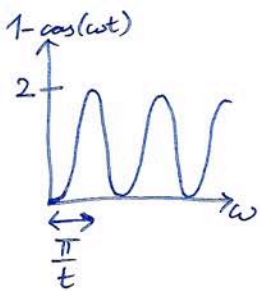
$$\Rightarrow \langle XX \rangle_\omega = \frac{(q/m)^2}{\omega^4} \langle EE \rangle_\omega = \frac{1}{\omega} \cdot \text{const}$$



Note: $\langle EE \rangle_\omega = \frac{\hbar}{6\pi c^3 \epsilon_0} \omega^3$

" $\frac{\hbar c m}{q^2}$ "

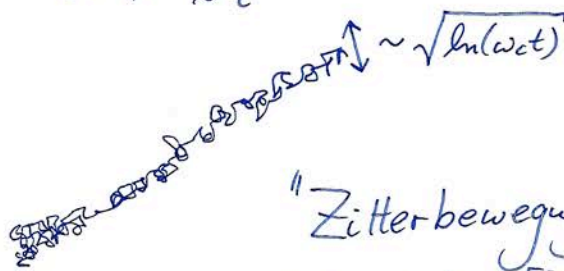
$$\langle (x(t) - x(0))^2 \rangle = \int \frac{d\omega}{2\pi} 2(1 - \cos(\omega t)) \langle XX \rangle_\omega$$



$$\Delta x \sim \frac{1}{\pi} \text{const} \cdot \ln(\omega t) \sim \ln(\omega t)$$

effective cutoff at $\sim \frac{1}{t}$

$\frac{2 \hbar (q/m)^2}{\pi 6 \pi c^3 \epsilon_0}$



"Zitterbewegung"
induced by field fluctuations

[Note: for e^- , we have:

$$\frac{2 \hbar (q/m)^2}{\pi 6 \pi c^3 \epsilon_0} \approx 4.6 \cdot 10^{-28} \text{ m}^2$$

$$= (2.15 \cdot 10^{-14} \text{ m})^2$$

$\sim r_{cl}^2$
↳ classical e^- radius

Hydrogen atom:



Estimate is OK (analogous to H.O.)

"Back-of-the-envelope":

let $\Gamma = \Omega^2 \tau$ ($\Rightarrow m\ddot{x} = \dots - \Gamma \dot{x}$)

$P_{in} = P_{out} \Rightarrow \underbrace{q\bar{E}v}_{\text{average power fed into motion}} \approx \underbrace{m\Gamma \langle \dot{x}^2 \rangle}_{\text{average power dissipated}}$

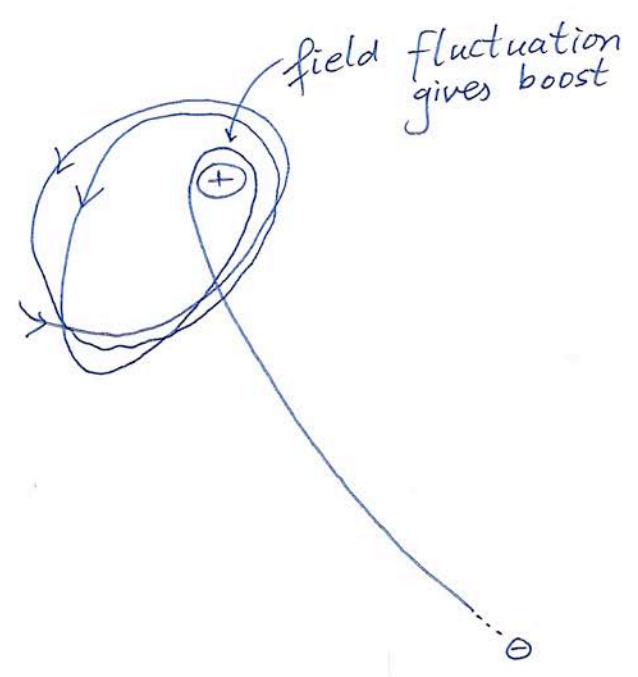
$\bar{E} \sim \sqrt{\langle EE \rangle_{\omega=\Omega}} \xrightarrow{\text{"bandwidth": filtering the noise during time } \Gamma^{-1}}$

$\Rightarrow \dots \Rightarrow r^2 \sim \frac{\hbar}{m\Omega}$
($v \sim \Omega r$)

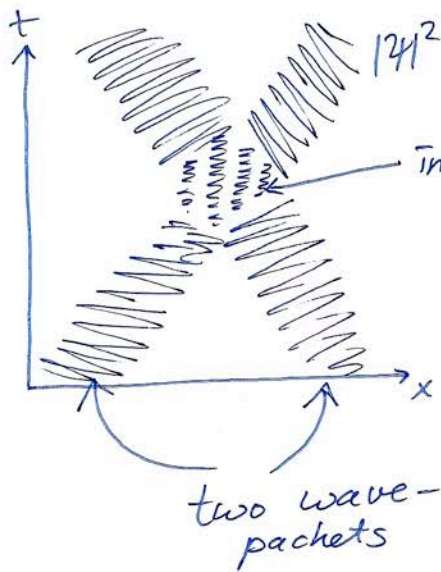
\Rightarrow use $m\Omega^2 r \stackrel{!}{=} \frac{q^2}{4\pi\epsilon_0 r^2}$ centrifugal force $\Rightarrow \dots \Rightarrow$ Bohr radius \checkmark

[Note: Use $\langle EE \rangle_{\omega} = \frac{\hbar m \tau}{q^2}$, where $\tau = \frac{q^2}{6\pi\epsilon_0 c^3 m}$]

But: numerical simulations show instability towards ionization



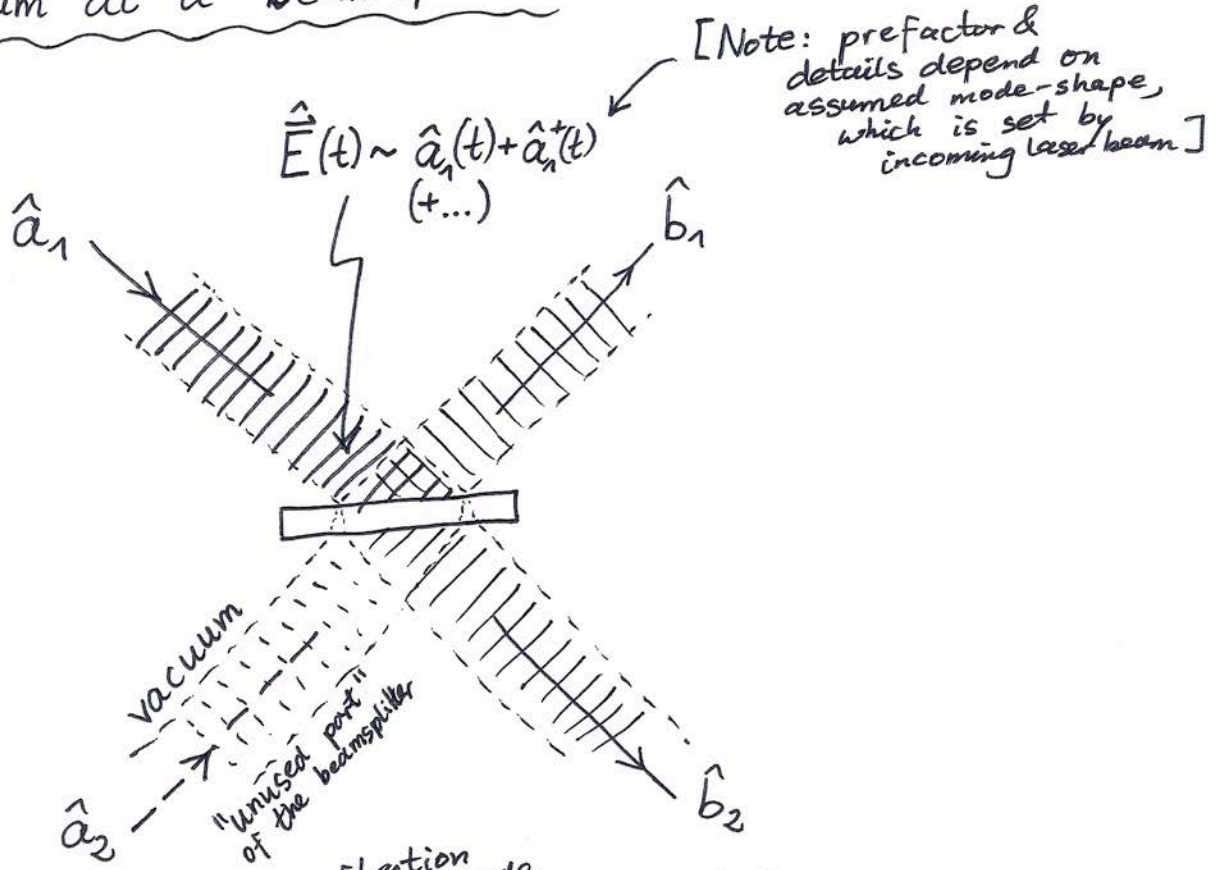
Even worse: interference in free space cannot be explained!



→ trajectories must be perturbed (quite strongly, see Bohm or Nelson!)

SED field fluctuations too weak for this!

Vacuum at a beamsplitter



$$\begin{aligned} \hat{b}_1(t) &= r \hat{a}_1(t) + t \hat{a}_2(t) \\ \hat{b}_2(t) &= t \hat{a}_1(t) + r \hat{a}_2(t) \end{aligned}$$

↙ reflection amplitude
↘ transmission amplitude

$$[\hat{a}_i(t), \hat{a}_j^+(t')] = S_{ij} \delta(t-t') \quad \text{(independent input channels, \& proper normalization)}$$

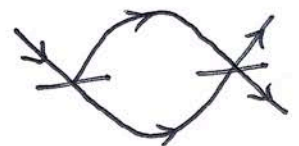
$$\langle \hat{a}_j^+(t) \hat{a}_j(t) \rangle = \text{photons/sec} \quad \text{(by normalization)}$$

Must have energy conservation \Rightarrow

$$\langle \hat{b}_1^+ \hat{b}_1 \rangle + \langle \hat{b}_2^+ \hat{b}_2 \rangle \stackrel{!}{=} \langle \hat{a}_1^+ \hat{a}_1 \rangle + \langle \hat{a}_2^+ \hat{a}_2 \rangle$$

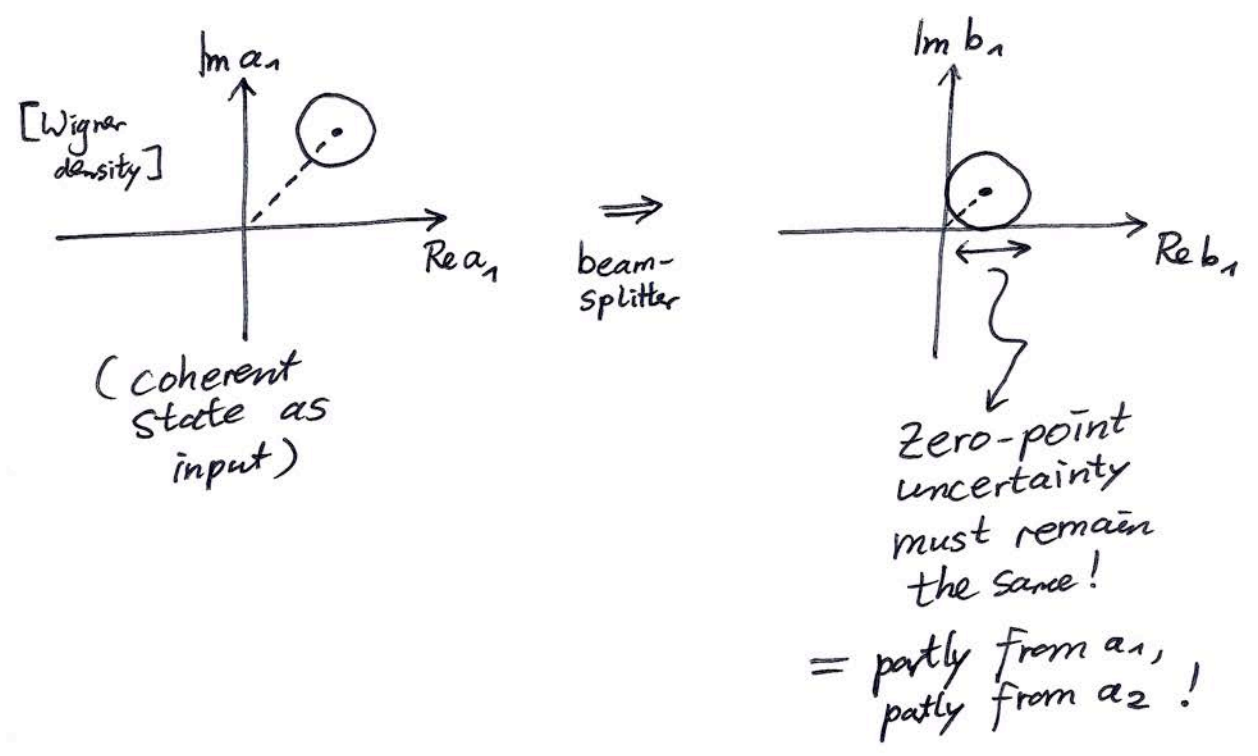
$$\underbrace{(|r|^2 + |t|^2)}_{\stackrel{!}{=} 1} (\langle \hat{a}_1^+ \hat{a}_1 \rangle + \langle \hat{a}_2^+ \hat{a}_2 \rangle) + \underbrace{(r^*t + t^*r)}_{\stackrel{!}{=} 0} (\langle \hat{a}_1^+ \hat{a}_2 \rangle + \langle \hat{a}_2^+ \hat{a}_1 \rangle)$$

could be $\neq 0$ for interference setup

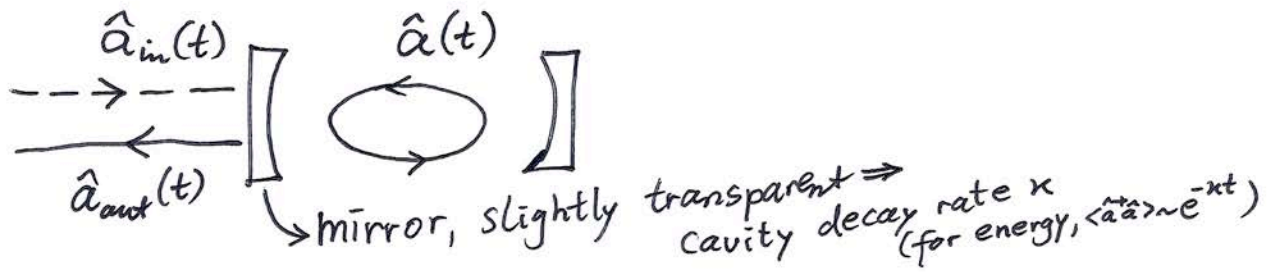


In QM, we need to keep \hat{a}_2 even if $\langle \hat{a}_2^+ \hat{a}_2 \rangle \equiv 0!$
Otherwise: commutation relations violated!

$$[\hat{b}_1(t), \hat{b}_1^+(t')] = |r|^2 [a_1(t), \hat{a}_1^+(t')] + |t|^2 [a_2(t), \hat{a}_2^+(t')] \\ \stackrel{!}{=} \delta(t-t')$$



Vacuum entering a cavity



Heisenberg equations of motion
("input-output formalism")

$$\frac{d}{dt} \hat{a} = \left(-i\omega_{cav} - \frac{\kappa}{2}\right) \hat{a} - \sqrt{\kappa} \hat{a}_{in}(t)$$

$$\hat{a}_{out}(t) = \hat{a}_{in}(t) + \sqrt{\kappa} \hat{a}$$

if this is forgotten,

then

$$[\hat{a}, \hat{a}^\dagger] \rightarrow 0 \quad \text{⚡}$$

\Rightarrow vacuum inside cavity is constantly replenished by incoming vac. noise
[compare SED picture for vac. acting on H.O.]

"The wave function of a photon"

Photon creation?

In mode k : \hat{a}_k^+ ✓

At position \vec{r} :

maybe $\hat{\Psi}^+(\vec{r}) = \frac{1}{\sqrt{2\pi}} \sum_k \hat{a}_k^+ e^{-ik\vec{r}}$?

Problem: incompatible with

$$\hat{E}(\vec{r}) \sim \sum_k \frac{1}{\sqrt{\omega_k}} \hat{\epsilon}_k (\hat{a}_k e^{ik\vec{r}} + h.c.)$$

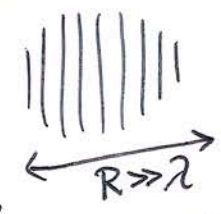
⇒ extra energy density

change vs. vac $\int \left[\frac{\epsilon_0}{2} \langle \hat{E}^2 \rangle + \frac{1}{2\mu_0} \langle \hat{B}^2 \rangle \right]$

is not $\sim \langle \hat{\Psi}^+(\vec{r}) \hat{\Psi}(\vec{r}) \rangle$

rather: smeared by $\sim \lambda$

⇒ unimportant for extended wave packets



but it means: a single photon can never be localized to better than λ !

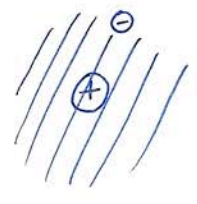
[Note: Here λ is analogous to Compton wavelength $\lambda_c \sim \frac{h}{mc}$ of massive particles!]

8.5 Interaction with an atom

(e.g. H atom)

$$\hat{H}_{int} = - \underbrace{q \hat{r}}_{\text{dipole moment}} \cdot \hat{E}(0)$$

(in "dipole approximation", at atom $\vec{r}=0$)

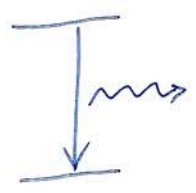


Perturbation theory \Rightarrow

Spontaneous emission rate: e.g. $|i\rangle = |2p_z\rangle, |f\rangle = |1s\rangle$

$$\Gamma_{f \leftarrow i} = \frac{1}{\hbar^2} \underbrace{|\langle f | qz | i \rangle|^2}_{\text{dipole matrix element}} \langle \hat{E} \hat{E} \rangle_{\omega = E_f - E_i / \hbar}$$

where $\langle \hat{E} \hat{E} \rangle_{\omega} = \langle \hat{E}_z \hat{E}_z \rangle_{\omega}$
 $= \int dt e^{i\omega t} \langle \hat{E}_z(t) \hat{E}_z(0) \rangle$



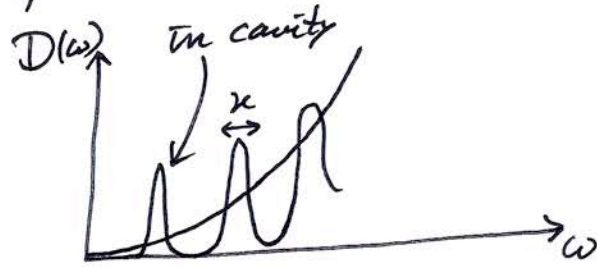
~~Energy correction?~~

~~$$\delta E_i^{(2)} = \sum_{f \neq i} \frac{|\langle f | \hat{H}_{int} | i \rangle|^2}{E_i - E_f} = \int \frac{d\omega}{2\pi} \frac{\langle \hat{E} \hat{E} \rangle_{\omega}}{-\hbar\omega}$$~~

here: $|i\rangle = |i_{Atom}, \text{Vac}\rangle$
 $|f\rangle = |f_{Atom}, 1_k\rangle$
 one photon in some mode k

Purcell effect: "Shaping the vacuum"

Inside cavity: $D(\omega)$ modified



$$\Rightarrow \Gamma \sim \langle \hat{E}\hat{E} \rangle_{\omega=\omega_0} \sim \omega \cdot D(\omega)$$

is enhanced \rightarrow at resonances
suppressed \rightarrow between resonances

Heisenberg picture:

$$m \frac{d^2 \hat{\mathbf{r}}}{dt^2} = -\nabla V(\hat{\mathbf{r}}) + m\tau \frac{d^3 \hat{\mathbf{r}}}{dt^3} + q \underbrace{\hat{\mathbf{E}}_{(0)}(\hat{\mathbf{r}}=0, t)}_{\text{vacuum field}}$$

damping, obtained from solving for E-field in presence of coupling:

[here: taken in dipole approx.]

$\hat{\mathbf{E}}_{(0)}$ means: time-evolved in absence of coupling

$$\hat{\mathbf{E}}(\hat{\mathbf{r}}=0, t) = \hat{\mathbf{E}}_{(0)}(\hat{\mathbf{r}}=0, t) + \underbrace{\text{const.} \cdot \ddot{\hat{\mathbf{r}}}}_{\substack{\text{mass renormal.} \\ \text{already included above!}}} + \underbrace{\text{const}' \cdot \ddot{\hat{\mathbf{r}}}}_{\text{radiation damping}} (+ \dots)$$

(→ like in SED, but with operators)

damping + vac. noise
 ↓
both needed to keep commutators!

"Virtual" photon cloud

(e.g.: around an atom)

take atom as two-level atom $\Delta E \downarrow \begin{matrix} |1\rangle \\ \hat{\sigma}_x \\ |0\rangle \end{matrix}$

$$\hat{H}_{int} = \hat{\sigma}_x \sum_k g_k (\hat{a}_k + \hat{a}_k^\dagger)$$

$$\hat{\sigma}_x = \hat{\sigma}_x^- + \underbrace{\hat{\sigma}_x^+}_{\text{excites atom}}$$

\Rightarrow can have $\hat{\sigma}_x^+ \hat{a}_k^\dagger$: increases energy by $\Delta E + \hbar\omega_k$

\Rightarrow contributes correction

$$\frac{g_k}{\Delta E + \hbar\omega_k} |1\rangle \otimes \underbrace{|n_k=1\rangle}_{\substack{1 \text{ photon in mode } k \\ (\text{rest: vacuum})}}$$

to ground state

$$|0\rangle \otimes |2\hbar\omega\rangle$$

\Rightarrow "Sudden" measurement would show (faster than $\hbar/\Delta E$)

sometimes

often:

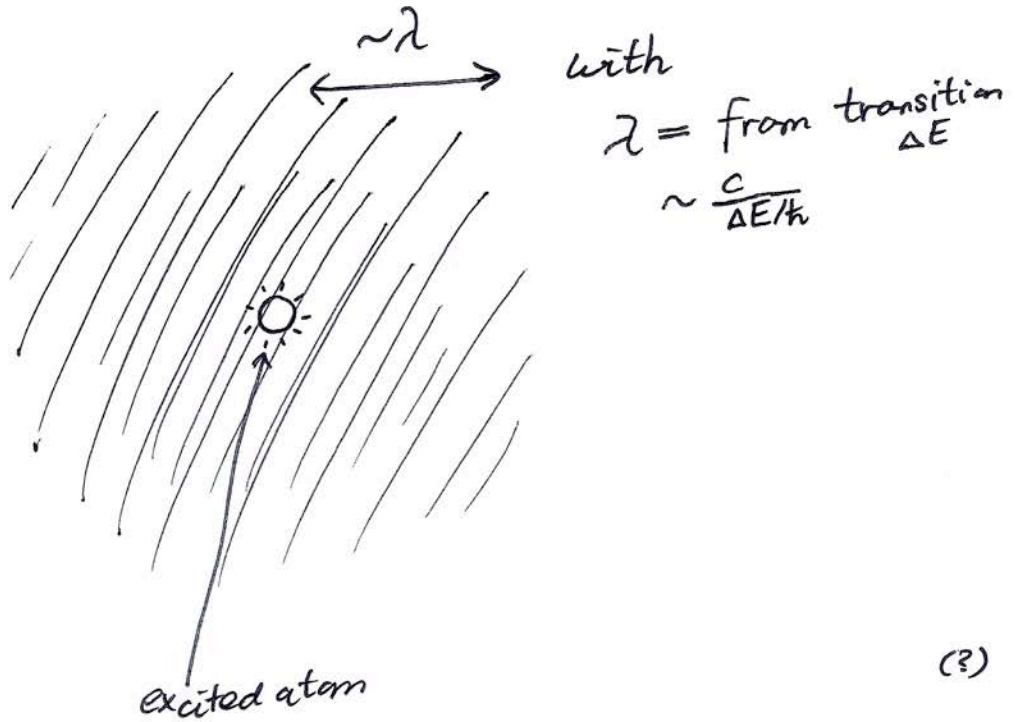
—
—● (no photons)

sometimes:

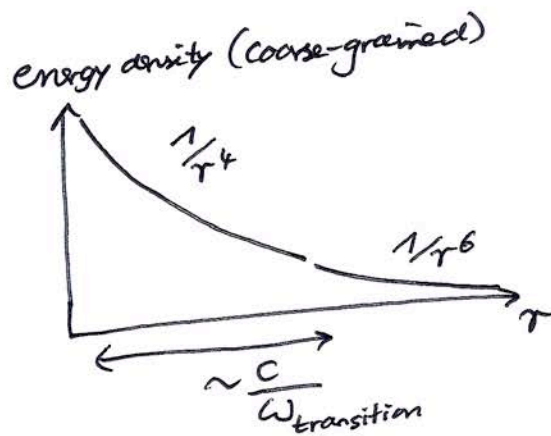
—● $\sqrt{\omega_k}$
—

Energy density of "photon cloud"

(217)



(?)



[see Passante,
Compagno, Persico
~~1985~~ 1985]

8.6 Lamb shift

Energy correction? General formula: $\delta E_i^{(2)} = \sum_f \frac{|\langle f | \hat{A} | i \rangle|^2}{E_i - E_f}$ (218) ⁵

Consider Atom level n , express via field spectrum

⇒

$$\delta E_n^{(2)} = \sum_{n'} |\langle n' | qz | n \rangle|^2 \int \frac{d\omega}{2\pi} \frac{\langle \hat{E} \hat{E} \rangle_\omega}{E_n - E_{n'} - \hbar\omega}$$

(& sum over different directions: x, y, z)

pole at transition frequency

(⇒ use principal value integral)

Observable: only transition frequencies

[note: $\hat{p} \cdot \hat{A}$ is more usual choice of gauge]

e.g. $\delta E_1^{(2)} - \delta E_0^{(2)}$

Problem: diverges! (at high ω)

- ~~Use~~ Don't use dipole approx. ⇒ suppress short $\lambda \ll R_{\text{Atom}}$

- Use relativistic theory (→ still: logarithmic divergence in cutoff!)

- Renormalize mass
 $m_{\text{observed}} = m_{\text{calculated eff}} (m_0, \omega_c)$
 Fixed, from experimented "bare mass", in Hamiltonian
 effective mass, calculated cutoff frequency

⇒ finite results!

e.g.: nonrelativistic calculation:

$$\delta m = \frac{4\alpha}{3\pi c^2} \int_0^{\omega_c} dE$$

$$m_{\text{obs}} \stackrel{!}{=} m_0 + \delta m(\omega_c)$$

Lamb shift:

Observation (1947): $E(2S_{1/2}) \neq E(2P_{1/2})$, even though Dirac theory predicts them to be the same!

$$\Delta E^{(2)}(2S_{1/2}) - \Delta E^{(2)}(2P_{1/2}) \approx -1 \text{ GHz} \cdot \hbar$$

$\begin{matrix} \nearrow n \\ \searrow \\ \text{Spin } 1/2 \\ \downarrow \\ L=0 \\ \downarrow \\ J=1/2 \end{matrix}$

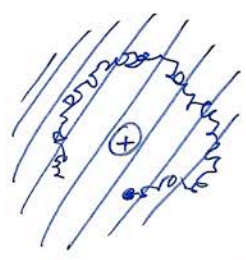
 $\begin{matrix} \downarrow \\ L=1 \\ \downarrow \\ J=1/2 \end{matrix}$

Heuristic explanation:
(Welton)

QED-"Zitterbewegung" (see SED discussion)
Smears potential:

$$V_{\text{eff}}(\vec{r}) = \langle V(\vec{r} + \delta\vec{r}) \rangle \approx V(\vec{r}) + \frac{1}{2} \langle \delta r_i \delta r_j \rangle \partial_i \partial_j V + \dots$$

\downarrow avg. over $\delta\vec{r}$, ~~with~~ $\delta_{ij} \frac{1}{3} \langle \delta r^2 \rangle$



$$\langle \delta r^2 \rangle \sim r_{e\text{-radius}} \cdot \lambda_c \cdot \ln(\omega c t)$$

\uparrow See above [SED section]

here: take cutoff
 $\omega_c \approx \frac{mc^2}{\hbar}$
 (Compton wavelength)
 $t \sim \frac{1}{\Omega_B}$ ← Bohr freq.

$$\Delta \frac{1}{r} = -4\pi \delta(\vec{r})$$

$$\langle \psi | \Delta V | \psi \rangle = -4\pi |\psi(\vec{r}=0)|^2 \cdot \frac{q^2}{4\pi \epsilon_0}$$

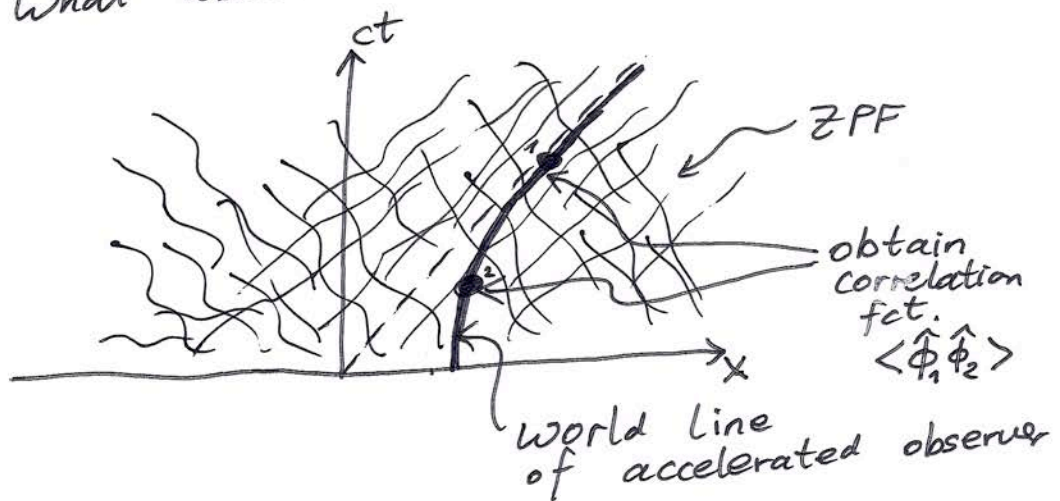
→ only s-orbitals acquire correction!

Unruh-Davies Effect

(Unruh '76, Davies '75)

Zero-point spectrum is Lorentz-invariant
 \Rightarrow ~~What~~ Ground state $|\text{Vac}\rangle$ is
 gr. state in all inertial frames!

But: What about accelerated observer?



Example: Scalar relativistic field theory

$$L = \frac{1}{8\pi} \int d^3\vec{r} \left[\frac{1}{c^2} (\partial_t \hat{\phi})^2 - (\nabla \hat{\phi})^2 \right]$$

$$\Rightarrow \text{wave eq. } (\nabla^2 - c^{-2} \partial_t^2) \hat{\phi} = 0$$

\Rightarrow quantization:

$$\hat{H} = \sum_k \hbar \omega_k \left(\hat{a}_k^+ \hat{a}_k + \frac{1}{2} \right)$$

$$\hat{\phi}(\vec{r}, t) = \sum_k \sqrt{\frac{2\pi \hbar c^2}{2\omega_k}} \left[\hat{a}_k(t) e^{i\vec{k}\vec{r}} + \text{h.c.} \right]$$

\Rightarrow Correlator:

$$\langle \hat{\phi}(\vec{r}, t) \hat{\phi}(0, 0) \rangle = \sum_{k, k'} \frac{2\pi \hbar c^2}{2\omega_k \omega_{k'}} \left[\langle \hat{a}_k(t) \hat{a}_{k'}(0) \rangle e^{i\vec{k}\vec{r}} e^{-i\omega_k t} \right. \\ \left. + \langle \hat{a}_k^+(t) \hat{a}_{k'}^+(0) \rangle e^{-i\vec{k}\vec{r}} e^{-i\omega_k t} \right. \\ \left. + \langle \hat{a}_k(t) \hat{a}_{k'}^+(0) \rangle e^{i\vec{k}\vec{r}} e^{-i\omega_k t} \right. \\ \left. + \langle \hat{a}_k^+(t) \hat{a}_{k'}(0) \rangle e^{-i\vec{k}\vec{r}} e^{-i\omega_k t} \right]$$

$$\langle \hat{a}_k^+(t) \hat{a}_{k'}(0) \rangle \\ = e^{+i\omega_k t} \delta_{kk'} n_k$$

Vacuum state (gr. state):

$$\langle \hat{\phi}(\vec{r}, t) \hat{\phi}(0, 0) \rangle_0 = \frac{\hbar c}{\pi} \frac{1}{r^2 - c^2 t^2}$$

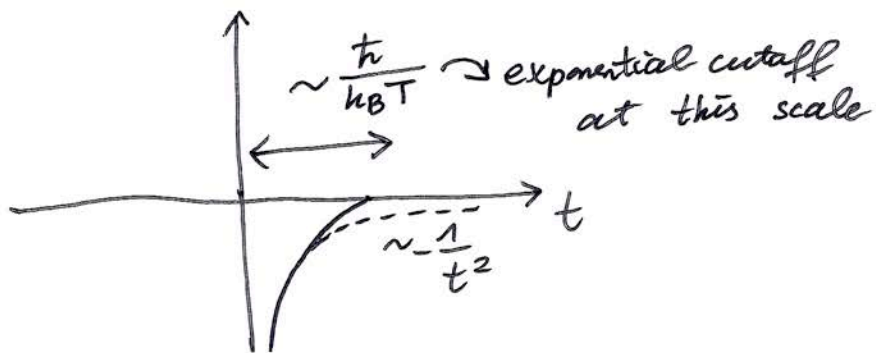
Finite temperature:

$$\langle \hat{a}_n^+ \hat{a}_n \rangle = \frac{1}{e^{\beta \hbar \omega_n} - 1} = \text{Bose-Einstein distribution}$$

$$\langle \hat{a}_n \hat{a}_n^+ \rangle = 1 + \langle \hat{a}_n^+ \hat{a}_n \rangle \quad \beta = \frac{1}{k_B T}$$

$$k \neq k' : \langle \hat{a}_n^+ \hat{a}_{n'} \rangle = 0$$

$$\Rightarrow \langle \hat{\phi}(\vec{r}, t) \hat{\phi}(0, 0) \rangle_T = \dots = -\frac{\hbar}{\pi c} \left(\frac{\pi}{\hbar \beta} \right)^2 \frac{1}{\sinh^2 \left(\frac{\pi t}{\hbar \beta} \right)}$$



Observer with uniform acceleration:

↓
in its own frame

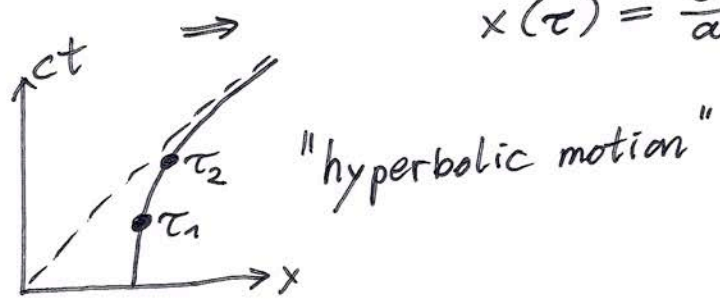
$$\Rightarrow \frac{dv}{dt} = a \left(1 - \left(\frac{v}{c}\right)^2\right)^{3/2}$$

use $\frac{dt}{d\tau} = \frac{1}{\sqrt{1 - (v/c)^2}}$ $\tau = \text{proper time}$

& solve $v(t) \Rightarrow \dots \Rightarrow$

$$t(\tau) = \frac{c}{a} \sinh\left(\frac{a\tau}{c}\right)$$

$$x(\tau) = \frac{c^2}{a} \left(\cosh\left(\frac{a\tau}{c}\right) - 1\right)$$



What is the correlator seen by the observer?
no transf. necessary: scalar field!

$$\langle \hat{\phi}(x(\tau_2), t(\tau_2)) \hat{\phi}(x(\tau_1), t(\tau_1)) \rangle_0$$

~~use $\Delta x^2 - c^2 \Delta t^2$~~ $= \frac{\hbar c}{\pi} \frac{1}{\Delta x^2 - c^2 \Delta t^2}$

$$\Delta t = t(\tau_2) - t(\tau_1)$$

We find: $\Delta x^2 - c^2 \Delta t^2 = \dots = -\frac{c^4}{a^2} \sinh^2\left(\frac{a(\tau_2 - \tau_1)}{2c}\right)$

only depends on $\tau_2 - \tau_1$!

$$\Rightarrow \langle \hat{\phi}(x(\tau_2), t(\tau_2)) \hat{\phi}(x(\tau_1), t(\tau_1)) \rangle_0$$

$$= \langle \hat{\phi}(0, \tau_2) \hat{\phi}(0, \tau_1) \rangle_T$$

with

$$k_B T = \frac{\hbar a}{2\pi c}$$

\Rightarrow uniformly accelerated observer sees this $T!$ thermal fluctuations at \Rightarrow will sometimes excite observer! (=atom, for example)

Numbers: $a \sim 1g \Rightarrow T \sim 4 \cdot 10^{-20} K$
 $a \sim 10^{12} \frac{m}{s^2} \Rightarrow T \sim 10^{-8} K$
 (ion accelerated by $\frac{1keV}{cm}$) [$6.5 \cdot 10^{-9} K$]
for $m = 10^{-25} kg$]

Note: "Hawking radiation": with $a =$ grav. acceler. at horizon of black hole

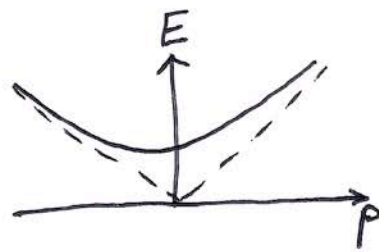
$$\Rightarrow k_B T = \frac{\hbar c^3}{8\pi GM}$$

[Note: Schwarzschild radius $r_s = \frac{2GM}{c^2}$]

10. Dirac equation

$$E = \frac{p^2}{2m} \Leftrightarrow \hbar\omega = \frac{\hbar^2 k^2}{2m} \leadsto \dots \text{SEQ}$$

$$E = \sqrt{m^2 c^4 + p^2 c^2} \leadsto ?$$



1. attempt: $E^2 = \dots$

$$\leadsto -\hbar^2 \partial_t^2 \phi = (m^2 c^4 - \hbar^2 c^2 \nabla^2) \phi$$

"Klein-Gordon eq."

[Schrödinger hadn't liked it: $E < 0$ possible]

2. attempt: $\omega^2 = \dots$ from system of 1st order eqs (compare Maxwell eq.!)

e.g. 1D:

$$i\hbar \partial_t \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \underbrace{(mc^2 \hat{\sigma}_z + c \hat{p} \hat{\sigma}_x)}_{\hat{H}} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$$

$$E^2 = \hat{H}^2 = m^2 c^4 + mc^3 \hat{p} (\hat{\sigma}_z \hat{\sigma}_x + \hat{\sigma}_x \hat{\sigma}_z) + c^2 \hat{p}^2 = 0$$

Note: ~~the~~ energy eigenvalues of $\hat{H} = -\vec{b} \cdot \vec{\sigma}$ are: $E = \pm |\vec{b}|$

also works in 2D, but for 3D: need 4 anti-commuting matrices \Rightarrow 4x4 matrices!

$$i\hbar \partial_t \Psi = (mc^2 \hat{\beta} + c \hat{p} \cdot \hat{\alpha}) \Psi$$

4-component "spinor"

Dirac equation

many different choices possible $\hat{=}$ basis choices for Ψ

with $\gamma^0 = \beta$
 $\gamma^k = \gamma^0 \alpha^k$

$$\Rightarrow \boxed{i\hbar \gamma^\mu \partial_\mu \Psi - mc \Psi = 0}$$

$$\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu}$$

$$\gamma^0 = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$$

$= \beta$

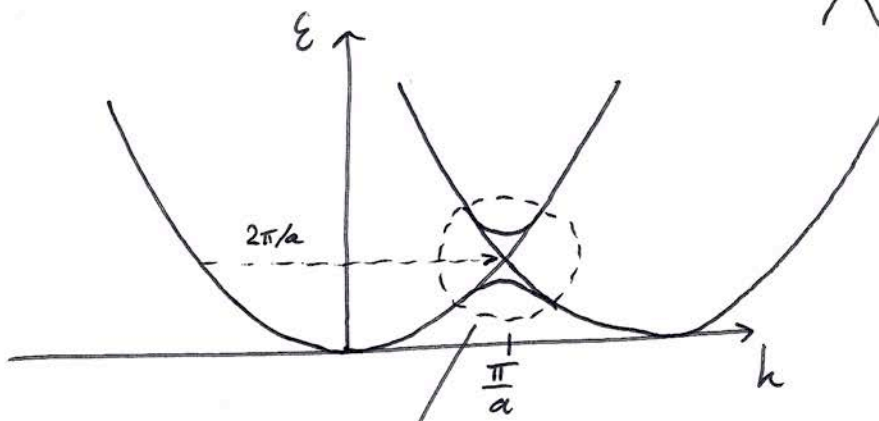
$$\gamma^1 = \begin{pmatrix} & \sigma_x \\ -\sigma_x & \end{pmatrix}$$

$$\gamma^2 = \begin{pmatrix} & \sigma_y \\ -\sigma_y & \end{pmatrix}$$

$$\gamma^3 = \begin{pmatrix} & \sigma_z \\ -\sigma_z & \end{pmatrix}$$

$$\Rightarrow \alpha^1 = \begin{pmatrix} & \sigma_x \\ \sigma_x & \end{pmatrix} \text{ etc.}$$

Semiconductor analogue, e.g.: 1D periodic potential 224



Scattering by reciprocal lattice vector

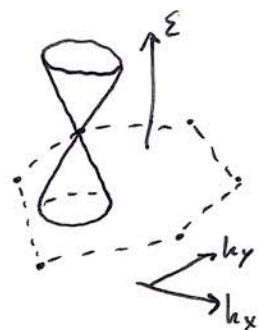
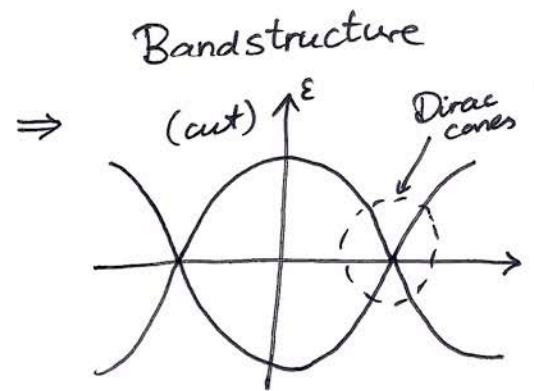
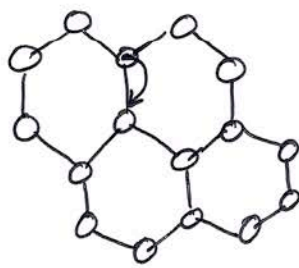
$$\hat{H} = \text{const} + V(q = \frac{2\pi}{a}) \cdot \hat{\sigma}_x + v \cdot \delta k \cdot \hat{\sigma}_z$$

Speed at edge of Brillouin zone

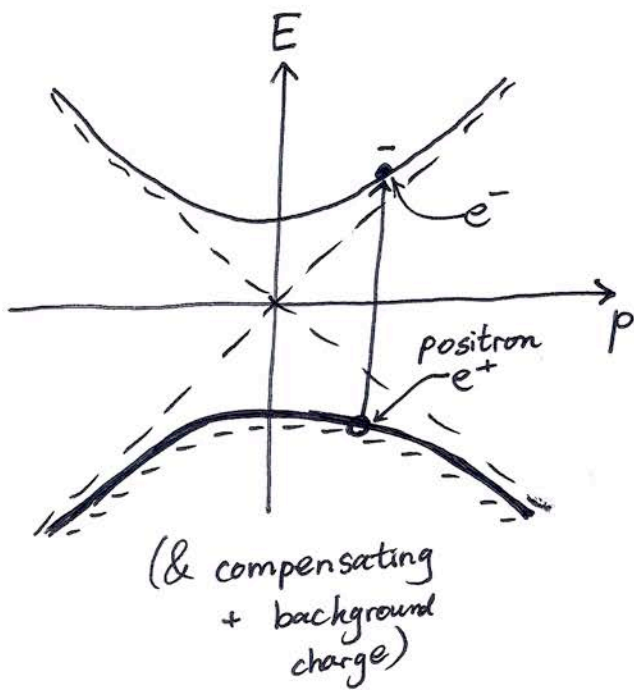
$$\delta k = k - \frac{\pi}{a}$$

Also in graphene = 2D honeycomb lattice
(→ massless Dirac fermions)

tight-binding model

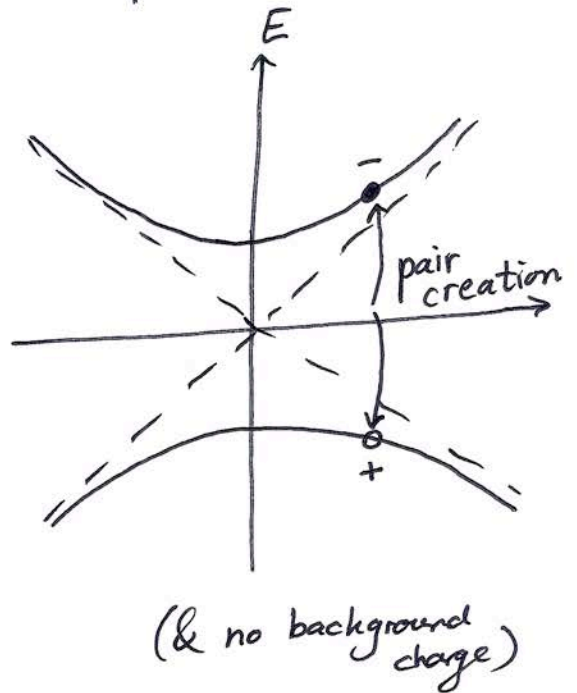


⇒ Automatically: Spin $\frac{1}{2}$ & particle/antiparticle

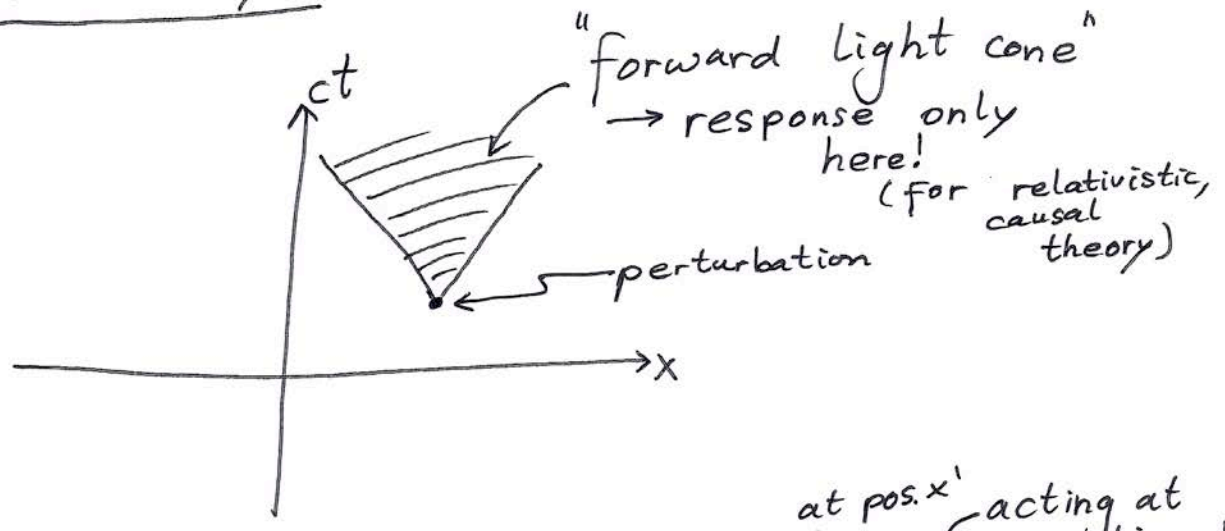


Compare Semiconductor!

alternative point-of-view:



Causality



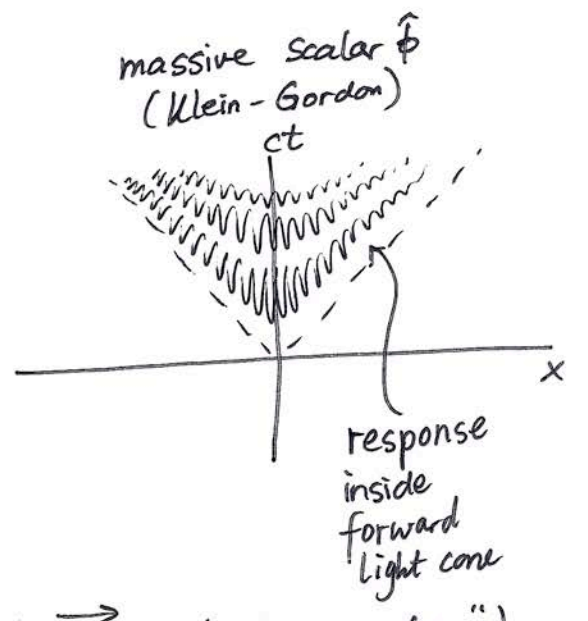
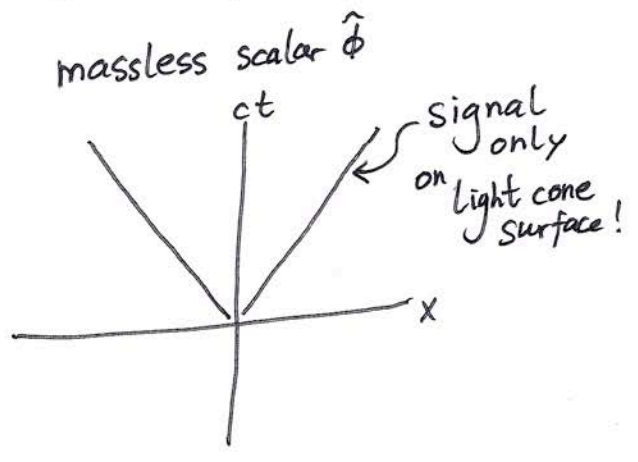
perturbation: $\hat{V} = \epsilon \hat{\phi}(x', t') \delta(t - t')$

at pos. x' acting at time t'

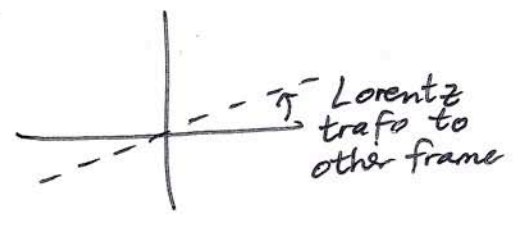
⇒ response, according to Kubo:

$$\langle \hat{\phi}(x, t) \rangle = \epsilon \frac{1}{i\hbar} \langle [\hat{\phi}(x, t), \hat{\phi}(x', t')] \rangle_0 \theta(t)$$

Check for free bosonic relativistic fields:



Note: Need only check for equal times ("space-like separation") if we know the response fct. is Lorentz-invariant



$\leadsto \langle [\hat{\phi}(x,t), \hat{\phi}(0,0)] \rangle = 0$ for $x^2 > c^2 t^2$ (Space like separation) (227) ²

Fermions (e.g. Dirac eq.):

$\langle \{ \hat{\psi}(x,t), \hat{\psi}^\dagger(0,0) \} \rangle = 0$ for $x^2 > c^2 t^2$

\leadsto is compatible with bosonic case, since then \int response of density (= bosonic quantity) $\psi^\dagger \psi$

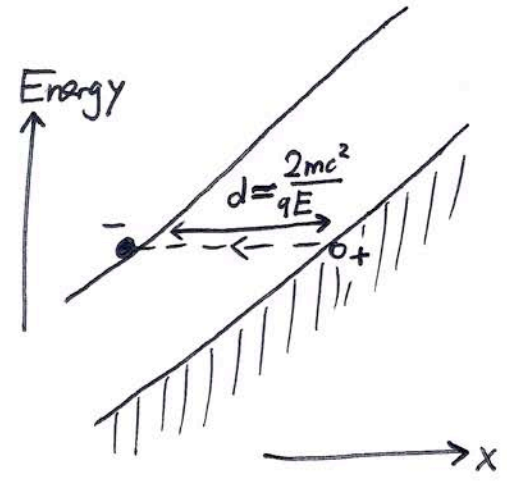
is causal \checkmark

Note: Attempt of quantizing (spin-0) scalar $\hat{\phi}$ with anti-commutators \Rightarrow violate causality \swarrow
 \Rightarrow also observables $\langle \{ \hat{\phi}(x,t), \hat{\phi}(0,0) \} \rangle \neq 0$ for $x^2 > c^2 t^2$ ~~in ϕ~~ \swarrow
 Likewise (vice versa) for \int Dirac eq. \Rightarrow \swarrow from quantization with comm. $\omega_{\vec{k}} = 0$

\Rightarrow Examples of Spin-statistics theorem (Pauli '40)

spin:
 half-integer \leftrightarrow fermions
 integer \leftrightarrow bosons

Pair creation in strong E-field



$$\Gamma \sim e^{-\text{const} \cdot \frac{mc^2}{\hbar} \cdot d}$$

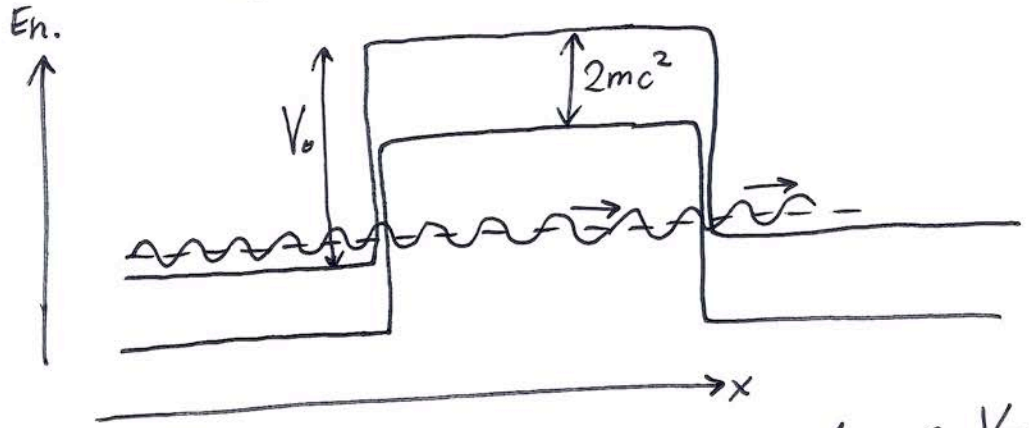
$$\sim e^{-\text{const} \cdot \frac{m^2 c^3}{qE \hbar}}$$

$$\sim e^{-E/E_{cr}}$$

$E_{cr} \sim 10^{18} \frac{V}{m}$
(!)

Sauter '31, Schwinger '51

"Klein paradox" '29: Potential step



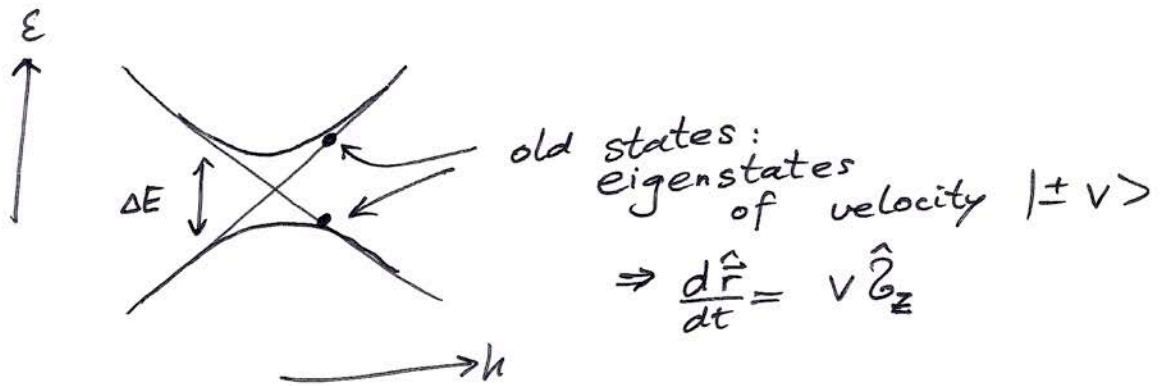
transmission $\rightarrow 1$ as $V_0 \rightarrow \infty$

(but: Coulomb forces tend to prevent formation of such a region!)

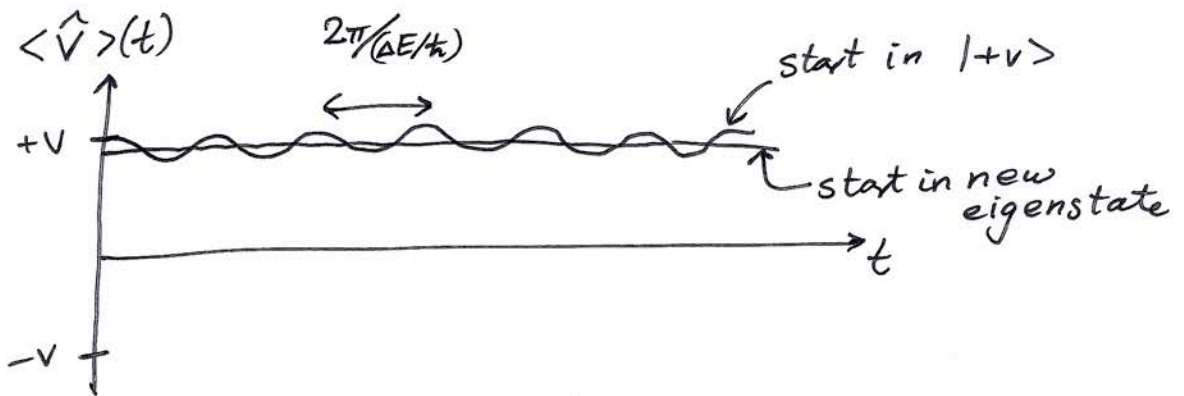
[\rightarrow e.g. observed in graphene]

Zitterbewegung in 1D periodic potential

229



new states: superpositions!



Physical picture:
Repeated Bragg scattering!

"relativistic Zitterbewegung"

230

$$\hat{H} = mc^2 \hat{\beta} + c \hat{p} \cdot \hat{\alpha}$$

$$\Rightarrow \frac{d\hat{r}}{dt} = \frac{1}{i\hbar} [\hat{r}, \hat{H}] = c \underbrace{\hat{\alpha}}_{\text{eigenvalues } \pm 1}$$

$$\frac{d\hat{\alpha}}{dt} = \frac{1}{i\hbar} [\hat{\alpha}, \hat{H}] = 2 \frac{i}{\hbar} (\hat{p} - \hat{\alpha} \hat{H})$$

$$\Rightarrow \hat{\alpha}(t) = (\hat{\alpha}(0) - c \hat{p} \hat{H}^{-1}) e^{-\frac{2i}{\hbar} \hat{H} t} + c \hat{p} \hat{H}^{-1}$$

oscillations
in $\langle \hat{\alpha}(t) \rangle$, if
state contains
 $E > 0$ & $E < 0$

$$\text{freq.} \sim \frac{2mc^2}{\hbar} \approx 10^{21} \text{ Hz}$$



Regularization

Exclude small λ / high ω
 \Rightarrow cutoff ω_c
[or otherwise keep results finite]

Renormalization

Fix "bare" parameters
by comparison with experiment

$$A_{\text{observed}} \stackrel{!}{=} A_{\text{calculated}}(\underbrace{\lambda_1, \lambda_2, \dots, \lambda_N}_{\text{bare parameters}}, \omega_c)$$

In QED: renormalize

m

q_e

ϵ_0

ψ

\rightsquigarrow vacuum polarization!

[\hbar, c fixed]

Vacuum polarization

